

# A Supernova Equation of State with Light and Heavy Clusters

**Stefan Typel**

**Excellence Cluster Universe, Technische Universität München**

**GSI Darmstadt, Theorie**

in collaboration with

**Gerd Röpke (Universität Rostock)**

**Thomas Klähn (Argonne National Laboratory)**

**David Blaschke (Uniwersytet Wrocławski)**

**Hermann Wolter (LMU München)**

**Maria Voskresenskaya (GSI Darmstadt)**

# Motivation I

- **equation of state (EoS)** of dense matter:
    - essential ingredient in many **astrophysical models** (supernovae calculations, compact star models, . . . )
    - ⇒ static properties and dynamical evolution
    - ⇒ energetics, temperature, composition, . . .
  - properties required for large range of **conditions**
    - **density**:  $10^{-8} \lesssim n/n_{\text{sat}} \lesssim 10$
    - **temperature**:  $0 \leq T \lesssim 100 \text{ MeV}$
    - **proton fraction**:  $0 \leq Y_p \lesssim 0.6$   
(or **isospin asymmetry**  $\beta = 1 - 2Y_p$ )
- ⇒ practical global approach needed

# Motivation II

- many EoS developed in the past:  
from simple parametrizations to sophisticated models
- many investigations of detailed aspects:  
often restricted to particular conditions
- only few EoS in practical use: e.g.
  - J.M. Lattimer, F.D. Swesty  
(Nucl. Phys. A 535 (1991) 331)
  - H. Shen, H. Toki, K. Oyamatsu, K. Sumiyoshi  
(Prog. Theor. Phys. 100 (1998) 1013)
- several deficiencies in applied EoS

# Motivation III

**aim:** development of improved EoS for astrophysics

- more microscopic, self-consistent description
- well adjusted model parameters, better constrained
- relativistic approach
- additional particle species/clusters, respecting Bose/Fermi statistics
- cover widest possible range of  $n$ ,  $T$ ,  $Y_p$

with special attention to

- consistency
- constraints

# Outline

- General Considerations
- Relativistic Mean-Field (RMF) Model
- Constraints
- Light Clusters
- Generalized RMF Model
- Phase Transition
- Heavy Clusters
- Summary and Outlook

# General Considerations I

- **ingredients:**

- standard

- neutrons
    - protons

- partly optional

- electrons  
(inhomogeneous systems with  
Coulomb interaction)

- optional

- muons
    - photons
    - neutrinos
    - light nuclei/clusters  
( $^2\text{H}$ ,  $^3\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$ , . . . )
    - heavy nuclei/clusters
    - hyperons
    - other exotica?  
(pions, kaons, quarks, . . . )

# General Considerations II

- **calculation:**

- input quantities

- total baryon number density  $n$
    - baryonic charge fraction  $Y_q$
    - temperature  $T$

- output quantities

- (free) energy density  $e$  ( $f$ ),
    - entropy density  $s$ ,
    - pressure  $p$ ,
    - chemical potentials  $\mu_i$ ,
    - particle abundancies  $X_i$ ,
    - . . .

- two-step procedure

- local minimization of free energy density  $f(n, Y_q, T)$  by varying individual particle densities for given conditions  $n, Y_q, T$  (e.g. charge neutrality, chemical equilibrium)
    - construction of global convexity of free energy density  $f$   
 $\Rightarrow$  phase transition(s)

# General Considerations III

- **non-hadronic** contributions
  - homogeneous spatial distribution for uncharged particles
  - only Coulomb interaction for charged particles
  - simple thermodynamics
- **hadronic** contributions
  - possible **general strategy**:  
start with given nucleon-nucleon interaction,  
apply machinery of many-body theory . . . (cf. Dirac-Brueckner calculations)  
. . . too complicated, too limited
  - here: more **phenomenological approach**, combination
    - **relativistic mean-field model** with  
density-dependent nucleon-meson couplings
    - **generalized Beth-Uhlenbeck approach** ( $\Rightarrow$  light clusters)
    - modified **Wigner-Seitz cell** in relativistic **Thomas-Fermi approximation**  
( $\Rightarrow$  heavy clusters)



# Relativistic Mean-Field Model I - Lagrangian

- standard **Lagrangian density** of Walecka type  
with **nucleons** ( $\psi$ ), **mesons** ( $\sigma, \omega_\mu, \vec{\rho}_\mu$ ) and **photons** ( $A_\mu$ ) as degrees of freedom

$$\mathcal{L} = \bar{\psi} \left[ \gamma^\mu \left( i\partial_\mu - \Gamma_\omega \omega_\mu - \Gamma_\rho \vec{\tau} \cdot \vec{\rho}_\mu - \Gamma_\gamma \frac{1 + \tau_3}{2} A_\mu \right) - (m - \Gamma_\sigma \sigma) \right] \psi + \mathcal{L}_M$$

contribution of free bosons

$$\mathcal{L}_M = \frac{1}{2} \left[ \partial_\mu \sigma \partial^\mu \sigma - m_\sigma^2 \sigma^2 - \frac{1}{2} G_{\mu\nu} G^{\mu\nu} + m_\omega^2 \omega_\mu \omega^\mu - \frac{1}{2} \vec{H}_{\mu\nu} \cdot \vec{H}^{\mu\nu} + m_\rho^2 \vec{\rho}_\mu \cdot \vec{\rho}^\mu - \frac{1}{2} F_{\mu\nu} F^{\mu\nu} \right]$$

field tensors  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$   $G_{\mu\nu} = \partial_\mu \omega_\nu - \partial_\nu \omega_\mu$   $\vec{H}_{\mu\nu} = \partial_\mu \vec{\rho}_\nu - \partial_\nu \vec{\rho}_\mu$

- mesons: convenient auxiliary fields, same quantum numbers as “real” mesons
  - only **minimal** (linear) **meson-nucleon couplings**
  - **density-dependent** couplings  $\Gamma_i$ , no non-linear meson self-interactions
- ⇒ nucleon/meson/photon field equations, solved in **mean-field approximation**  
(Hartree approximation, no-sea approximation, classical meson/photon fields)

# Relativistic Mean-Field Model II - Couplings

- **nucleon-meson couplings**  $\Gamma_i = \Gamma_i(\rho)$

functionals of vector density  $\rho = \sqrt{j_\mu j^\mu}$  with  $j_\mu = \bar{\psi} \gamma_\mu \psi$

- functional form as suggested by Dirac-Brueckner calculations of nuclear matter
- very flexible approach
- well controlled asymptotics

⇒ rearrangement contributions

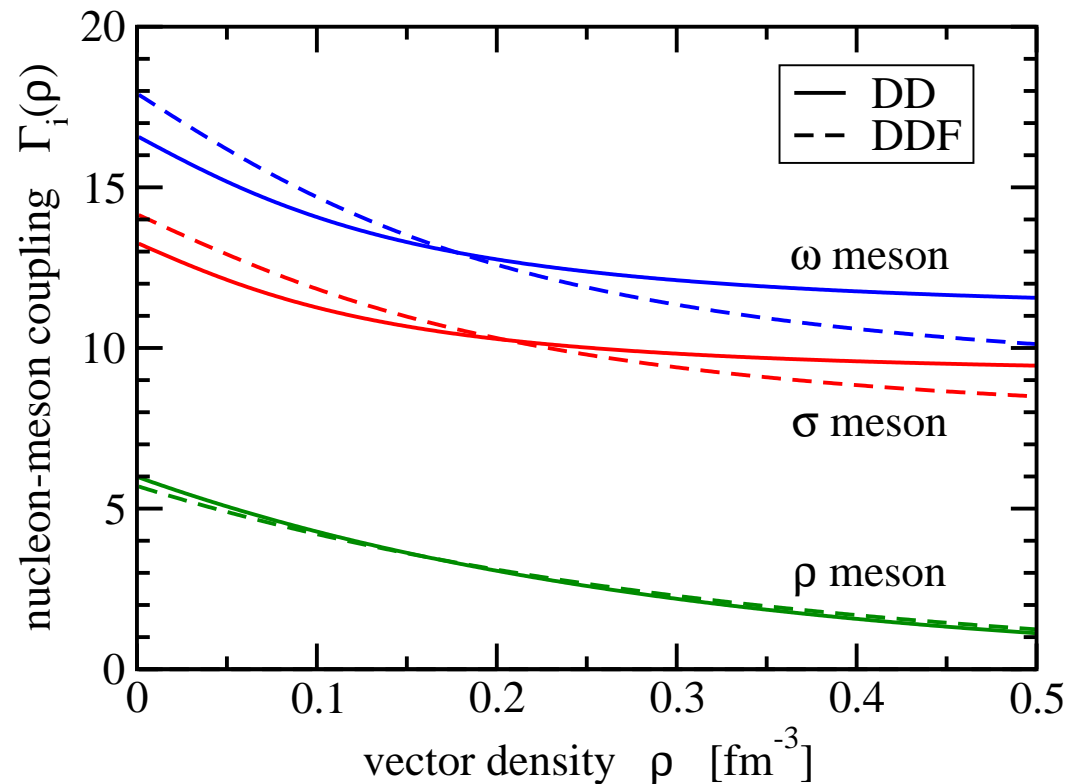
in nucleon self-energies

⇒ thermodynamical consistency

$$\begin{aligned} p_{\text{field}} &= \frac{1}{3} \sum_{m=1}^3 \langle T^{mm} \rangle \\ &= p_{\text{thermo}} = n^2 \left. \frac{\partial(\varepsilon/n)}{\partial n} \right|_{T,V} \end{aligned}$$

S. Typel, H.H. Wolter, Nucl. Phys. A 656 (1999) 331;

C. Fuchs, H. Lenske, H.H. Wolter, Phys. Rev. C 52 (1995) 3043



# Relativistic Mean-Field Model III - Parameters

## parameters of the model

- **coupling functions**  $\Gamma_i(\rho) = \Gamma_i(\rho_{\text{sat}})f_i(\rho/\rho_{\text{sat}})$ 
  - coupling strengths at saturation  
 $\Gamma_\omega(\rho_{\text{sat}}), \Gamma_\sigma(\rho_{\text{sat}}), \Gamma_\rho(\rho_{\text{sat}})$
  - functional dependence on density:  
3 or 4 parameters for  $\omega$  and  $\sigma$  mesons,  
1 parameter for  $\rho$  meson  
 $\Rightarrow$  5 or 6 isoscalar and 2 isovector parameters
- **masses** of nucleons  $m_p, m_n$  and mesons  $m_i$ 
  - experimental values for masses of nucleons,  $\omega$  and  $\rho$  meson
  - mass of  $\sigma$  meson variable  
 $\Rightarrow$  1 parameter

- $i = \omega, \sigma$   $f_i(x) = a \frac{1+b(x+d)^2}{1+c(x+d)^2}$  with conditions  $f_i(1) = 1, f_i''(0) = 0$

- $i = \rho$   $f_i(x) = \exp[-a(x-1)]$

(S. Typel and H.H. Wolter, Nucl. Phys. A 656 (1999) 331)

$\Rightarrow$  in total **8 or 9 free parameters** (highly correlated)

fit, constraints?

# Constraints I - Nuclei and NN scattering

fit of model parameters to

- properties of spherical nuclei
  - binding energies
  - spin-orbit splittings
  - charge form factor (charge and diffraction radius, surface thickness)
  - neutron skin thickness

⇒ couplings  $\Gamma_i(\rho)$  close to saturation density and below

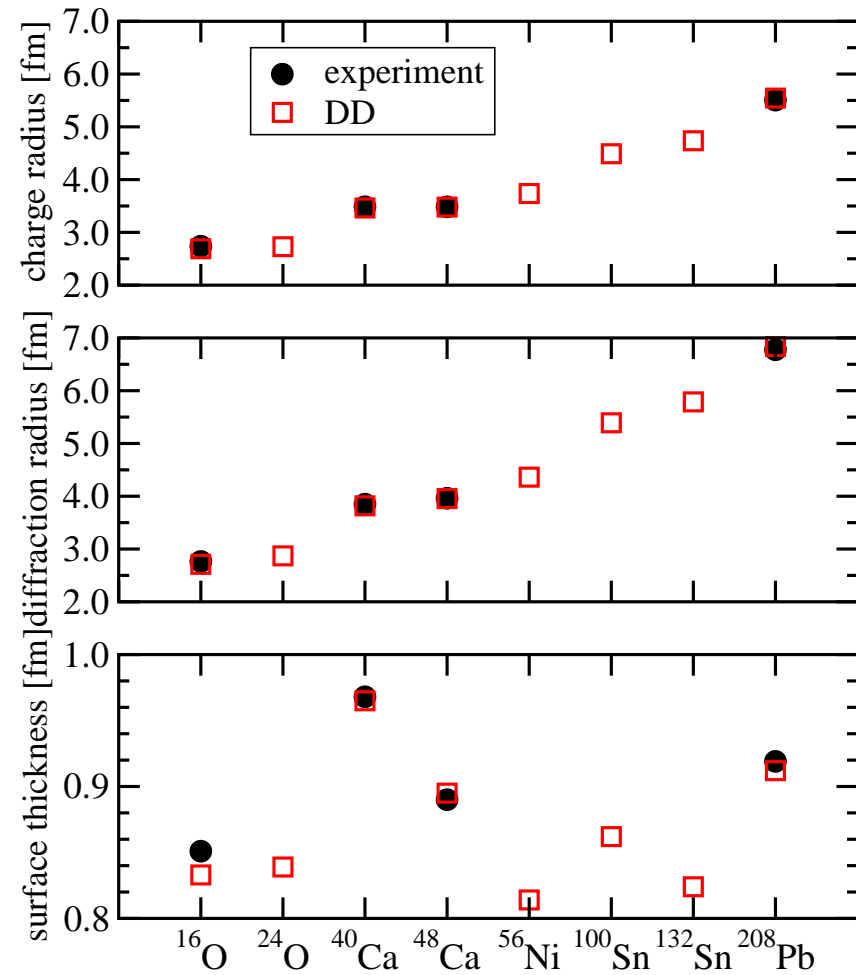
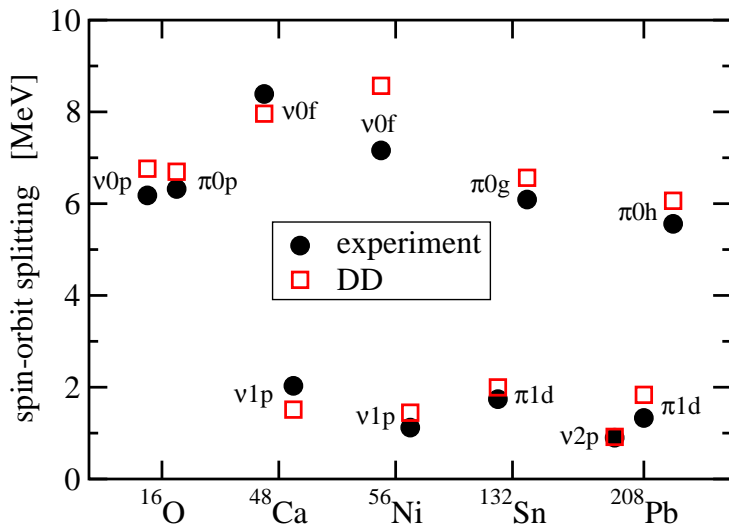
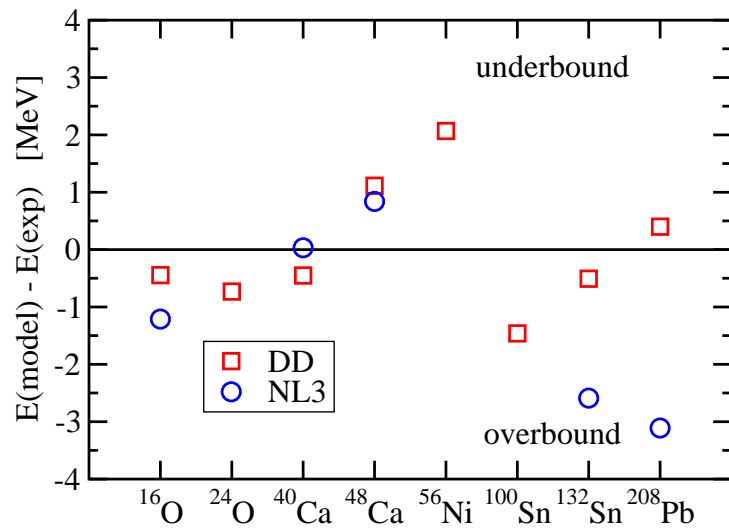
  - density dependence essential
  - very successful

⇒ nuclear matter parameters
- low-energy nucleon-nucleon scattering
  - scattering lengths
  - effective ranges
  - phase shifts
  - deuteron binding energy

⇒ couplings  $\Gamma_i(\rho)$  at zero density

  - “effective one-boson exchange potential”
  - only reproduction of observables needed, no “realistic” potential

# Constraints II - Properties of Nuclei



⇒ high-quality description

# Constraints III - Nuclear Matter

- **binding energy per nucleon** near saturation:

$$\frac{E}{A}(n, \beta) = \frac{\varepsilon}{n} = a_V + \frac{K}{18}x^2 - \frac{K'}{162}x^3 + \beta^2 \left( J + \frac{L}{3}x + \dots \right) + \dots$$

with  $x = (n - n_{\text{sat}})/n_{\text{sat}}$ , asymmetry  $\beta = 1 - 2Y_p$  and

## nuclear matter parameters

- $n_{\text{sat}}, a_V, J \Rightarrow$  general trend of **binding energies**

- $K \Rightarrow$  **giant resonances**

$K$  and  $K' \Rightarrow$  ratio **surface tension/surface thickness**

$K'$  small, even negative in parameter fits to surface properties  $\Rightarrow$  stiffer EoS

- $L$ : **neutron skin thickness**  $\Rightarrow$  **density dependence of symmetry energy**

$\Rightarrow$  fit to **surface properties** of atomic nuclei important

# Constraints IV - Nuclear Matter

- **effective Dirac mass** at saturation  $m_D = m - \Sigma$  with scalar self-energy  $\Sigma$   
 $\Rightarrow$  **spin-orbit splitting** in nuclei  
( $m_D \neq$  effective Landau mass  $\Rightarrow$  density of states)
- **7 parameters** ( $n_{\text{sat}}, a_V, K, K', J, L, m_D$ ) quite well **constrained**

model	$n_{\text{sat}}$ [fm <sup>-3</sup> ]	$a_V$ [MeV]	$K$ [MeV]	$K'$ [MeV]	$J$ [MeV]	$L$ [MeV]	$m_D/m$
DD	0.1487	-16.021	240.0	-134.6	32.0	56.0	0.565
DDF	0.1469	-16.024	223.1	757.8	31.6	56.0	0.556
NL3	0.1482	-16.240	271.5	-203.0	37.4	100.9	0.596

DD: S. Typel, Phys. Rev. C 71 (2005) 064301

DDF: T. Klähn et al., Phys. Rev. C 74 (2006) 035802

NL3: G.A. Lalazissis, J. König, P. Ring, Phys. Rev. C 55 (1997) 540

# Constraints V - Astronomy and Heavy-Ion Collisions

## fit of model parameters to

- properties of neutron stars

(T. Klähn et al., Phys. Rev. C 74 (2006) 035802)

- maximum mass  $\Leftrightarrow$   
stiffness of symmetric matter EoS  
( $K, K'$ )
- cooling by direct URCA process  $\Leftrightarrow$   
stiffness of symmetry energy  
( $J, L$ )
- mass - radius relation
- gravitational mass - baryon number  
relation

$\Rightarrow$  couplings  $\Gamma_i(\rho)$  above saturation density  
modification of density dependence?

- heavy-ion collisions

- elliptic flow  $\Rightarrow$   
high-density EoS not too stiff  
(P. Danielewicz et al., Science 298 (2002) 1592)
- sub-threshold kaon production  $\Rightarrow$   
soft EoS of symmetric nuclear matter  
(C. Fuchs, Pro. Part. Nucl. Phys. 56 (2006) 1)
- isoscaling of fragment yields  $\Rightarrow$   
soft symmetry energy ( $L \approx 60 - 80$  MeV)  
(D. V. Shetty et al., Phys. Rev. C 75 (2007) 034603)



# Light Clusters I - Theoretical Methods

## low densities:

two-, three-, . . . many-body correlations due to NN interaction  $\Rightarrow$

- modification of thermodynamical properties
- bound states appear as new particle species

theoretical approaches:

- virial expansion in classical description (with potentials)
  - expansion of grand-canonical partition function  $\mathcal{Z}(V, T, \mu_i)$  in powers of fugacities  $z_i = \exp(\mu_i/T) \ll 1$  with chemical potentials  $\mu_i \ll 0$
- quantum mechanical generalization (with density of states)  
(G. E. Beth and E. Uhlenbeck Physica 3 (1936) 729, Physica 4 (1937) 915)
- generalization with medium effects in thermodynamical Green's function approach  
(M. Schmidt, G. Röpke and H. Schulz, Ann. Phys. 202 (1990) 57)

# Light Clusters II - Virial Expansion

- virial expansion of **grand-canonical potential**

$$\Omega(V, T, \mu_i) = -VT \left( \sum_i b_i \frac{z_i}{\lambda_i^3} + \sum_{ij} b_{ij} \frac{z_i z_j}{\lambda_i^{3/2} \lambda_j^{3/2}} + \dots \right) = -pV$$

with thermal wave lengths  $\lambda_i = \hbar \sqrt{2\pi / (m_i T)}$  and virial coefficients  $b_i, b_{ij}, \dots$

- first virial coefficient  $b_i = g_i$  statistical degeneracy factor
- **second virial coefficient**  $b_{ij} \Leftrightarrow$  **correlation** due to **interaction**
- **particle densities**

$$n_i = \left. \frac{\partial p}{\partial \mu_i} \right|_{V, T} = b_i \frac{z_i}{\lambda_i^3} + 2 \sum_j b_{ij} \frac{z_i z_j}{\lambda_i^{3/2} \lambda_j^{3/2}} + \dots = n_i^{\text{free}} + n_i^{\text{corr}}$$

with free and correlated densities

- natural **upper limit** for virial expansion:  $n_i \lambda_i^3 < 1$

# Light Clusters III - Second Virial Coefficient

- second quantummechanical virial coefficient

$$b_{ij}(T) = \frac{1 + \delta_{ij}}{2} \frac{\lambda_i^{3/2} \lambda_j^{3/2}}{\lambda_{ij}^3} \int dE D_{ij}(E) \exp\left(-\frac{E}{T}\right)$$

with density of states

$$D_{ij}(E) = \sum_k g_k^{(ij)} \delta(E - E_k^{(ij)}) + \sum_l g_l^{(ij)} \frac{1}{\pi} \frac{d\delta_l^{(ij)}}{dE}$$

- contributions from bound states at energies  $E_k^{(ij)} < 0$
  - contributions from continuum states in channels  $l$  with phase shifts  $\delta_l^{(ij)}(E)$
  - correction  $(1 + \delta_{ij})$  for identical particles
  - further corrections for Fermi or Bose statistics
- if bound state energies  $E_k^{(ij)} < 0$  and phase shifts  $\delta_l^{(ij)}$  are known experimentally  
⇒ low-density behaviour of EoS established model-independently  
(e.g. C. J. Horowitz, A. Schwenk, Nucl. Phys. A 776 (2006) 55)

# Light Clusters IV - Deuteron Formation

## example

- deuteron formation in symmetric nuclear matter:

total nucleon density  $n = n_{\text{free}} + 2n_d$  with

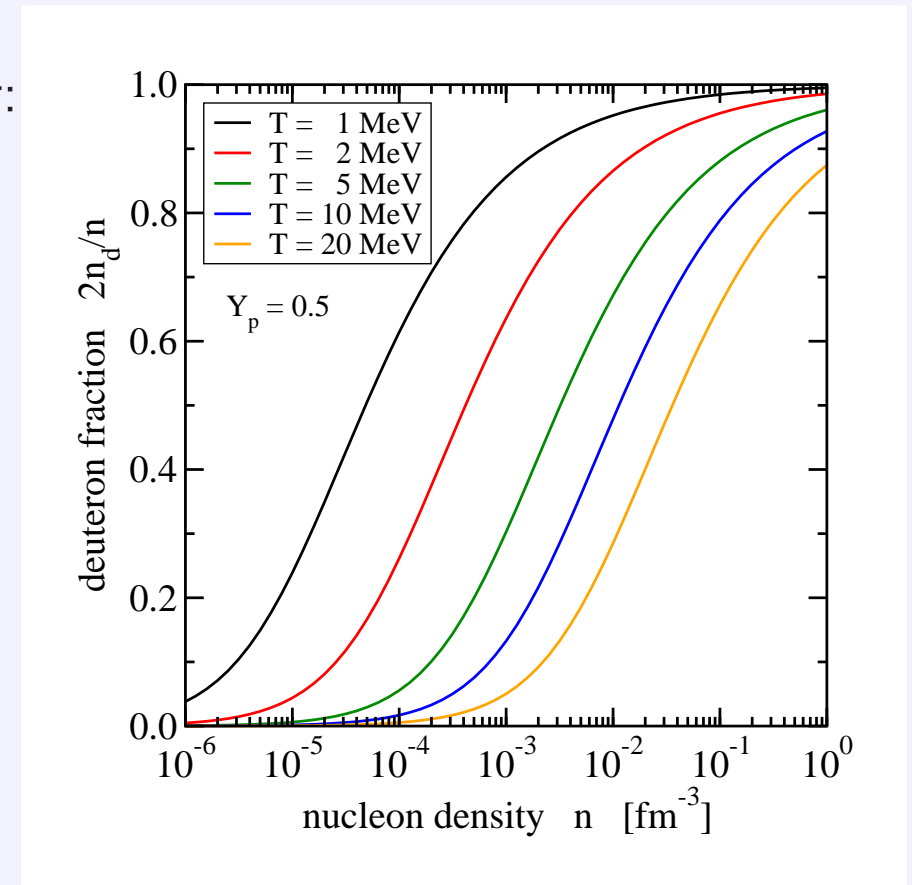
$$n_d = 3 \cdot 2^{-\frac{5}{2}} \lambda^3 n_{\text{free}}^2 \exp(-E_d/T)$$

⇒ law of mass action  $n + p \Leftrightarrow d$

- high densities: unphysical behaviour, no clusters but homogeneous matter expected

⇒ generalization necessary:

- in-medium interaction
- Pauli blocking of states
- neutron-proton asymmetric systems
- three-body ( $^3\text{H}$ ,  $^3\text{He}$ ), four-body ( $^4\text{He}$ ) correlations and beyond
- Boson/Fermion statistics



# Light Clusters V - Generalized BU Approach

- thermodynamic Green's function approach

(M. Schmidt, G. Röpke, H. Schulz, Ann. Phys. 202 (1990) 57)

⇒ go beyond quasi-particle approximation of spectral function

$$\Rightarrow n_{\text{corr}}(\mu, T) = \int \frac{d^3 P}{(2\pi\hbar)^3} \int dE g[E + E_{\text{cont}}(P, \mu, T), \mu, T] \varrho(E, P, \mu, T)$$

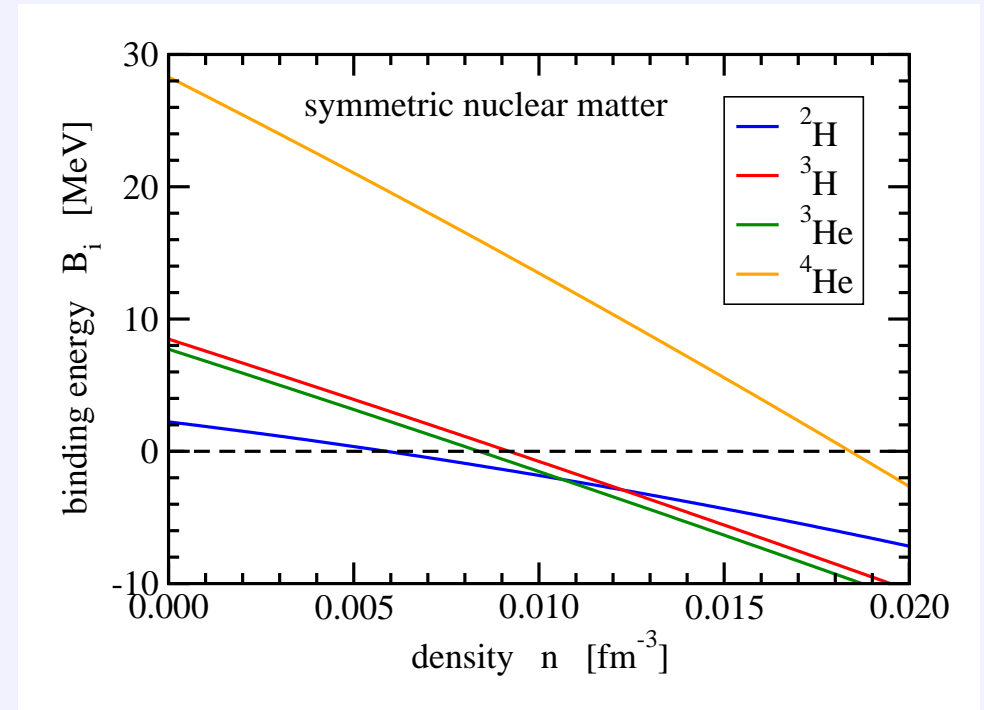
with c.m. momentum  $\vec{P}$ , relative energy  $E$ , Bose-Einstein distribution function  $g$ , continuum edge  $E_{\text{cont}}$  (energy of continuum state with relative energy  $E = 0$ ) and generalized density of states

$$\varrho(E, P, \mu, T) = \sum_{\alpha} c_{\alpha} \left[ \delta(E - E_{\alpha}) + \frac{2}{\pi} \sin^2 \delta_{\alpha} \frac{d}{dE} \delta_{\alpha} \right]$$

- medium-dependent shift of binding energies  $E_{\alpha} = E_{\alpha}(P, \mu, T)$ , no bound state contributions for c.m. momenta  $P < P_{\text{Mott}}$
- generalized scattering phase shifts  $\delta_{\alpha} = \delta_{\alpha}(E, P, \mu, T)$  from in-medium T-matrix

# Light Clusters VI - BU Approach and RMF

- **model calculations** needed
  - ⇒ parametrization of
    - effective binding energies
    - phase shifts
- combine generalized Beth-Uhlenbeck approach with relativistic mean-field model
  - ⇒ treat cluster states (bound & continuum) as explicit degrees of freedom in RMF Lagrangian
- **density and temperature dependence** of effective cluster binding energies
  - ⇒ ‘rearrangement’ contributions to nucleon self-energies and entropy density
  - ⇒ essential for thermodynamical consistency
- consistency of interactions?



# Generalized RMF Model I - Lagrangian

- **Lagrangian density**  $\mathcal{L} = \mathcal{L}_F + \mathcal{L}_B + \mathcal{L}_M$  with contributions of

- **fermions** ( $p, n, \Lambda, \Sigma^+, \dots, {}^3\text{H}, {}^3\text{He}, \dots, e, \mu, \nu_e, \dots$ )  $\mathcal{L}_F = \sum_{i \in F} \bar{\psi}_i [\gamma_\mu i D_i^\mu - M_i] \psi$

- **bosons** ( ${}^2\text{H}, {}^4\text{He}$ )  $\mathcal{L}_B = \frac{1}{4} (i D_\alpha^\mu \varphi_\alpha)^* (i D_{\alpha\mu} \varphi_\alpha) - \frac{1}{2} \varphi_\alpha^* M_\alpha^2 \varphi_\alpha$

$$+ \frac{1}{2} (i D_d^\mu \varphi_d^\nu - i D_d^\nu \varphi_d^\mu)^* (i D_{d\mu} \varphi_{d\nu} - i D_{d\nu} \varphi_{d\mu}) - \frac{1}{2} \varphi_d^{\mu*} M_d^2 \varphi_{d\mu}$$

- **mesons** ( $\sigma, \omega_\mu, \vec{\rho}_\mu$ ) und **photons** ( $A_\mu$ )  $\mathcal{L}_M = \dots$

- **interaction** via

- covariant derivatives  $i D_i^\mu = i \partial^\mu - \Gamma_{i\omega} \omega^\mu - \Gamma_{i\rho} \vec{\tau} \cdot \vec{\rho}^\mu - \Gamma_{i\gamma} A^\mu$

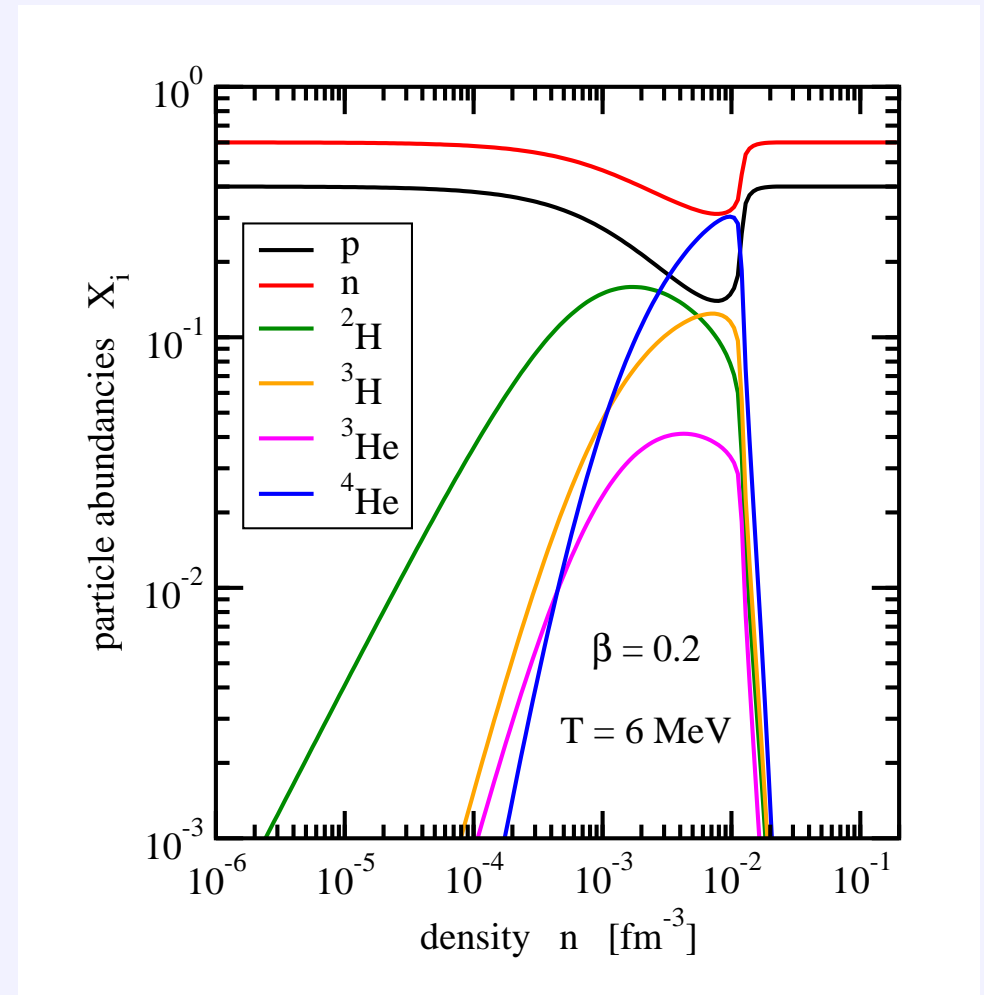
- effective masses  $M_i = m_i - \Gamma_{i\sigma} \sigma - B_i$

with **density dependent couplings**  $\Gamma_{ij}$  and **effective binding energies**  $B_i$  of clusters  
with masses  $m_i = Z_i m_p + N_i m_n$

- ⇒ field equations with **additional source terms** and  
**modified “rearrangement” contributions** in self-energies

# Generalized RMF Model II - Cluster Formation

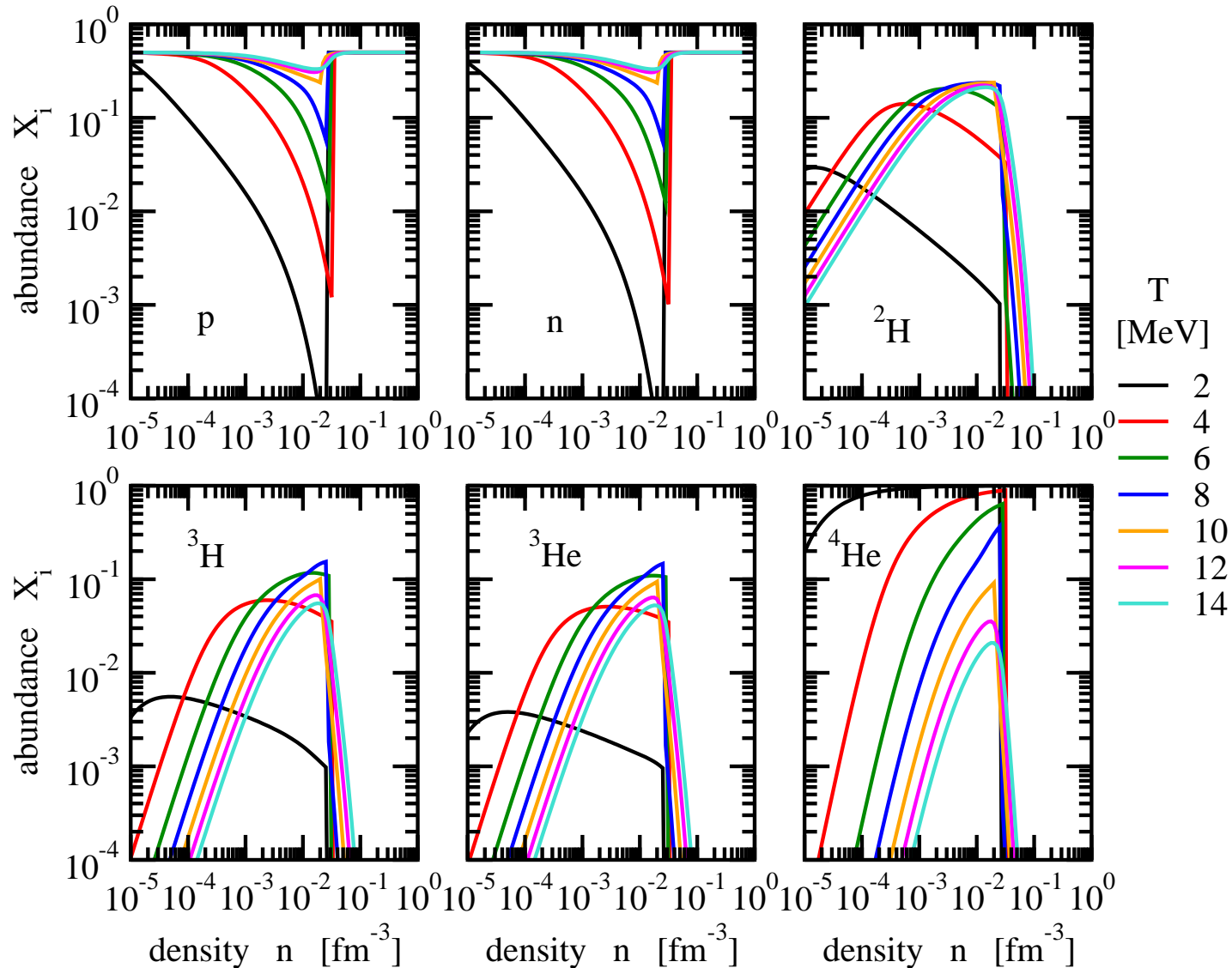
- consider 2-, 3-, and 4-body correlations
    - only bound-state contributions
    - energy shifts in quadratic approximation (function of free nucleon densities)  
⇒ deuterons, tritons, helions, and alphas
    - continuum contributions (to be included)
  - Mott effect: suppression of cluster formation at high densities
  - correct limits for low and high densities
  - no heavy clusters/phase transition included here
- ⇒ correlations in the medium
- many-body bound states treated as individual particles (in chemical equilibrium)
  - two-particle correlations in scattering states (to be included)





# Generalized RMF Model III - Particle Abundancies

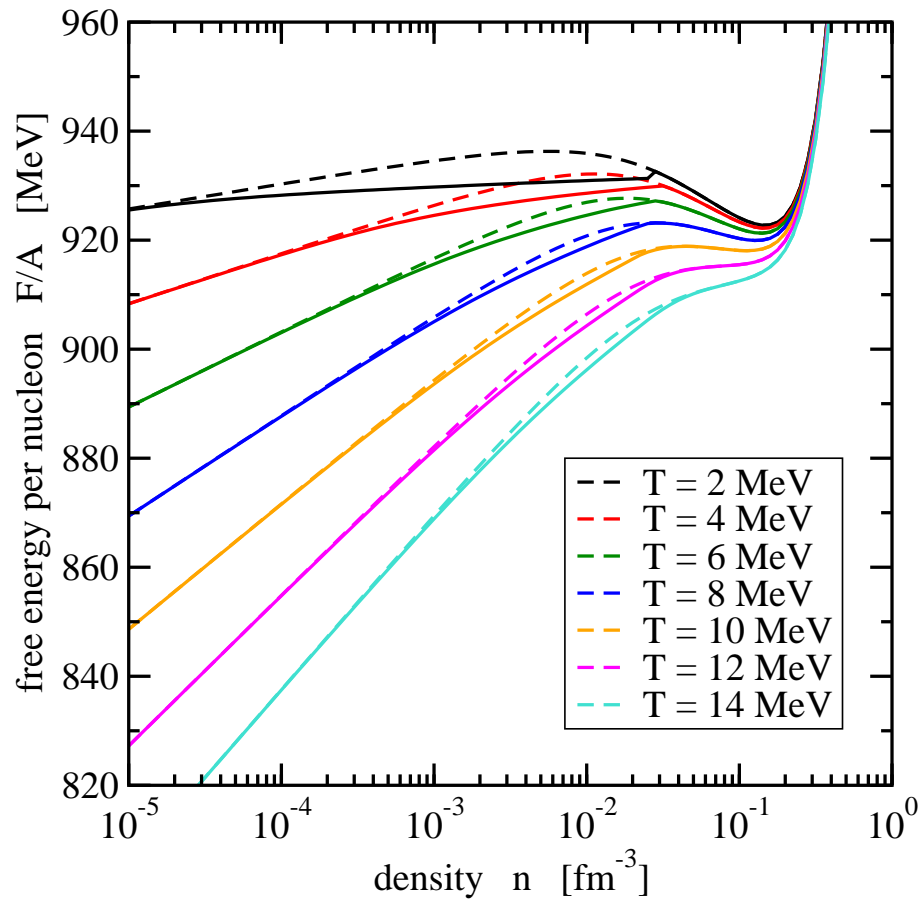
$$X_i = A_i \frac{n_i}{n} \text{ for asymmetry } \beta = 0.0$$



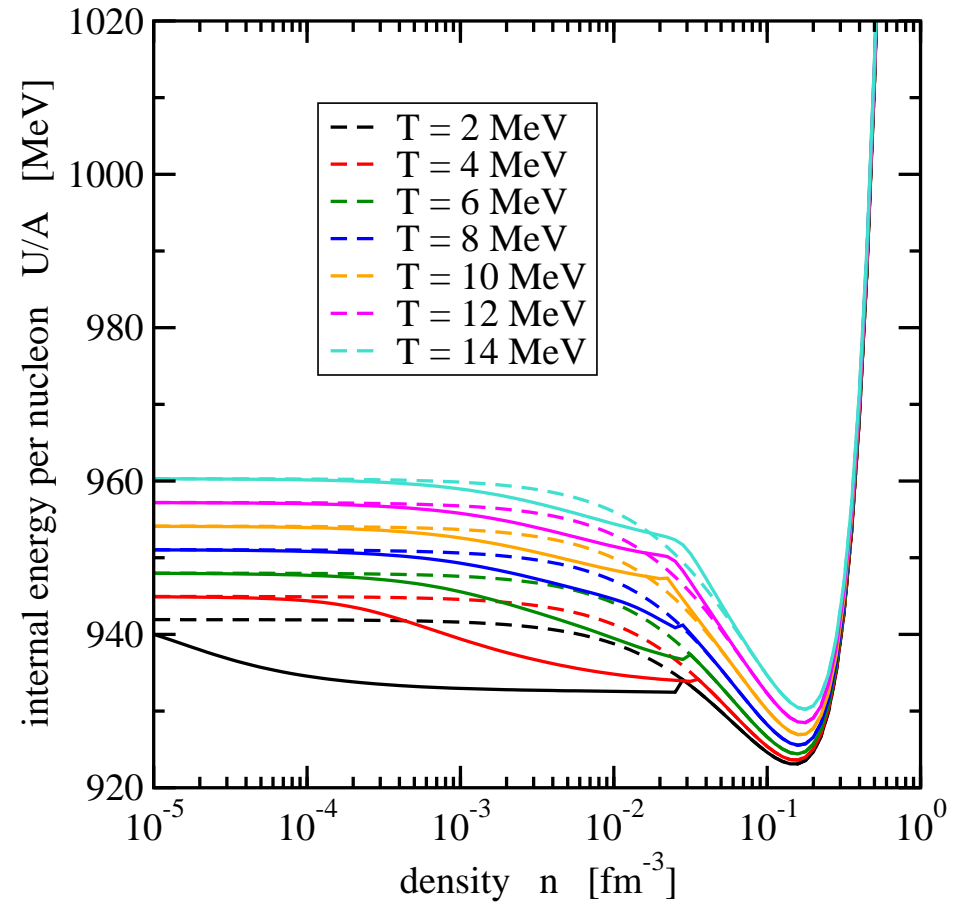
# Generalized RMF Model IV - Energies

without (dashed) and with (solid) clusters for asymmetry  $\beta = 0.0$

free energy per nucleon  $F/A = f/n$



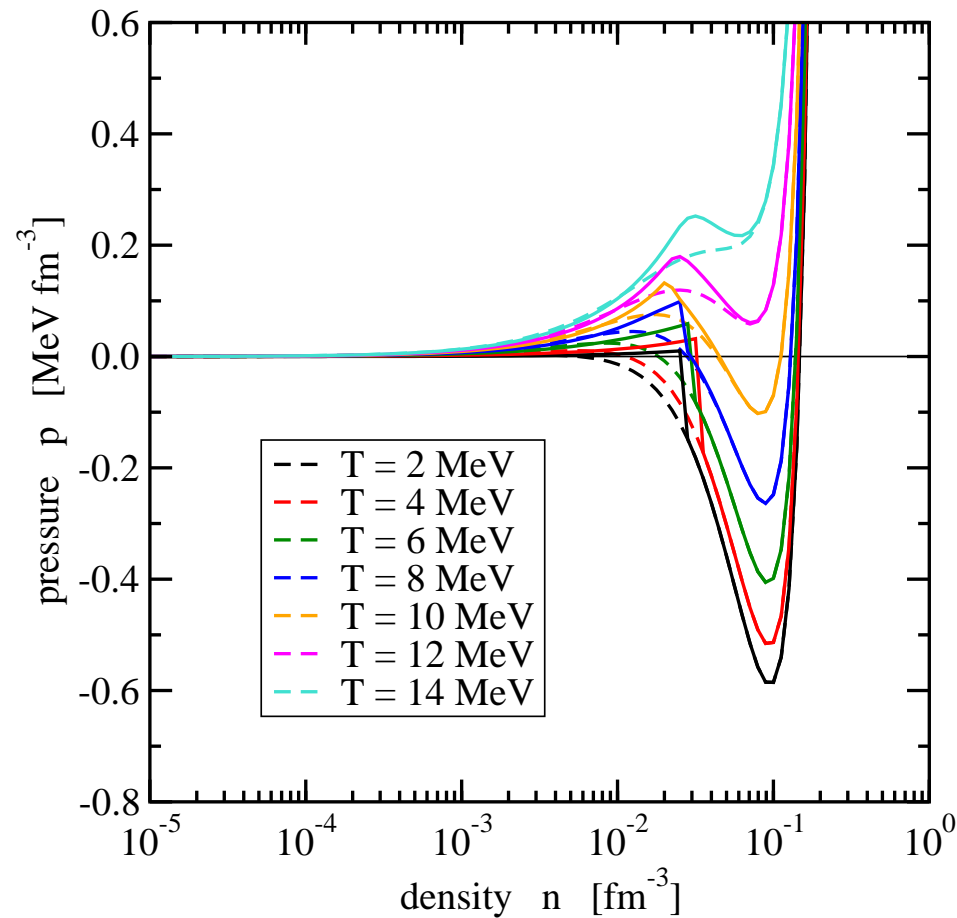
internal energy per nucleon  $U/A = u/n$



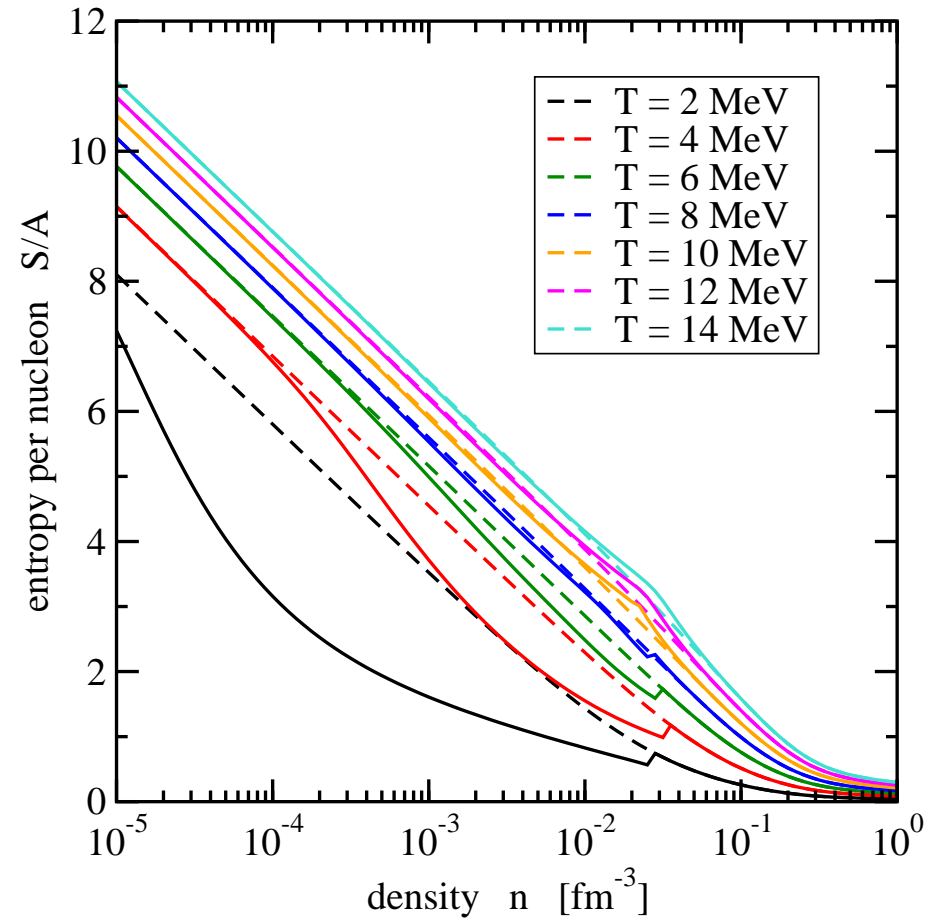
# Generalized RMF Model V - Pressure and Entropy

without (dashed) and with (solid) clusters for asymmetry  $\beta = 0.0$

pressure  $p$



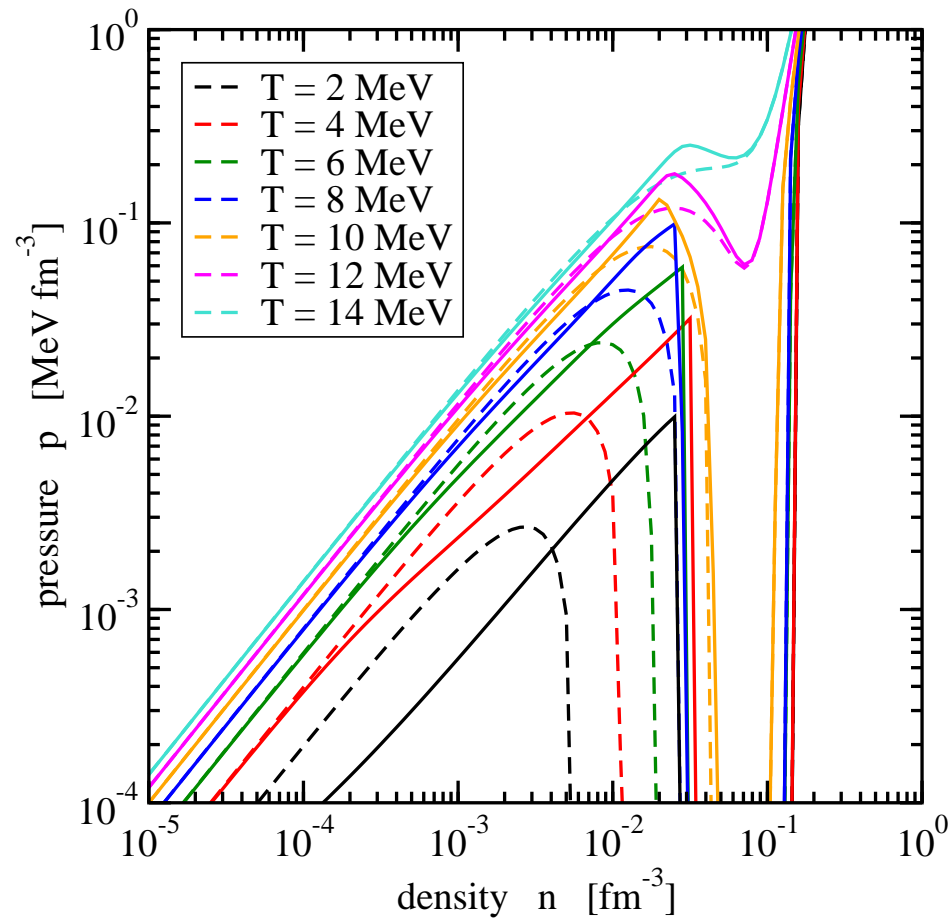
entropy per nucleon  $S/A = s/n$



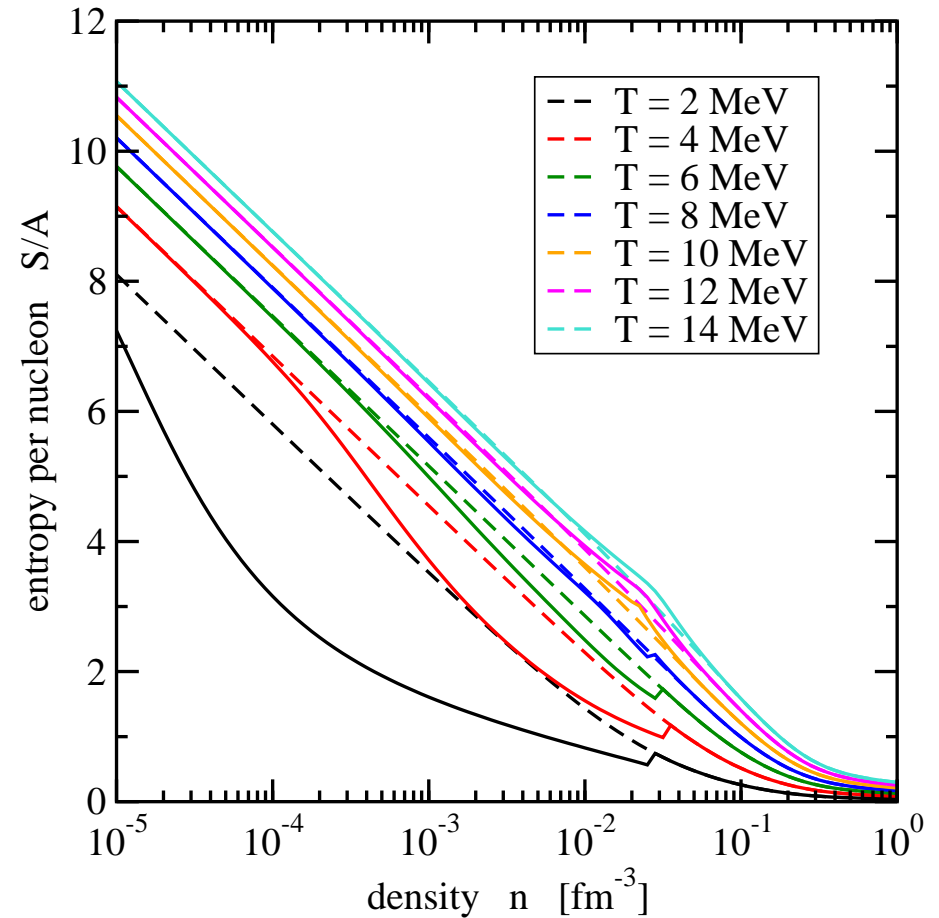
# Generalized RMF Model V - Pressure and Entropy

without (dashed) and with (solid) clusters for asymmetry  $\beta = 0.0$

pressure  $p$

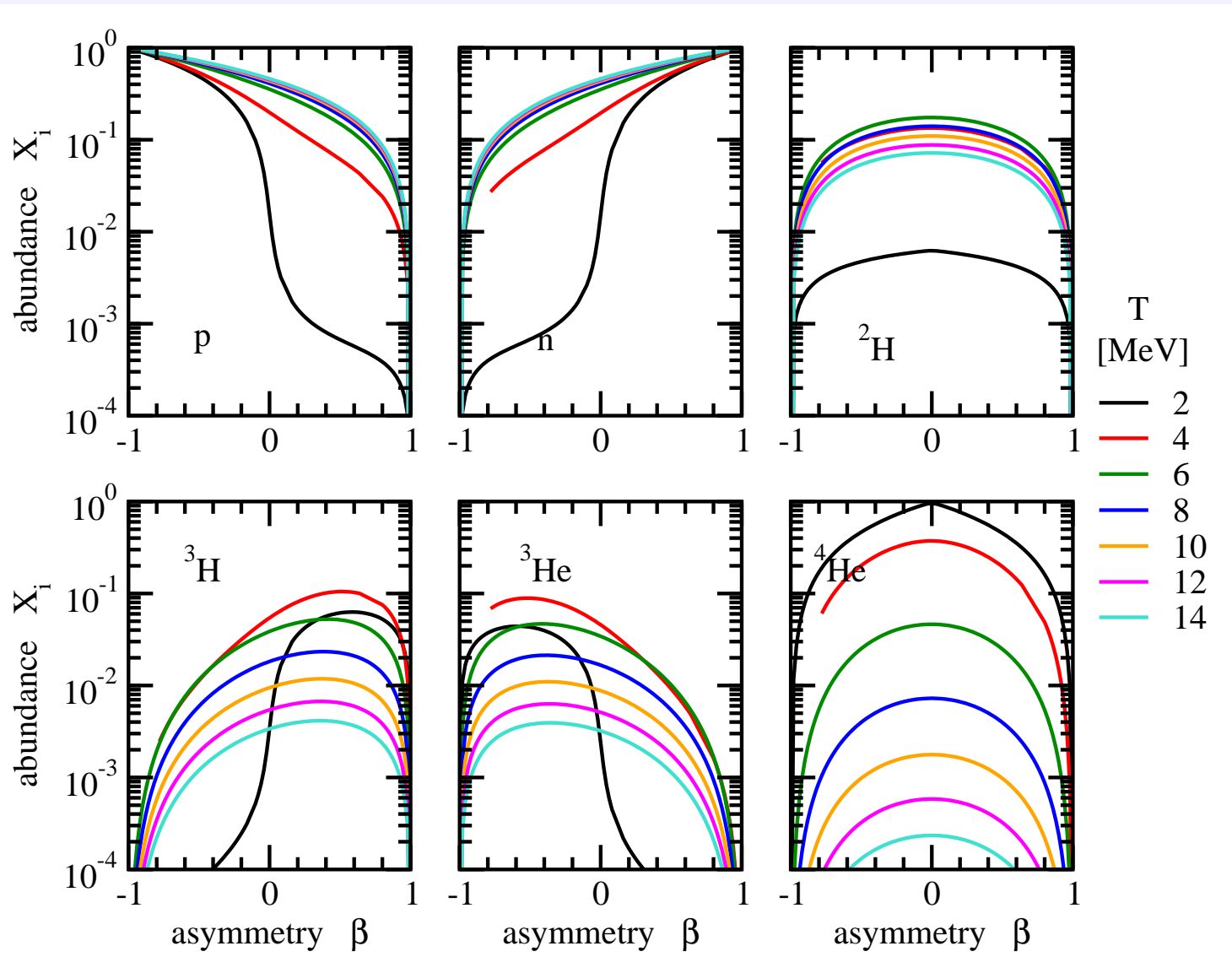


entropy per nucleon  $S/A = s/n$



# Generalized RMF Model VI - Particle Abundancies

$$X_i = A_i \frac{n_i}{n} \text{ for density } n = 10^{-3} \text{ fm}^{-3}$$

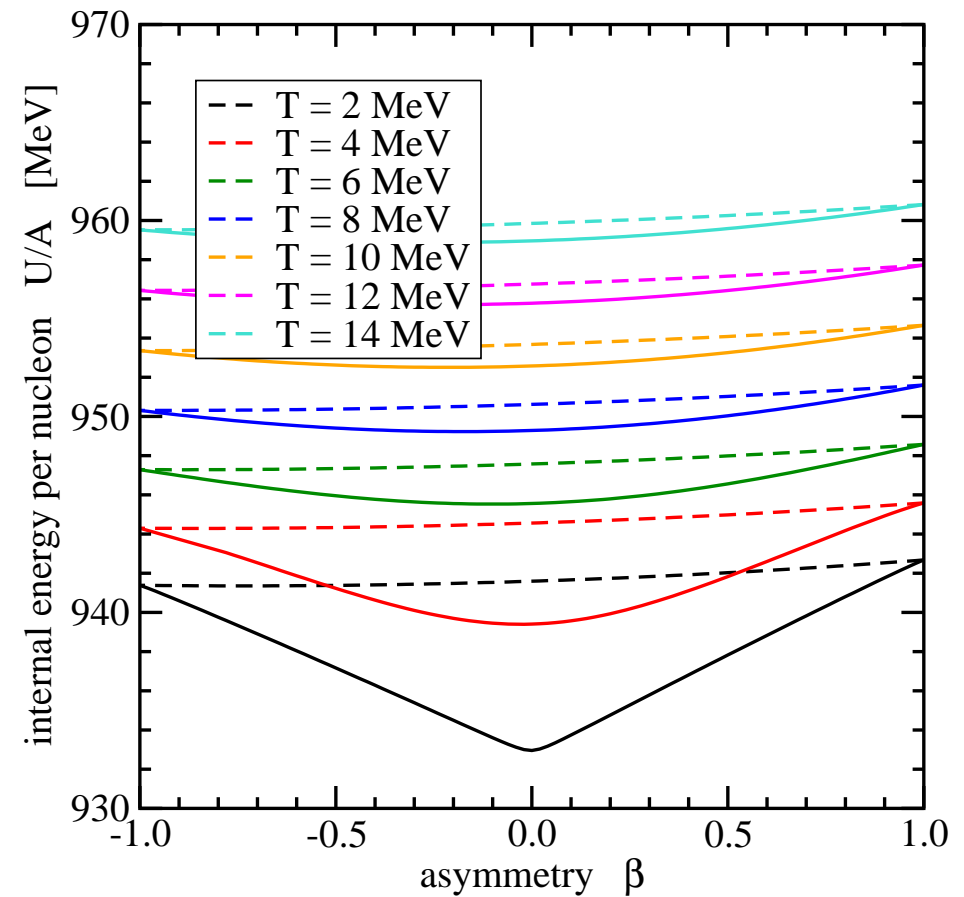
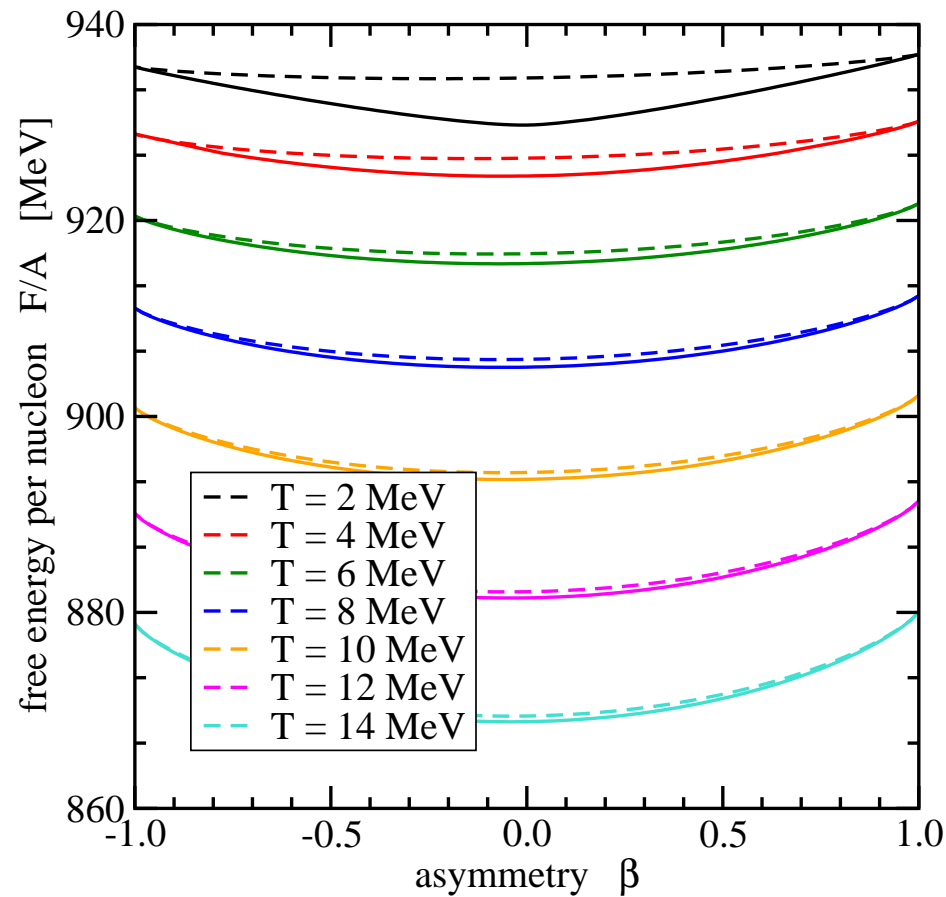


# Generalized RMF Model VII - Energies

without (dashed) and with (solid) clusters for density  $n = 10^{-3} \text{ fm}^{-3}$

free energy per nucleon  $F/A = f/n$

internal energy per nucleon  $U/A = u/n$



# Generalized RMF Model VIII - Symmetry Energy

- general definition for zero temperature:

$$E_s(n) = \frac{1}{2} \frac{\partial^2 E}{\partial \beta^2} \frac{1}{A}(n, \beta) \Big|_{\beta=0}$$

⇒ nuclear matter parameters

$$J = E_s(n_{\text{sat}}) \quad L = 3n_{\text{sat}} \frac{d}{dn} E_s \Big|_{n=n_{\text{sat}}}$$

- with clusters and at finite temperatures:

- use approximation

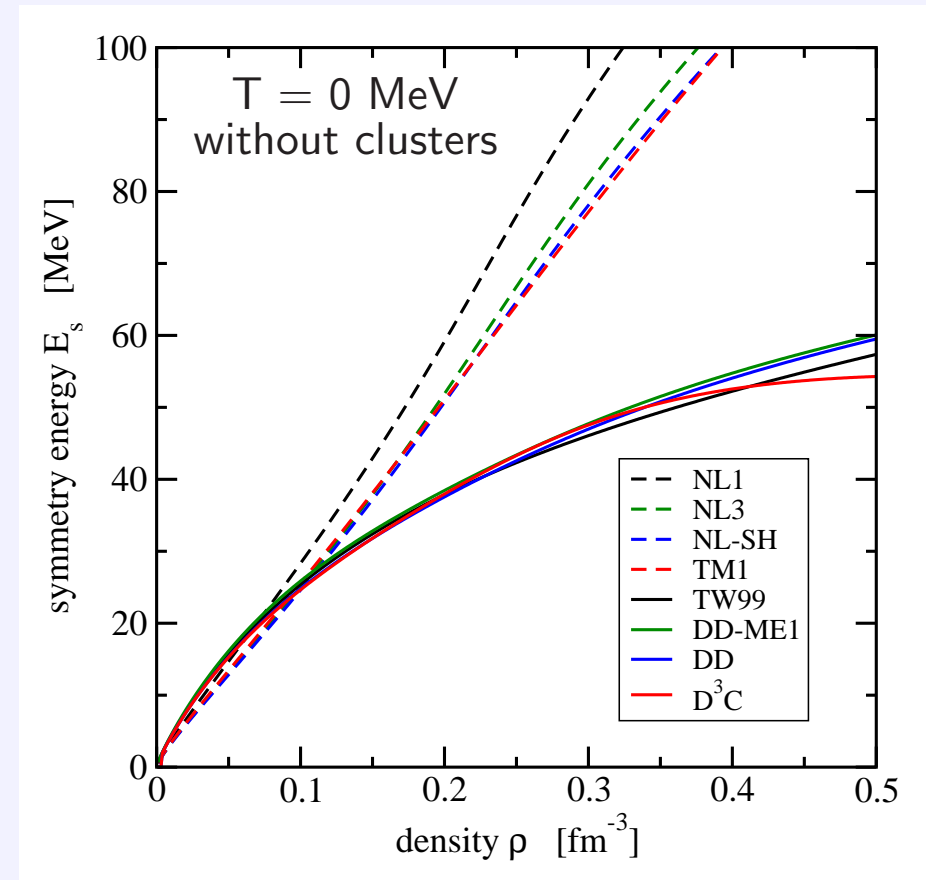
$$E_s(n) = \frac{1}{2} \left[ \frac{E}{A}(n, 1) - 2 \frac{E}{A}(n, 0) + \frac{E}{A}(n, -1) \right]$$

- distinguish free symmetry energy  $F_s$   
and internal symmetry energy  $U_s$

- in early RMF models: constant  $\rho$  meson coupling  $\Gamma_\rho$

- almost linear increase of  $E_s$  with  $n$
- too large values of  $J$  and  $L$

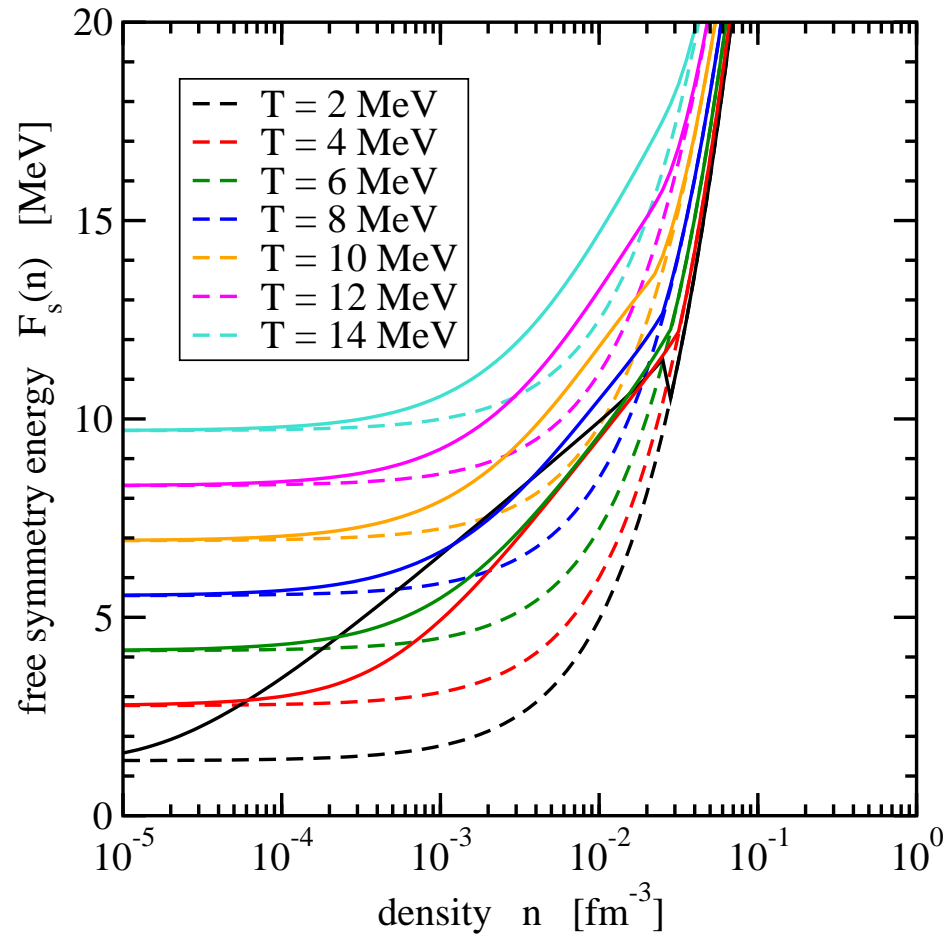
- models with density-dependent couplings: realistic values for  $J$  and  $L$



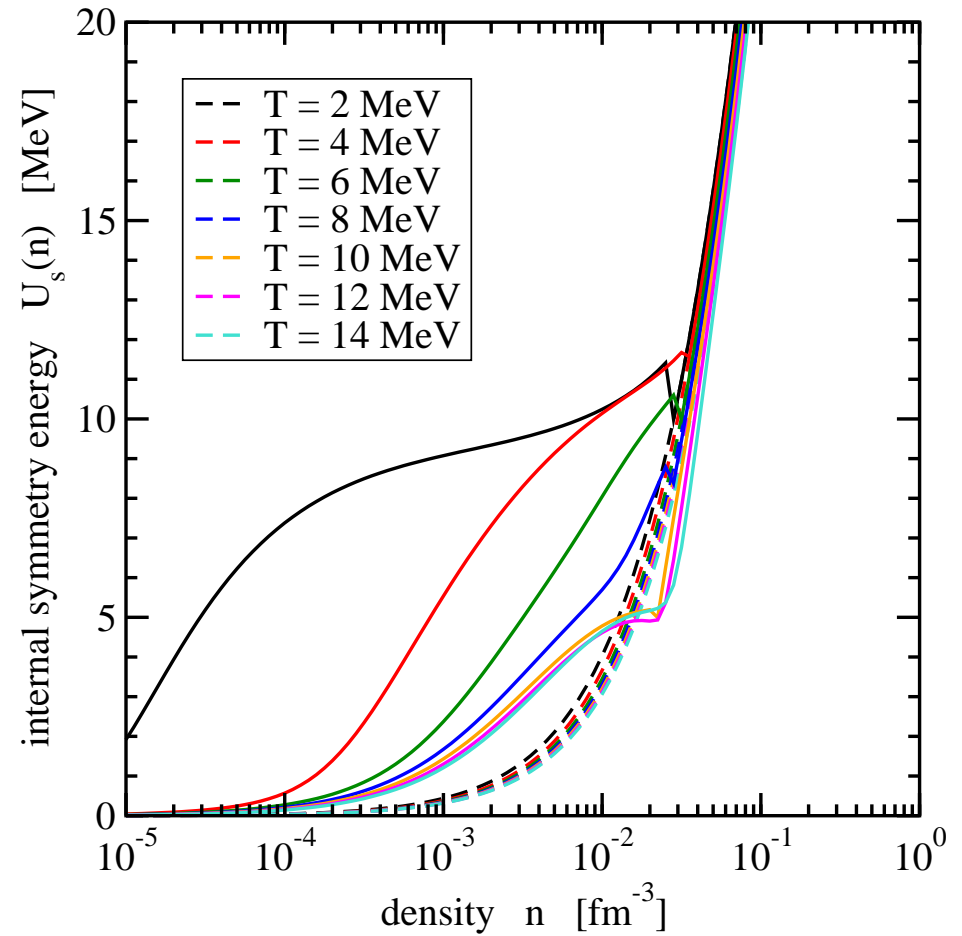
# Generalized RMF Model IX - Symmetry Energies

without (dashed) and with (solid) clusters

free symmetry energy



internal symmetry energy





# Phase Transition I - Example

- homogeneous symmetric nuclear matter without clusters

- consider isothermes in **pressure-density diagram**  
 $\Rightarrow$  **critical point**

example: parametrization DDF

$$T_c = 15.2 \text{ MeV}, n_c = 0.0505 \text{ fm}^{-3},$$

$$p_c = 0.244 \text{ MeV fm}^{-3}$$

$$\Rightarrow p_c/(n_c T_c) = 0.318$$

cf. van-der-Waals gas:

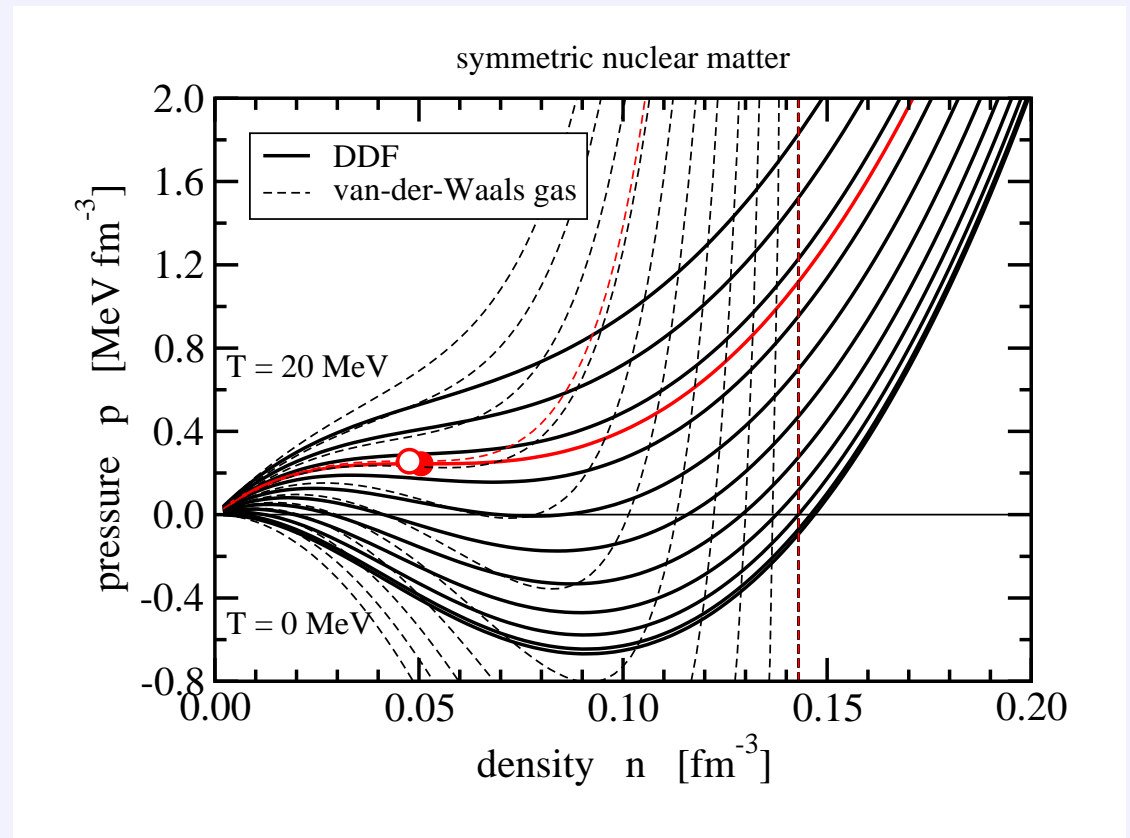
$$p_c/(n_c T_c) = 0.375$$

- $T < T_c$ : homogeneous matter

mechanically unstable in certain range of densities ( $\left. \frac{\partial p}{\partial n} \right|_{T,V} < 0$ )

$\Rightarrow$  appearance of low-density and high-density phases with the same asymmetry,  
 Maxwell construction: pressure and chemical potential constant

- asymmetric nuclear matter: more complicated situation



# Phase Transition II - Asymmetric Nuclear Matter

- phase transition with **two conserved charges**:

neutron and proton number or baryon and baryonic charge number

(see, e.g., H. Müller and B. D. Serot, Phys. Rev. C 52 (1995) 2072)

relation of chemical potentials:  $\mu_b = \mu_n$ ,  $\mu_q = \mu_p - \mu_n$

- distinction necessary:

- **spinodals** (instability boundaries)  $\Leftrightarrow$  **local criterion** on free energy density  $f$ :

stable if matrix  $\left( \frac{\partial^2 f}{\partial n_i \partial n_j} \right) \Big|_{T,V}$  positive (e.g. mechanical and diffusive stability)

- **binodals** (phase separation boundaries)  $\Leftrightarrow$  **global criterion** on free energy density  $f$ :  
convexity of free energy density (two phases  $I$  and  $II$ )

$$f(T, n_i) \leq \lambda f(T, n_i^I) + (1 - \lambda) f(T, n_i^{II}) \quad \text{with}$$

$$n_i = \lambda n_i^I + (1 - \lambda) n_i^{II} \quad 0 \leq \lambda \leq 1$$

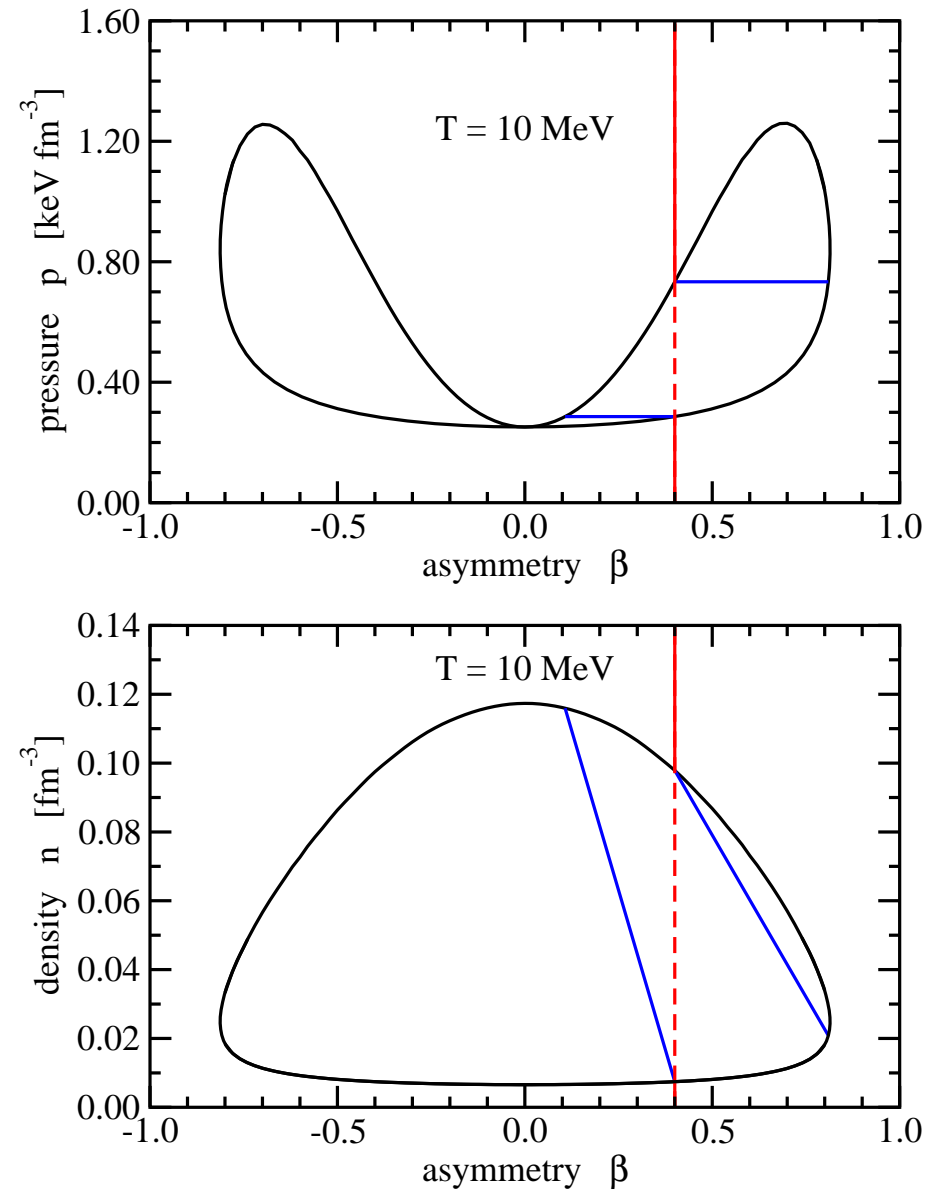
- spinodals enclosed by binodals  $\Rightarrow$  binodals relevant for system in equilibrium

# Phase Transition III - Construction

- construction of **coexisting phases I, II** in equilibrium with Gibbs conditions: **equal intensive variables**, i.e.

$$T^I = T^{II} \quad p^I = p^{II} \quad \mu_b^I = \mu_b^{II} \quad \mu_q^I = \mu_q^{II}$$

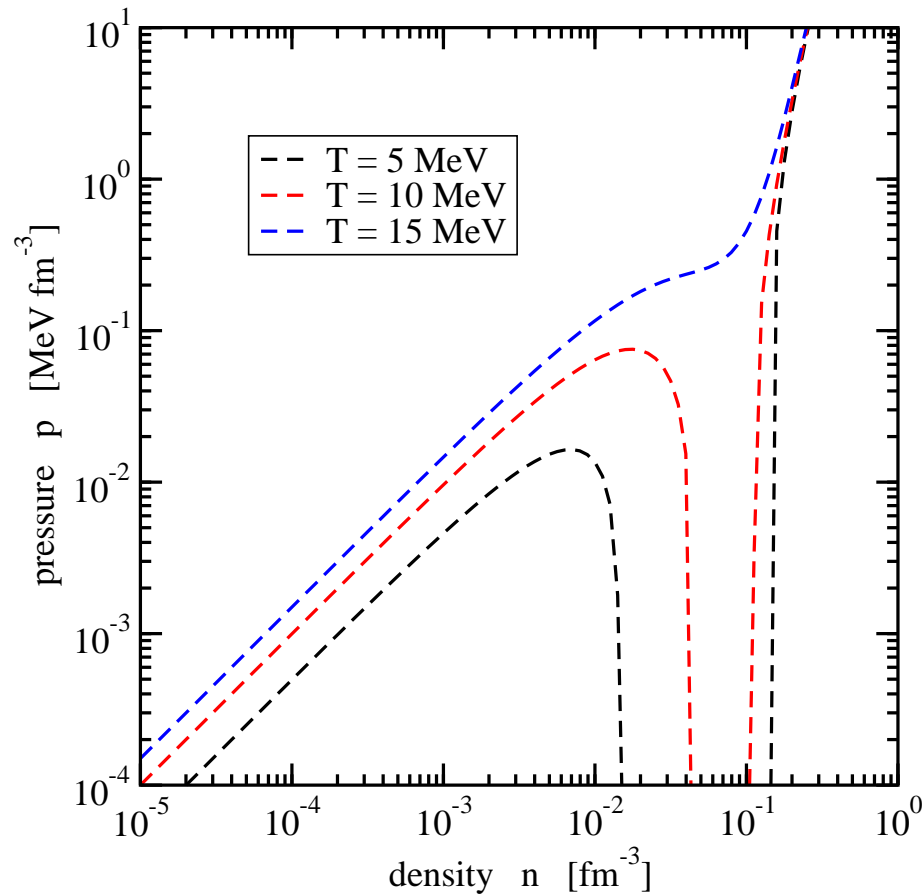
- pressure and chemical potentials not necessarily constant during phase transition
- two phases with **different densities** and **different asymmetries**
- description of system inside binodal not needed
- generalization for system with electrons possible (charge neutrality)
- no surface effects, no Coulomb interaction  $\Rightarrow$  inhomogeneities, heavy clusters



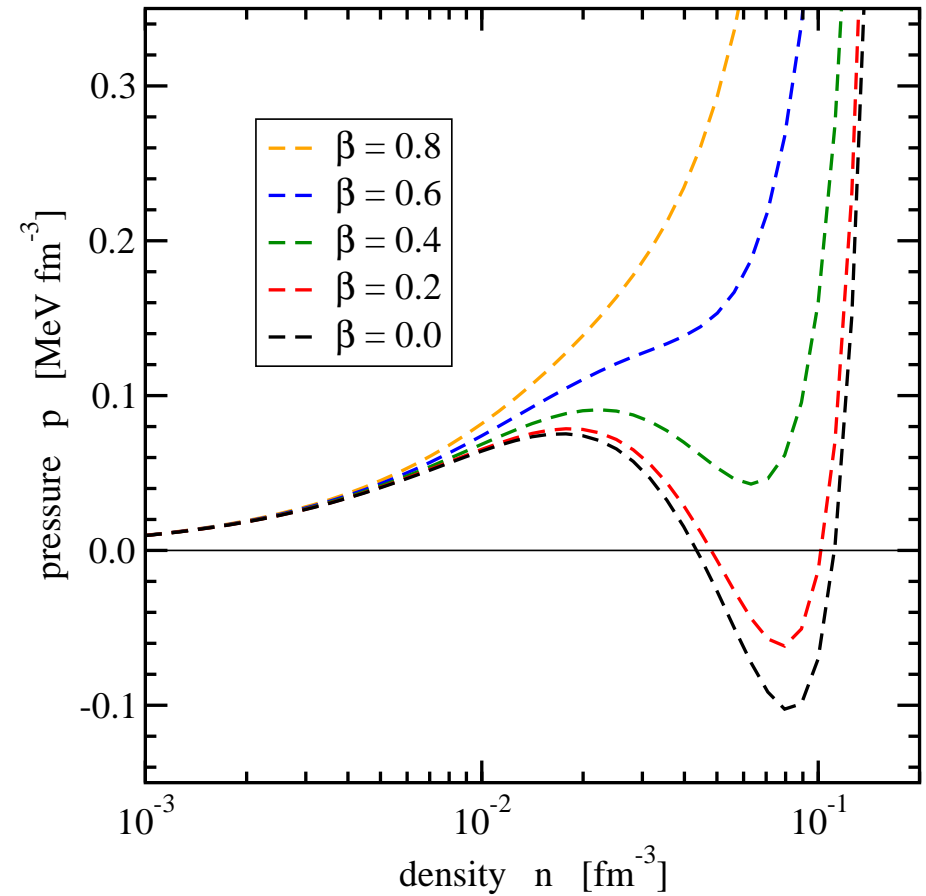
# Phase Transition IV - Pressure

without phase transition

constant asymmetry  $\beta = 0.0$



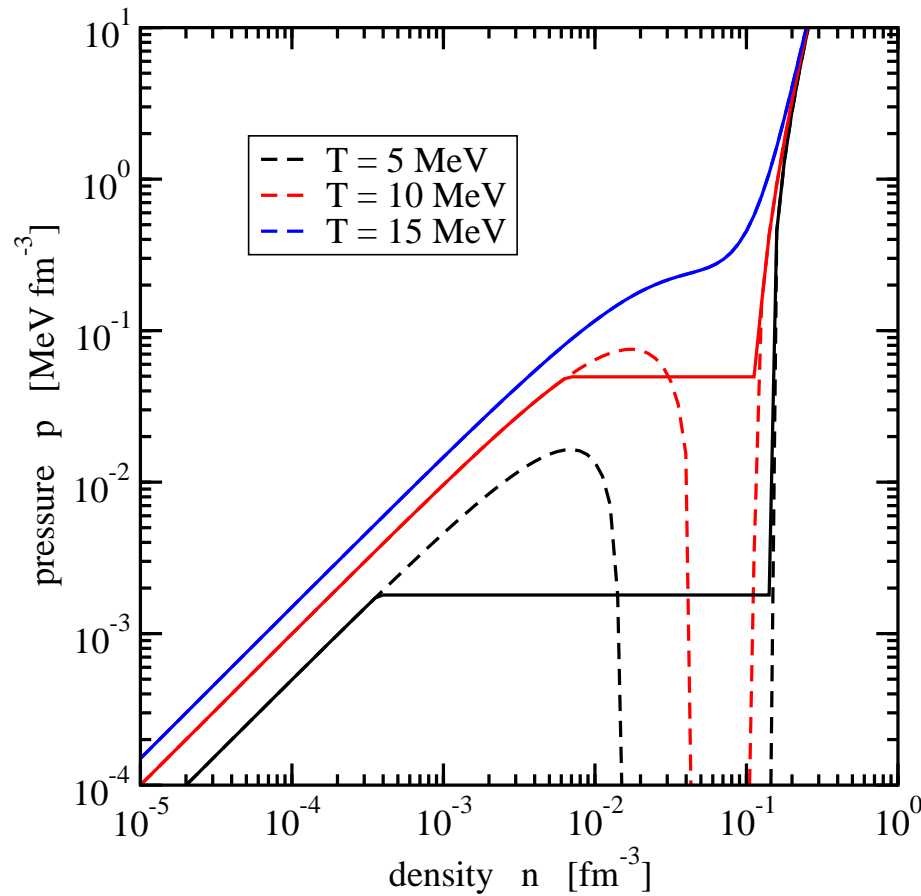
constant temperature  $T = 10$  MeV



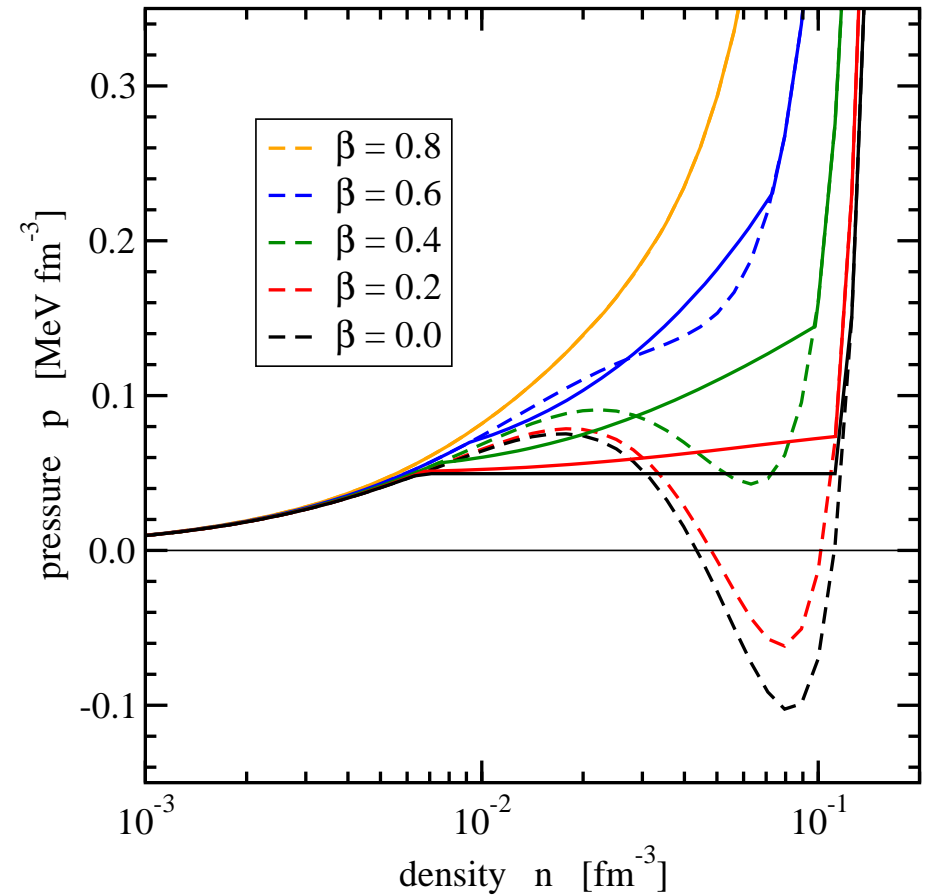
# Phase Transition IV - Pressure

without (dashed) and with (solid) phase transition

constant asymmetry  $\beta = 0.5$



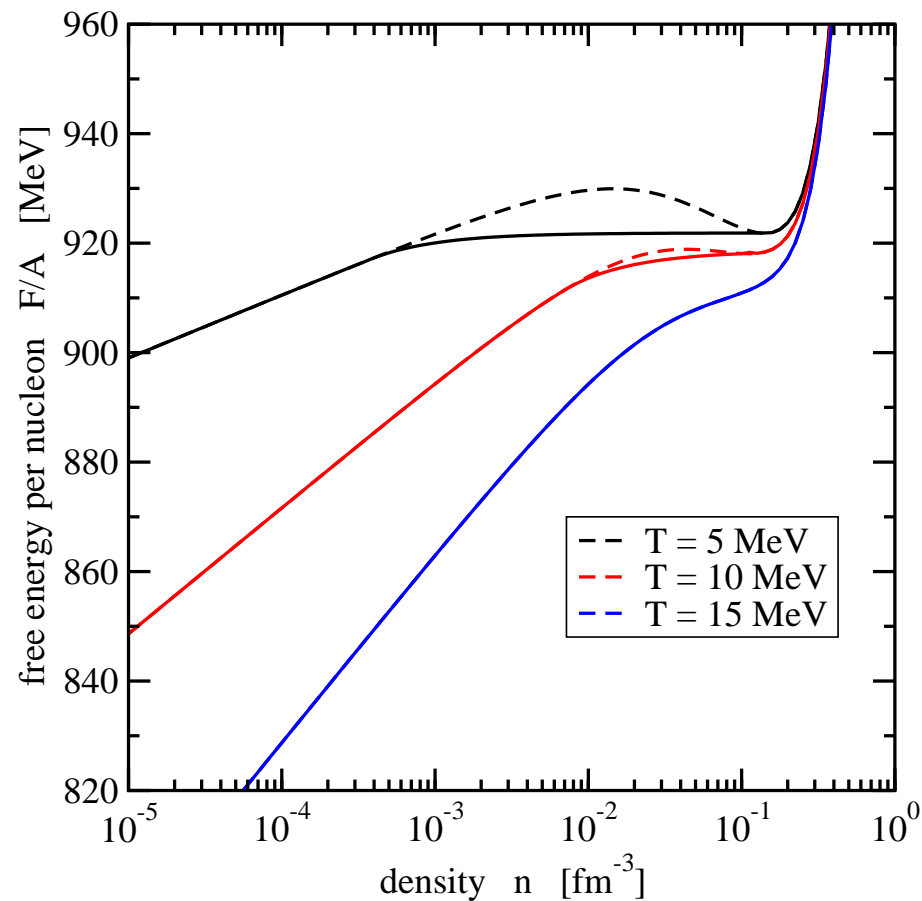
constant temperature  $T = 10$  MeV



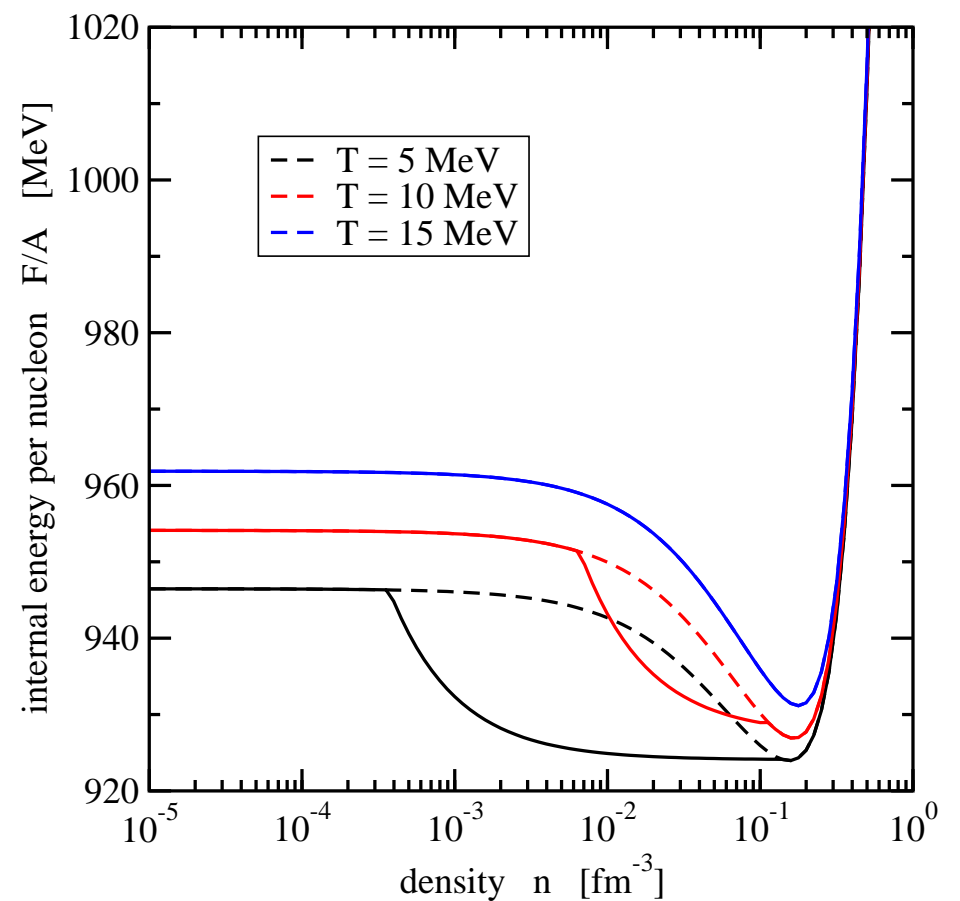
# Phase Transition V - Energies

without (dashed) and with (solid) phase transition for asymmetry  $\beta = 0$

free energy per nucleon  $F/A = f/n$



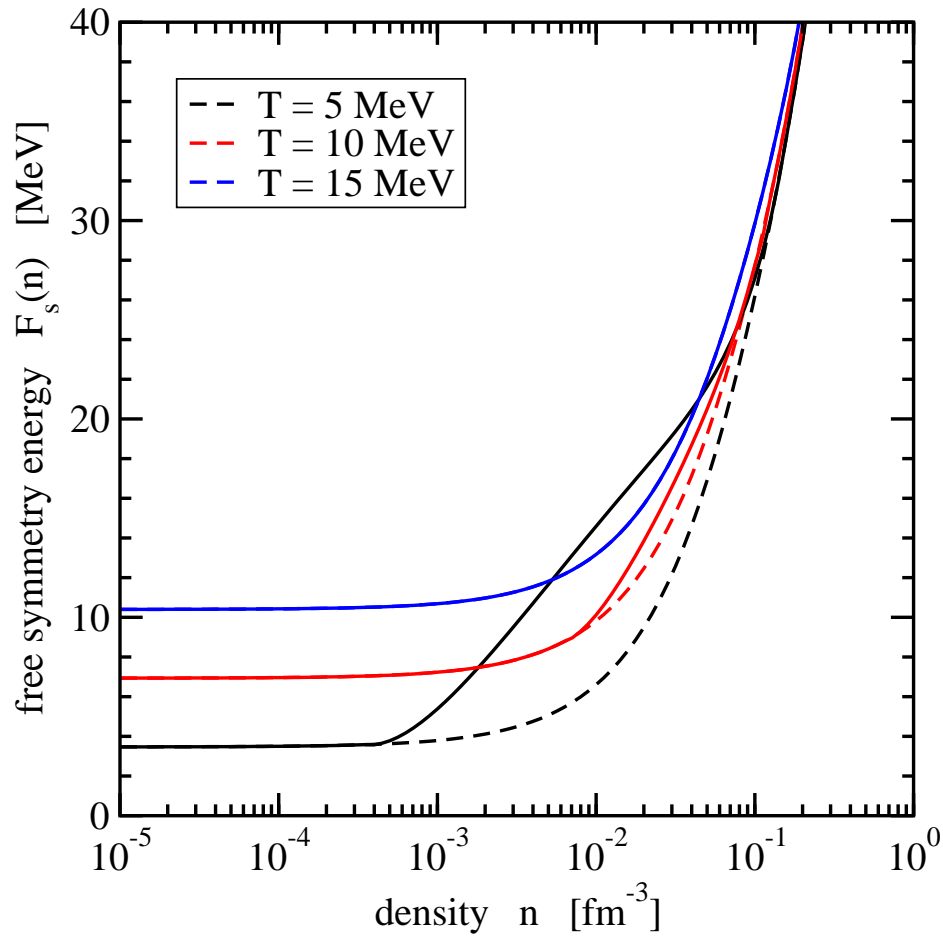
internal energy per nucleon  $U/A = u/n$



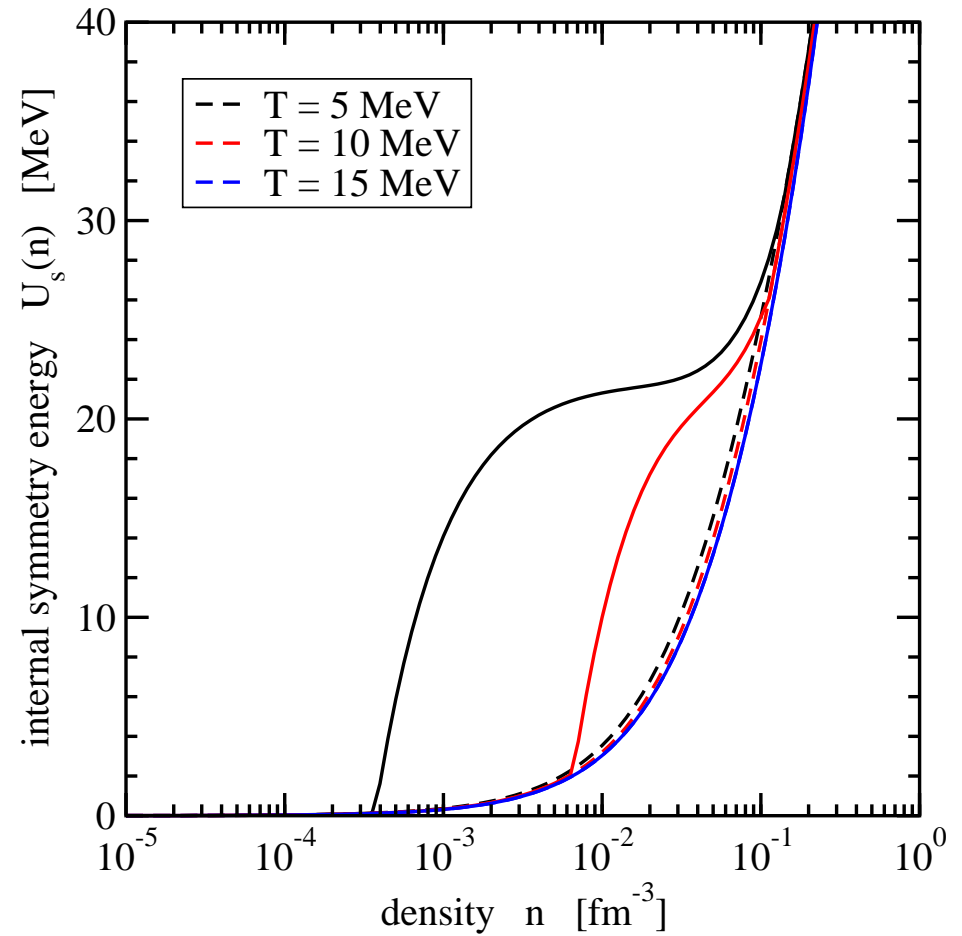
# Phase Transition VI - Symmetry Energies

without (dashed) and with (solid) phase transition

free symmetry energy



internal symmetry energy



# Heavy Clusters I - Inhomogeneous Matter

## low densities and low temperatures

- homogeneous system not stable  $\Rightarrow$  **inhomogeneities** develop
- single phase transition (with low and high density phases) replaced by **sequence of transitions** with various shapes of density distributions (“pasta” phases)
- **surface effects** and **Coulomb interaction** important
- **global charge neutrality**  $\Rightarrow$  compensation of proton charge by electron charge

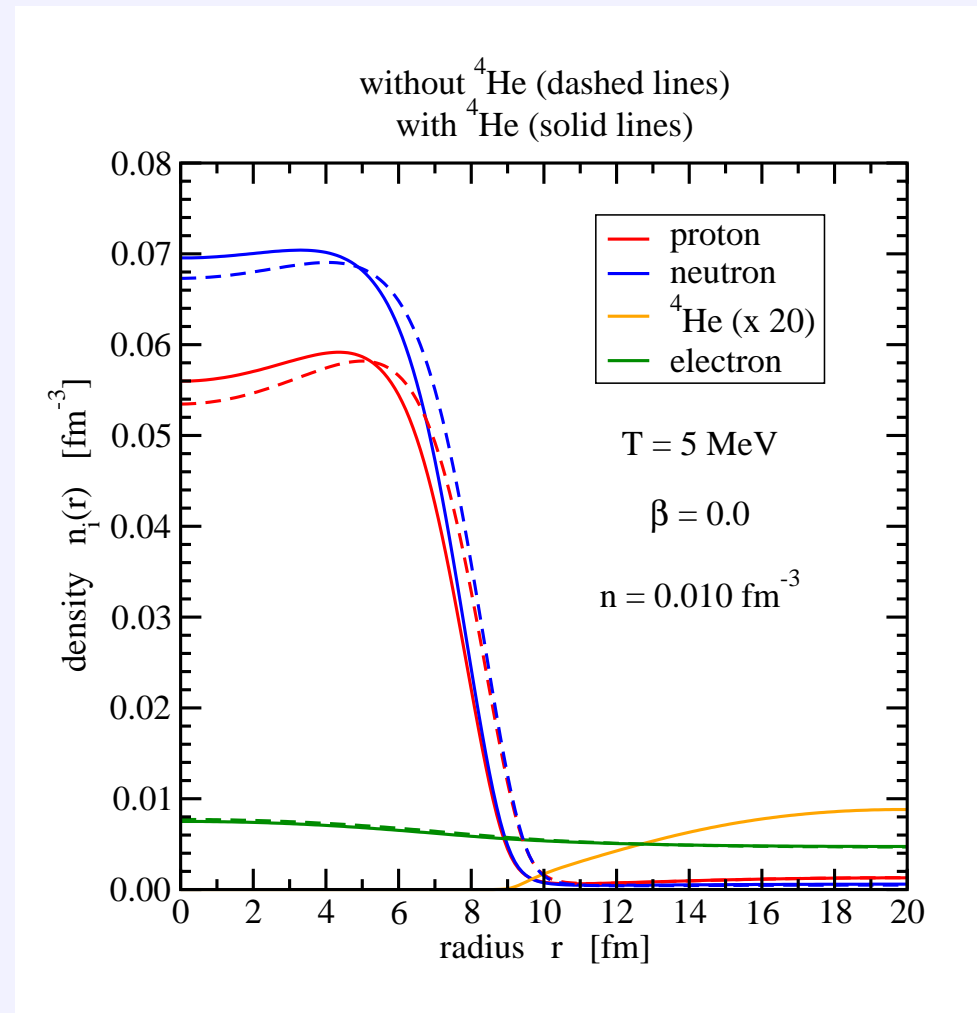
## first approximation

- **lattice** periodic distribution **of heavy nuclei**;  
nucleons, electrons, light clusters in between
- **Wigner-Seitz approximation**: replace (cubic) lattice cell by spherical WS cell
- **relativistic Thomas-Fermi approximation** with RMF energy density functional  
(beyond local density approximation)  
 $\Rightarrow$  **selfconsistent density distributions** with smooth transition to homogeneous phase
- **lattice correlation energy** by long-range Coulomb interaction important
- construction of phase transition still required



# Heavy Clusters II - Example

- inhomogeneous matter with protons, neutrons, electrons and  $\alpha$  particles
- self-consistent density distributions in spherical Wigner-Seitz cell
- formation of heavy nucleus
- shell/bubble solutions possible
- distribution of  $\alpha$  particles around nucleus (no excluded volume mechanism needed)
- effects of Coulomb interaction
- inhomogeneous electron distribution (charge screening)



# Heavy Clusters III - Lattice Energy

## correction of Coulomb field energy

- in spherical WS cell of radius  $R$ :  $E_C^{WS} = \frac{\Gamma_\gamma}{2} \int_{V_{WS}} d^3r A_0(r) \varrho_q(r) \quad -\Delta A_0 = \Gamma_\gamma \varrho_q$

with spherical field  $A_0(r)$  and charge density  $\varrho_q(r) \quad \int_{V_{WS}} d^3r \varrho_q(r) = 0$

boundary conditions:  $A_0(R) = 0, A'_0(R) = 0$

- place WS cells on lattice sites with lattice constant  $a$   
 $\Rightarrow$  lattice periodic fields and densities

replace  $E_C^{WS}$  by  $E_C^{\text{lat}} = \frac{\Gamma_\gamma^2 a}{(2\pi)^2} \sum'_{h,k,l} \left( \frac{N_{hkl} I_{hkl}}{h^2 + k^2 + l^2} \right)^2$  with  $N_{hkl} = 1 + (-1)^{h+k+l}$  (bcc cell)

$I_{hkl} = \int_0^R dr r [\varrho_q(r) - \varrho_q(R)] \sin(q_{hkl} r) \quad q_{hkl} = \frac{2\pi}{a} \sqrt{h^2 + k^2 + l^2}$

$\Rightarrow$  violation of thermodynamical consistency  $p = n^2 \left. \frac{\partial(f/n)}{\partial n} \right|_{T,V,\beta} \neq \sum_i \mu_i n_i - f$

- use lattice field energy (photons and mesons) in energy density functional for derivation of field equations, self-energies, etc.

# Summary and Outlook

- construction of **improved equation of state** of dense nuclear matter
  - relativistic mean-field model with density-dependent couplings
  - generalized Beth-Uhlenbeck approach (light clusters)
  - relativistic Thomas-Fermi calculations in modified Wigner-Seitz cell (heavy clusters)
- various **constraints of model parameters**
- improved **consistency**
- **work in progress**
  - parametrization of medium effects for clusters/correlations to be investigated
  - improvement of RMF parametrization
  - only preliminary results so far, still numerical difficulties
- **application** (future)
  - detailed investigation of new EoS
  - effects on astrophysical models for supernovae?