

# Boer-Mulders and Sivers effects in the Drell-Yan process

Drell-Yan scattering and the structure of hadrons  
Trento, May 21-25, 2012

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ECT\*, Trento



In collaboration with  
M. Anselmino, E. Boglione, V. Barone, A. Prokudin

# Outline

- Boer-Mulders extraction from SIDIS data (2010)
- Boer-Mulders in DY processes (2010)
- Open problems
- Sivers in DY processes (2009)
- Sivers from SIDIS data with TMD evolution
- Sivers TMD evolution & DY processes

# Boer-Mulders function extraction from $A^{\cos^2\phi}$ in unpolarized SIDIS

V. Barone, S. Melis and A. Prokudin Phys. Rev. D81, 114026 (2010)

# Extraction of the Boer-Mulders functions

➤ The angular distribution in the unpolarized SIDIS can be written as

$$d\sigma = A + B \cos \phi + C \cos 2\phi$$

- $A \propto f_1 \otimes D_1$  is the usual  $\phi$ -independent contribution
- $C \propto h_1^\perp \otimes H_1^\perp + \frac{1}{Q^2} f_1 \otimes D_1$  BM effect+Twist-4 Cahn effect

$$A^{\cos 2\phi} = 2 \frac{\int d\sigma \cos 2\phi}{\int d\sigma} = \frac{C}{A}$$

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Unpolarized PDF&FF gaussian as in Anselmino et al. [1]

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Collins function as in Anselmino et. al arXiv: 0812.4366v1

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BM that we want to extract from the fit of  $A^{\cos 2\phi}$  data

# Extraction of the Boer-Mulders functions

➤ Simple parametrization of the Boer-Mulders functions:

- $h_1^{\perp q}(x, k_{\perp}) = \lambda_q f_{1T}^{\perp q}(x, k_{\perp})$  for valence quarks

- $h_1^{\perp q}(x, k_{\perp}) = -|f_{1T}^{\perp q}(x, k_{\perp})|$  for sea quarks

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➤ Inspired by models:

$$h_1^{\perp q}(x, k_{\perp}) = \frac{\mathcal{K}_T^q}{\mathcal{K}^q} f_{1T}^{\perp q}(x, k_{\perp})$$

Tensor magnetic moment

Anomalous magnetic moment

Burkardt, Phys. Rev. D72, 094020 (2005)

Gockeler, Phys.Rev.Lett.98:222001,2007.

# Extraction of the Boer-Mulders functions

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➤ Models inspired:

$$h_1^{\perp q}(x, k_{\perp}) = \frac{\kappa_T^q}{\kappa^q} f_{1T}^{\perp q}(x, k_{\perp})$$

- $h_1^{\perp u}(x, k_{\perp}) \simeq 1.80 f_{1T}^{\perp u}(x, k_{\perp}) < 0$

- $h_1^{\perp d}(x, k_{\perp}) \simeq -0.94 f_{1T}^{\perp d}(x, k_{\perp}) < 0$

# Extraction of the Boer-Mulders functions

## FIT I

- HERMES proton and deuteron target  
( $x, z, P_T$ ) charged hadrons

HERMES, Giordano: arXiv:0901.2438

- COMPASS deuteron target  
( $x, z$ ) charged hadrons

COMPASS, Kafer: arXiv 0808.0114

- 2 free parameters:

$$\lambda_u \quad \lambda_d$$

✓ GRV98 PDF

✓ DSS FF

✓ Gaussians:  $\langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV/c)}^2$   
 $\langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$   
(from Cahn effect)

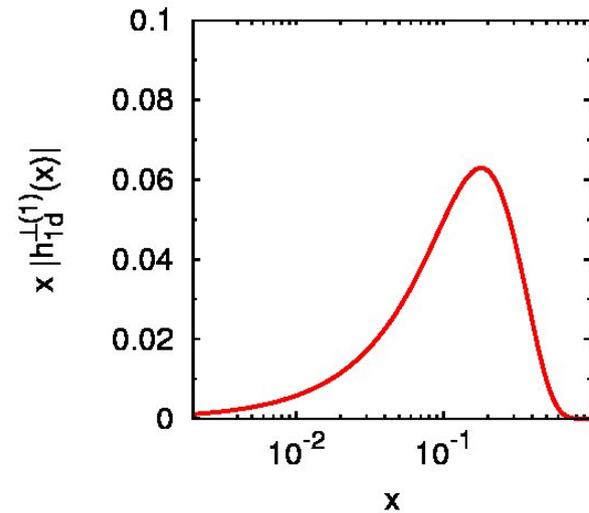
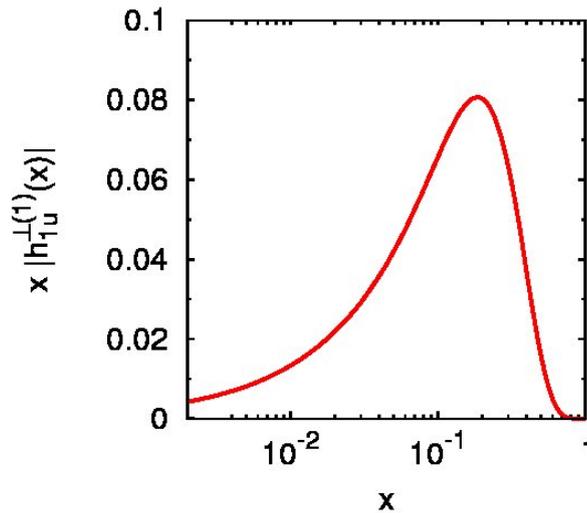
✓  $h_1^{\perp q}(x, k_{\perp}) = \lambda_q f_{1T}^{\perp q}(x, k_{\perp})$

✓  $h_1^{\perp q}(x, k_{\perp}) = -|f_{1T}^{\perp q}(x, k_{\perp})|$

Sivers functions from

Anselmino et al. Eur. Phys. J. A39,89

# Extraction of the Boer-Mulders functions



$$\diamond \chi^2/d.o.f. = 3.73$$

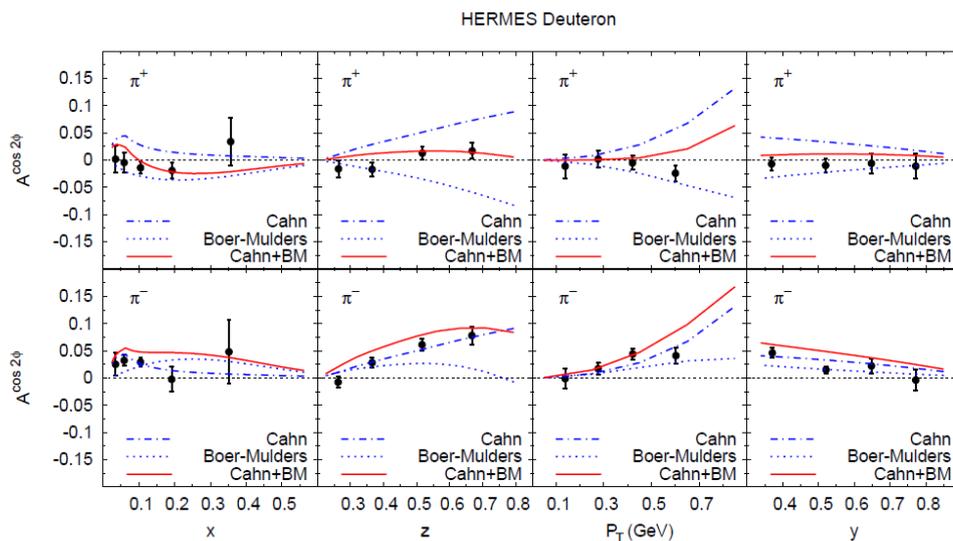
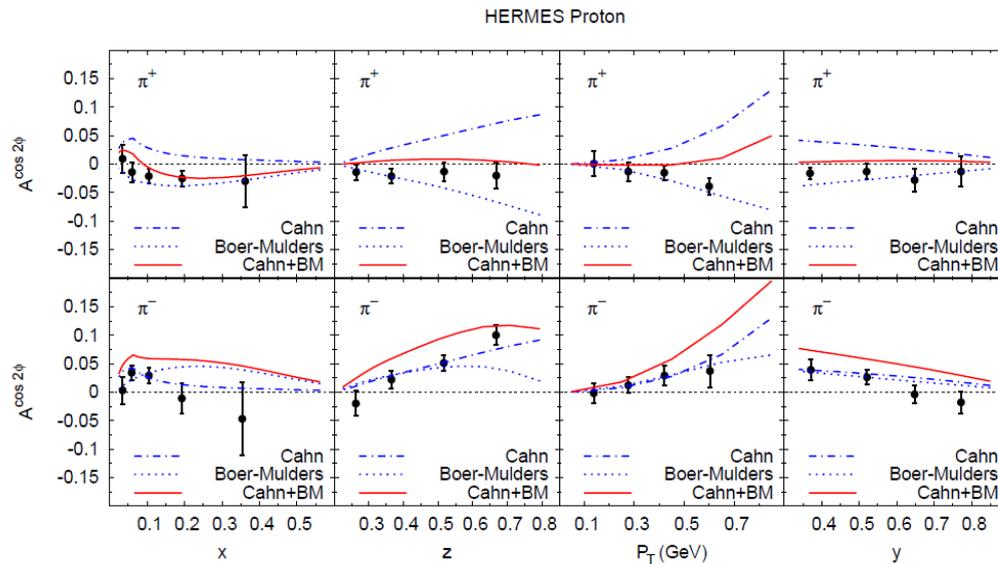
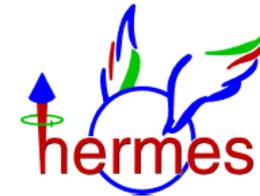
$$\bullet \lambda_u = 2.0 \pm 0.1$$

$$\bullet \lambda_d = -1.11^{+0.00}_{-0.02}$$

$\Rightarrow h_1^{\perp d}$  and  $h_1^{\perp u}$  both negative

Compatible with models predictions

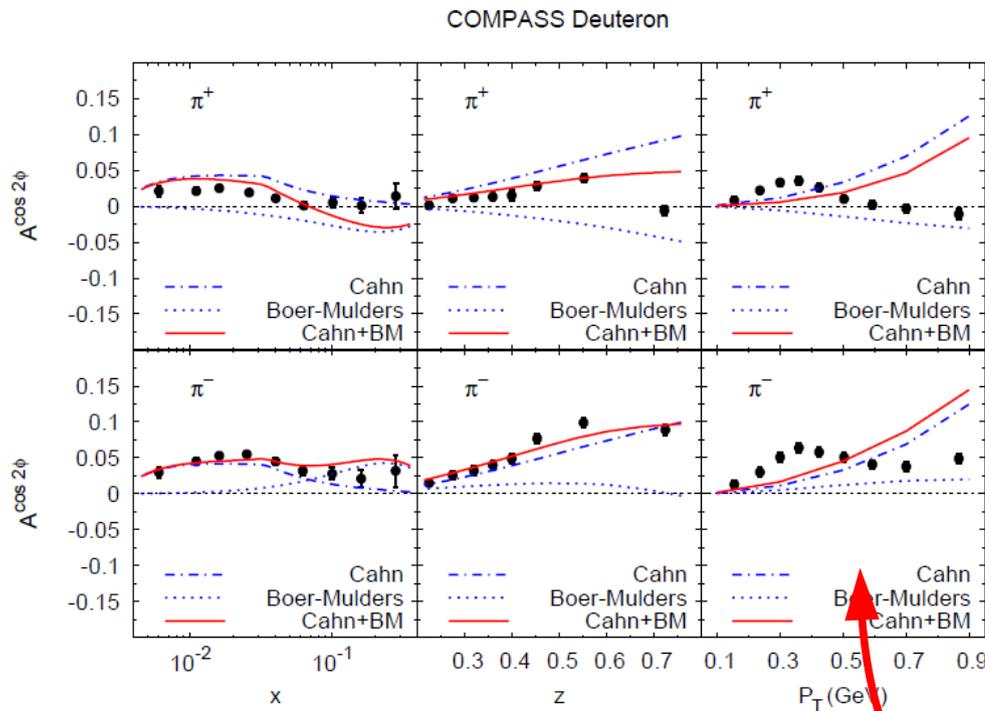
# Extraction of the Boer-Mulders functions



- ✓ Cahn effect (Twist-4) comparable to BM effect
- ✓ Same sign of Cahn contribution for positive and negative pions
- ✓ BM contribution opposite in sign for positive and negative pions

HERMES, Giordano:arXiv:0901.2438

# Extraction of the Boer-Mulders functions



- ✓ Cahn effect (Twist-4) comparable to BM effect
- ✓ Same sign of Cahn contribution for positive and negative pions
- ✓ BM contribution opposite in sign for positive and negative pions

Data in  $p_T$  not included in the fit

COMPASS, Kafer: arXiv 0808.0114

# Extraction of the Boer-Mulders Function

► The Cahn effect is a crucial ingredient

✓ Gaussians:  $\langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV/c)}^2$   
 $\langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$  } From Ref.[\*]: analysis of  
Cahn  $\cos\phi$  effect from EMC data

COMPASS

$$\langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV/c)}^2$$
$$\langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$$

~EMC

HERMES

$$\langle k_{\perp}^2 \rangle = 0.18 \text{ (GeV/c)}^2$$
$$\langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$$

~HERMES MC

[\*] Anselmino et al. Phys. Rev. D71 074006 (2005)

# Extraction of the Boer-Mulders Function

## ➤ FIT II

COMPASS

$$\langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV/c)}^2$$
$$\langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$$

~EMC

FIT II

HERMES

$$\langle k_{\perp}^2 \rangle = 0.18 \text{ (GeV/c)}^2$$
$$\langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$$

~HERMES MC

$$\diamond \chi^2/d.o.f. = 2.41$$

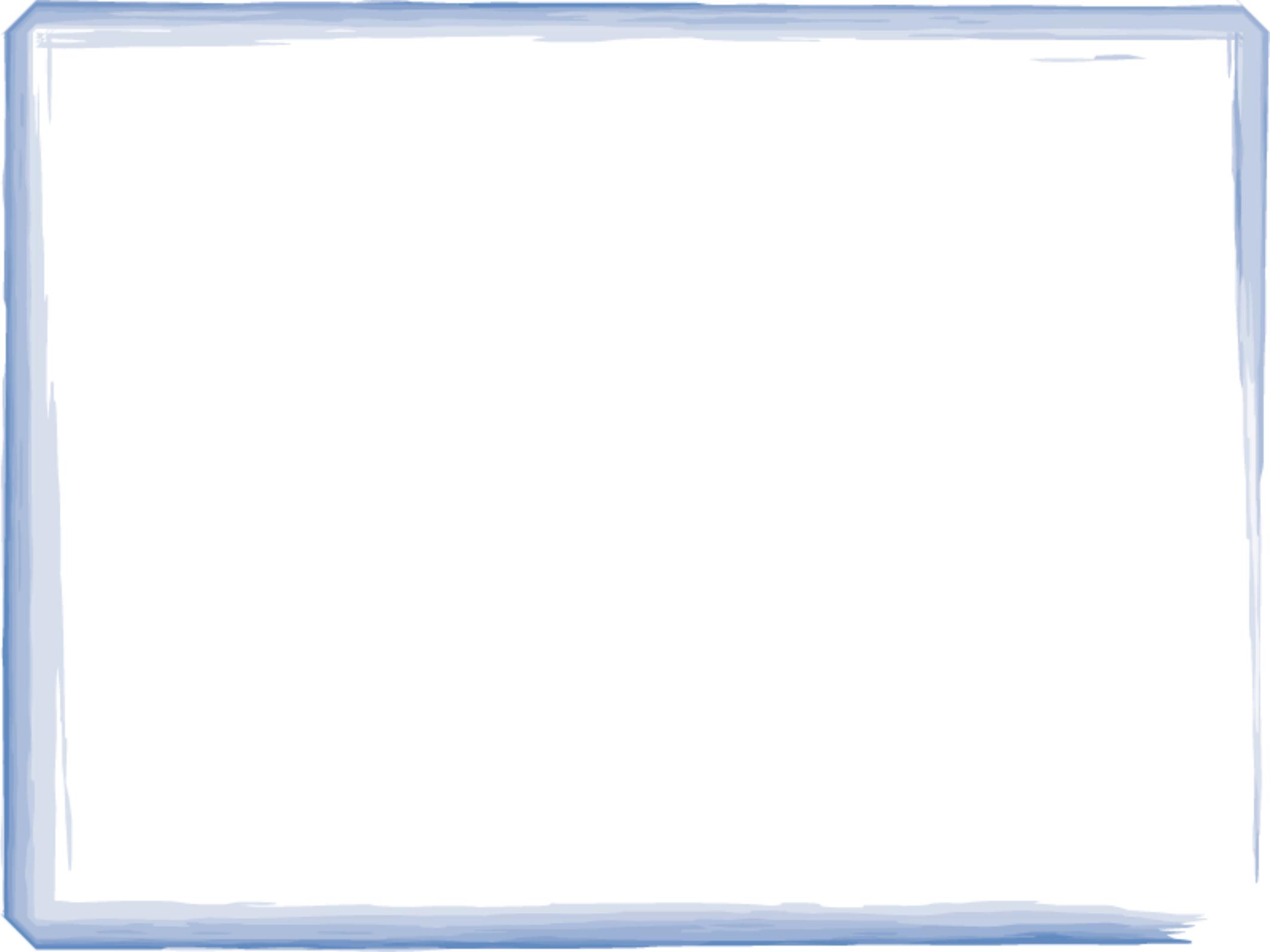
$$\bullet \lambda_u = 2.1 \pm 0.1$$

$$\bullet \lambda_d = -1.11^{+0.00}_{-0.02}$$

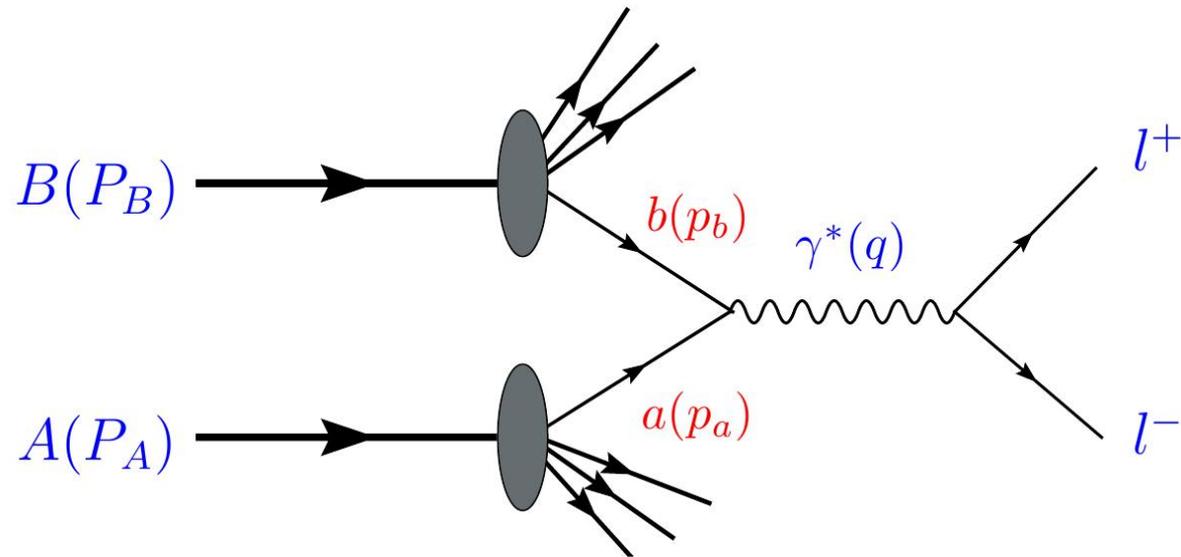
Better description of HERMES but the BM is unchanged

# Conclusions I ...2010

- u and d BM functions have the same sign.  
They are compatible with models
- Twist-4 Cahn effect cannot be neglected  
at HERMES and COMPASS.
- Different average transverse momenta  
for different experiments or evidence of  
evolution?



# Boer-Mulders function extraction from $v$ in unpolarized DY processes



# Boer-Mulders function in DY from fits

- General expression for the dilepton angular distributions in the dilepton rest frame:

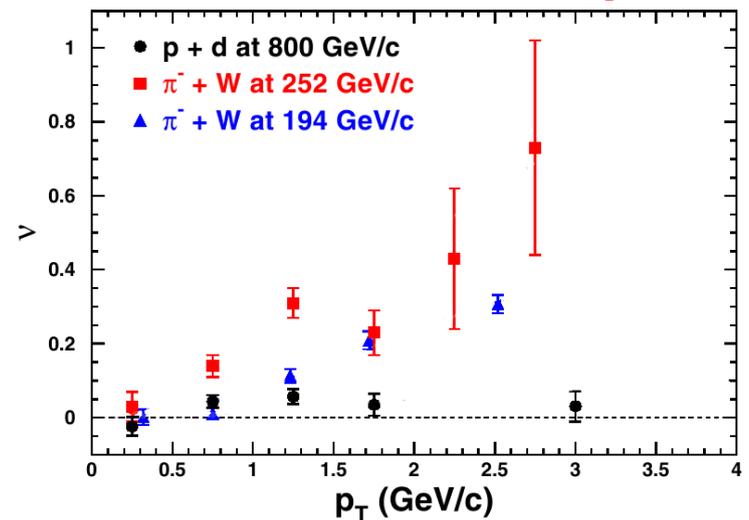
$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} = \frac{3}{4\pi(\lambda + 3)} \left[ 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + (\nu/2) \sin^2 \theta \cos 2\phi \right]$$

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$\nu$



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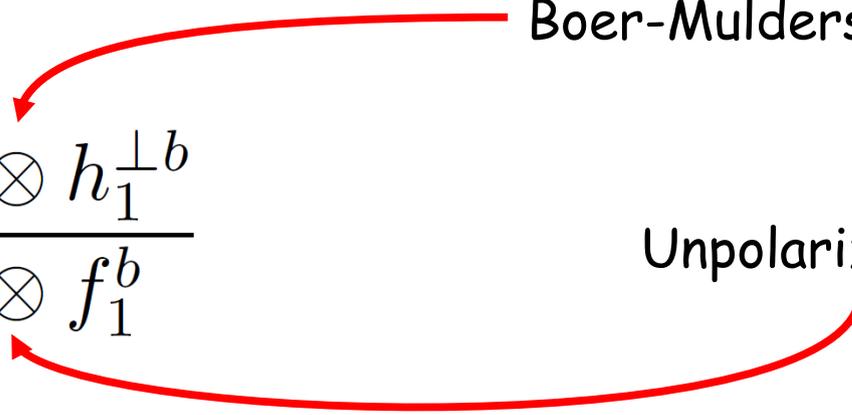
$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} = \frac{3}{4\pi(\lambda + 3)} \left[ 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + (\nu/2) \sin^2 \theta \cos 2\phi \right]$$

- TMDs approach

$$\nu \propto \frac{h_1^{\perp a} \otimes h_1^{\perp b}}{f_1^a \otimes f_1^b}$$

Boer-Mulders functions

Unpolarized PDFs



# Boer-Mulders function in DY from fits

- We performed in 2010 an analysis of E866 data on pp and pD Drell-Yan

$$\nu \propto \frac{h_1^{\perp a} \otimes h_1^{\perp b}}{f_1^a \otimes f_1^b}$$

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$$\nu \propto \frac{h_1^{\perp a} \otimes h_1^{\perp b}}{f_1^a \otimes f_1^b}$$

 Gaussian smearing for PDFs

•  $f_{q/p}(x, k_{\perp}) = f_q(x) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}$  

[\*]  $\langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV}/c)^2$

# Boer-Mulders function in DY from fits

➤ We performed an analysis of E866 data on pp and pD Drell-Yan

$$\nu \propto \frac{h_1^{\perp a} \otimes h_1^{\perp b}}{f_1^a \otimes f_1^b}$$

 u and d Boer-Mulders functions as extracted from SIDIS

- $h_1^{\perp q}(x, k_{\perp}) = \lambda_q f_{1T}^{\perp q}(x, k_{\perp})$  [\*]

$$\lambda_u = 2.0 \pm 0.1$$

$$\lambda_d = -1.11^{+0.00}_{-0.02}$$

[\*]Sivers functions from Anselmino et al. Eur. Phys. J. A39,89

# Boer-Mulders function in DY from fits

➤ We performed an analysis of E866 data on pp and pD Drell-Yan

$$\nu \propto \frac{h_1^{\perp a} \otimes h_1^{\perp b}}{f_1^a \otimes f_1^b}$$

  $\bar{u}$  and  $\bar{d}$  Boer-Mulders parametrized similarly:

$$h_1^{\perp \bar{q}}(x, k_{\perp}) = \lambda_{\bar{q}} f_{1T}^{\perp q}(x, k_{\perp})[*]$$

[\*]Sivers functions from Anselmino et al. Eur. Phys. J. A39,89

# Boer-Mulders function in DY from fits

- Results of the analysis of E866 data on pp and pD Drell-Yan

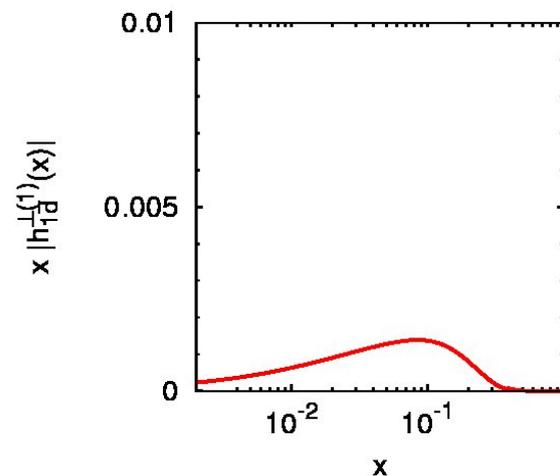
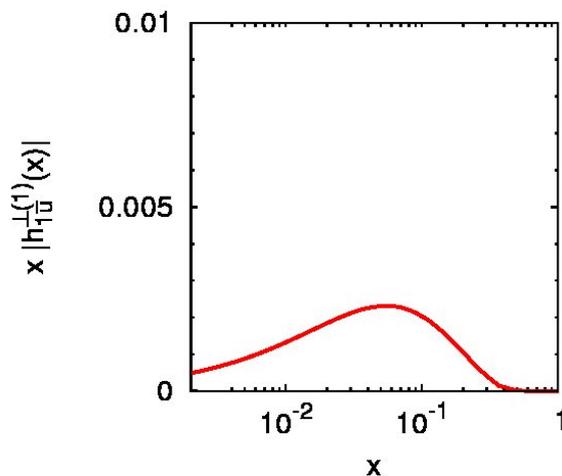
$$h_1^{\perp \bar{q}}(x, k_{\perp}) = \lambda_{\bar{q}} f_{1T}^{\perp q}(x, k_{\perp}) \quad [*]$$

$$\lambda_{\bar{u}} = 3.25 \pm 0.75$$

$$\lambda_{\bar{d}} = -0.15 \pm 0.13$$

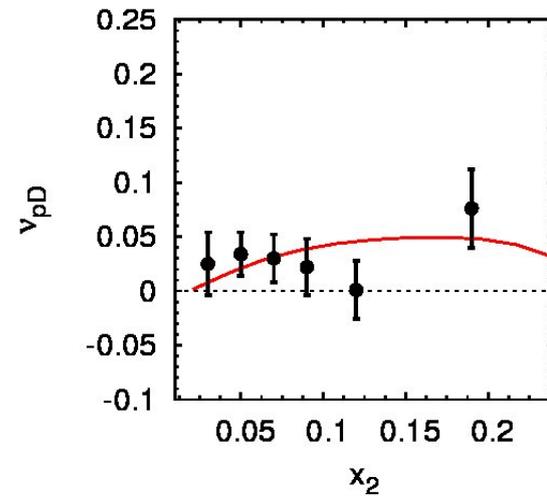
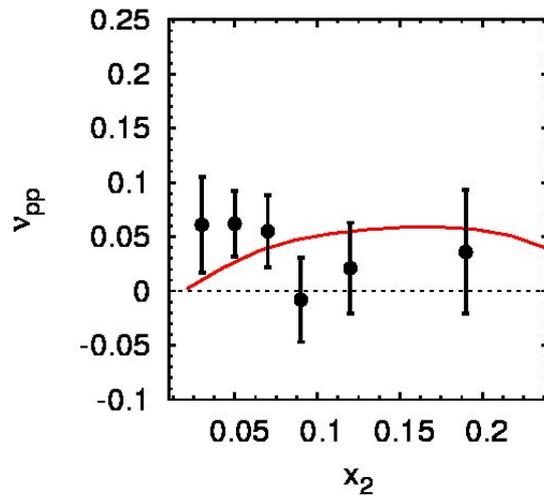
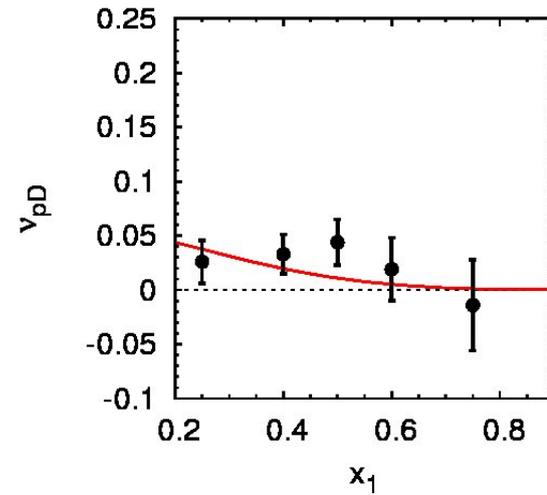
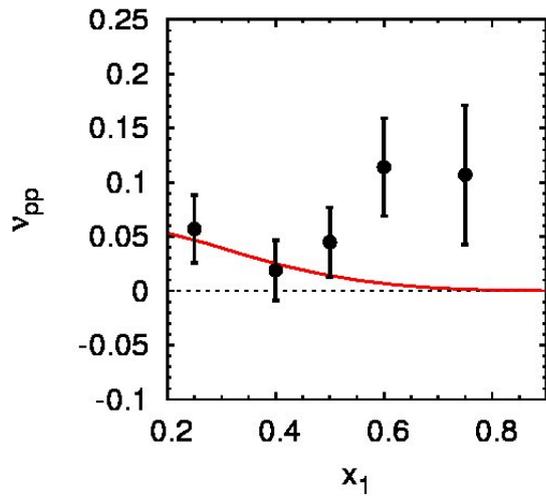
$$\chi_{d.o.f}^2 = 1.24$$

FIT I

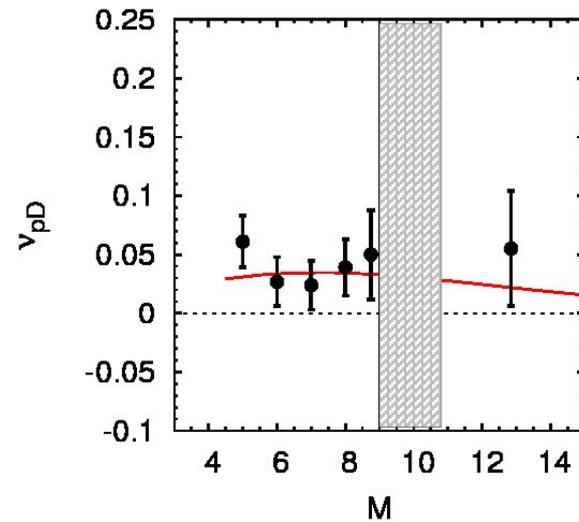
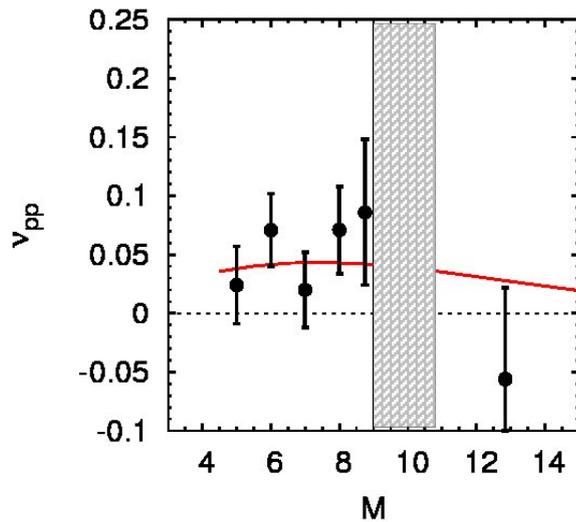
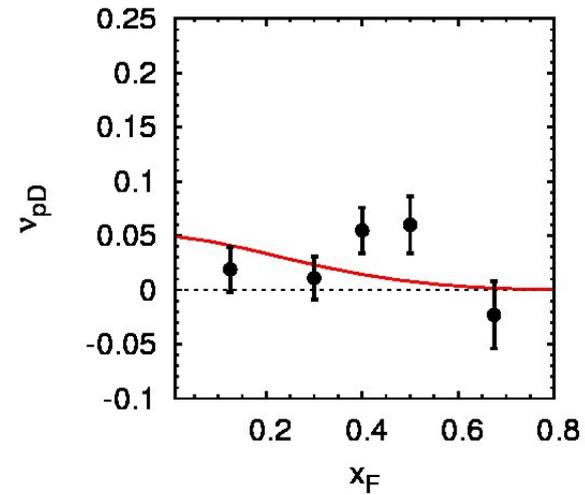
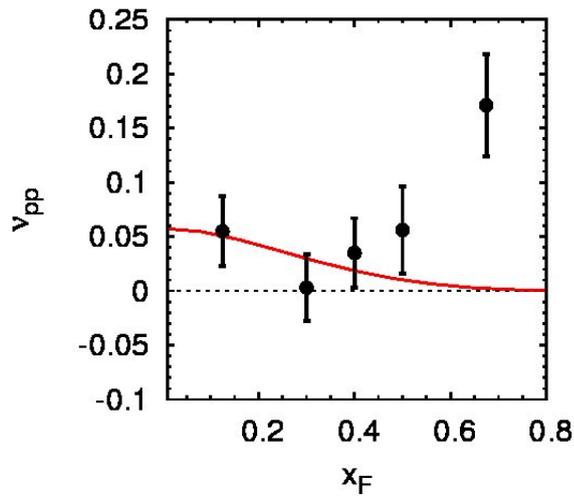


[\*] Sivers functions from Anselmino et al. Eur. Phys. J. A39,89

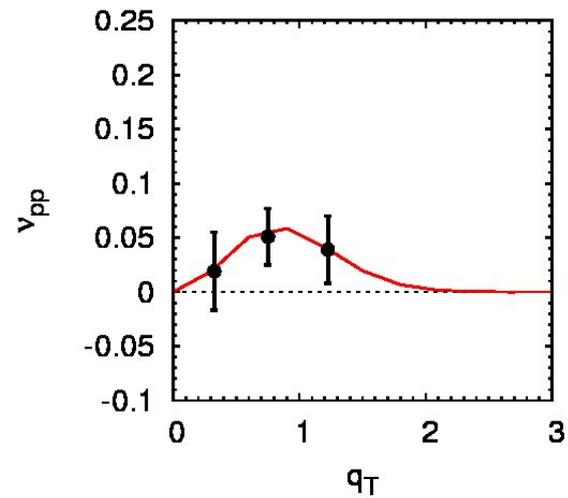
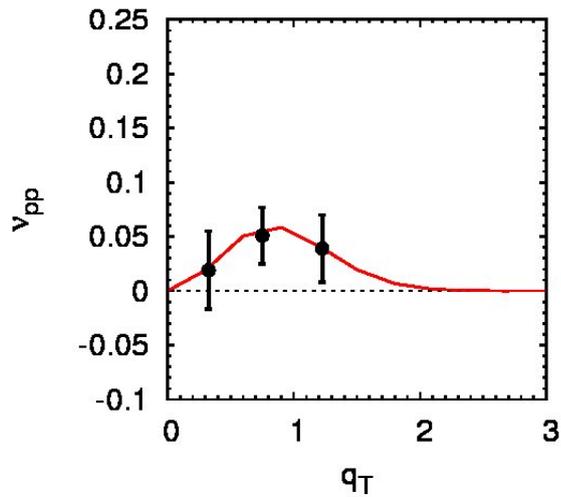
# Boer-Mulders function in DY from fits



# Boer-Mulders function in DY from fits



# Boer-Mulders function in DY from fits



# Boer-Mulders function in DY from fits

- Can we safely assume that the average transverse momentum is the same in SIDIS and in DY?

 Gaussian smearing for unpolarized PDFs

- $f_{q/p}(x, k_{\perp}) = f_q(x) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}$  

From SIDIS:  $\langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV}/c)^2$

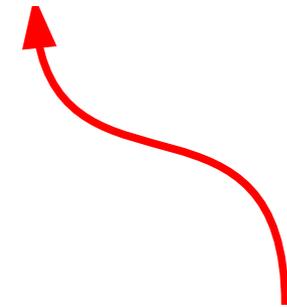
Typical DY :  $\langle k_{\perp}^2 \rangle \simeq 0.5 - 1 \text{ (GeV}/c)^2$

➔ Let us try to change this value

# Boer-Mulders function in DY from fits

- Notice that BM functions are proportional to the unpolarized pdf

 
$$h_1^{\perp q}(x, k_T^2) = \lambda_q f_{1T}^{\perp q}(x, k_T^2) = \lambda_q \rho_q(x) \eta(k_T) f_1^q(x, \mathbf{k}_T^2)$$



Unpolarized PDF

# Boer-Mulders function in DY from fits

- As an exercise let us assume different average transverse momentum in the unpolarized PDF.

**FIT II**

as Fit I but with  $\langle k_{\perp}^2 \rangle \simeq 0.64 \text{ (GeV}/c)^2$  [\*]

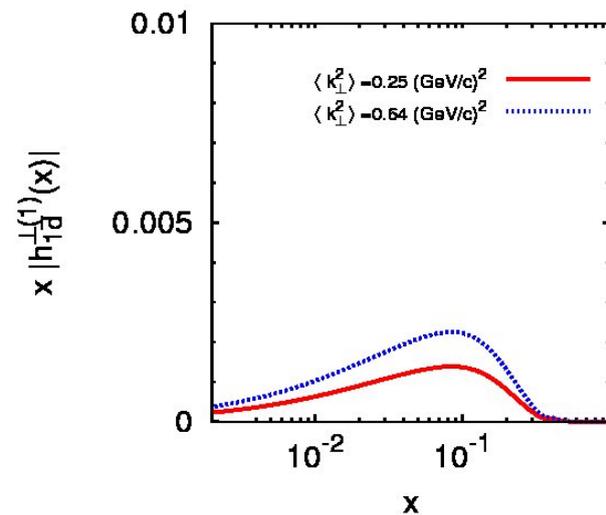
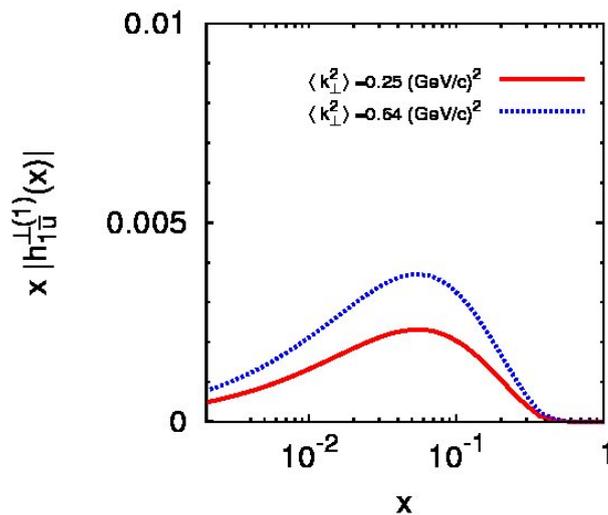
[\*] U. D'Alesio and F. Murgia, Phys. Rev. D67,

# Boer-Mulders function in DY from fits

$$\lambda_{\bar{u}} = 5.5 \pm 1.5$$
$$\lambda_{\bar{d}} = -0.25 \pm 0.20$$
$$\chi_{d.o.f}^2 = 1.24$$

FIT II

Same description of the data!



## Conclusions II ...2010

- $\bar{u}$  and  $\bar{d}$  BM functions are different from zero but not well constrained from E866 data alone.
- Different average transverse momenta for different processes?

# Conclusions??

## Why such a large Cahn effect?

- The Cahn effect is suppressed by powers of  $Q$ :

$$d\sigma = A + B \cos \phi + C \cos 2\phi$$

- $A \propto f_1 \otimes D_1$  is the usual  $\phi$ -independent contribution
- $B \propto \frac{1}{Q} (f_1 \otimes D_1 + h_1^\perp \otimes H_1^\perp)$  **subleading Cahn+Boer-Mulders effect**
- $C \propto h_1^\perp \otimes H_1^\perp + \frac{1}{Q^2} f_1 \otimes D_1$  **BM effect+Twist-4 Cahn effect**

$$\frac{k_\perp}{Q} \ll 1 ??$$

# Why such a large Cahn effect?

- ▶ HERMES and COMPASS:  $\langle Q^2 \rangle \simeq 2 \text{ GeV}^2$   
 $Q^2 > 1 \text{ GeV}^2$

- ▶ Analytical integration of the transverse momenta

$$f_{q/p}(x, k_{\perp}) = f(x) \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}}{\pi \langle k_{\perp}^2 \rangle}$$

$$\langle k_{\perp}^2 \rangle \simeq 0.25 \text{ (GeV}/c)^2$$

$$\int d^2 \mathbf{k}_{\perp} \Rightarrow \int_0^{2\pi} d\varphi \int_0^{\infty} dk_{\perp} k_{\perp}$$

# Bounds on the intrinsic transverse momenta

- ✓ The integration from 0 to infinity can be a crude assumption
  - ✓ The parton model provides kinematical limits on the transverse momentum size
- By requiring the energy of the parton to be smaller than the energy of its parent hadron, we have

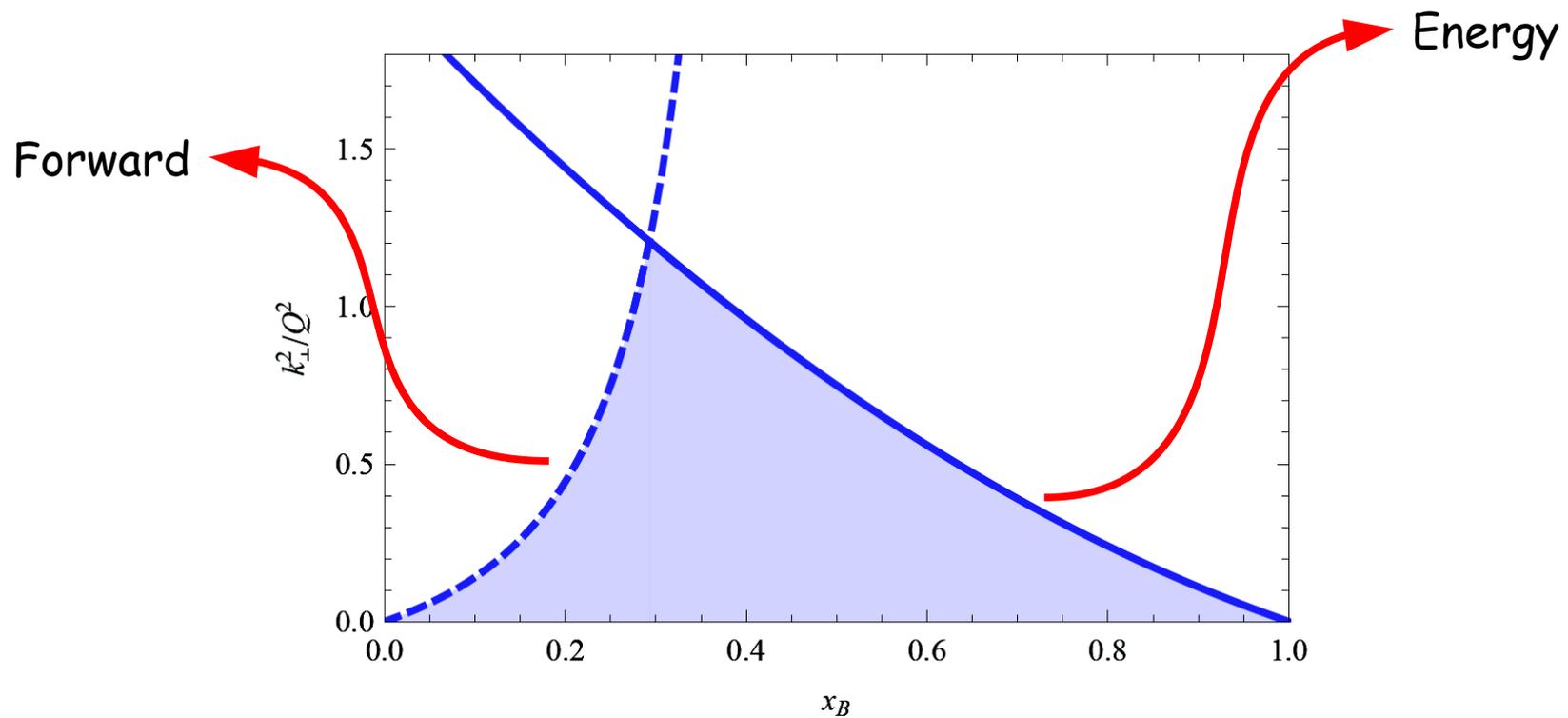
$$k_{\perp}^2 \leq (2 - x_B)(1 - x_B)Q^2, \quad 0 < x_B < 1$$

- By requiring the parton not to move backward with respect to its parent hadron, we find

$$k_{\perp}^2 \leq \frac{x_B(1 - x_B)}{(1 - 2x_B)^2}Q^2, \quad x_B < 0.5$$

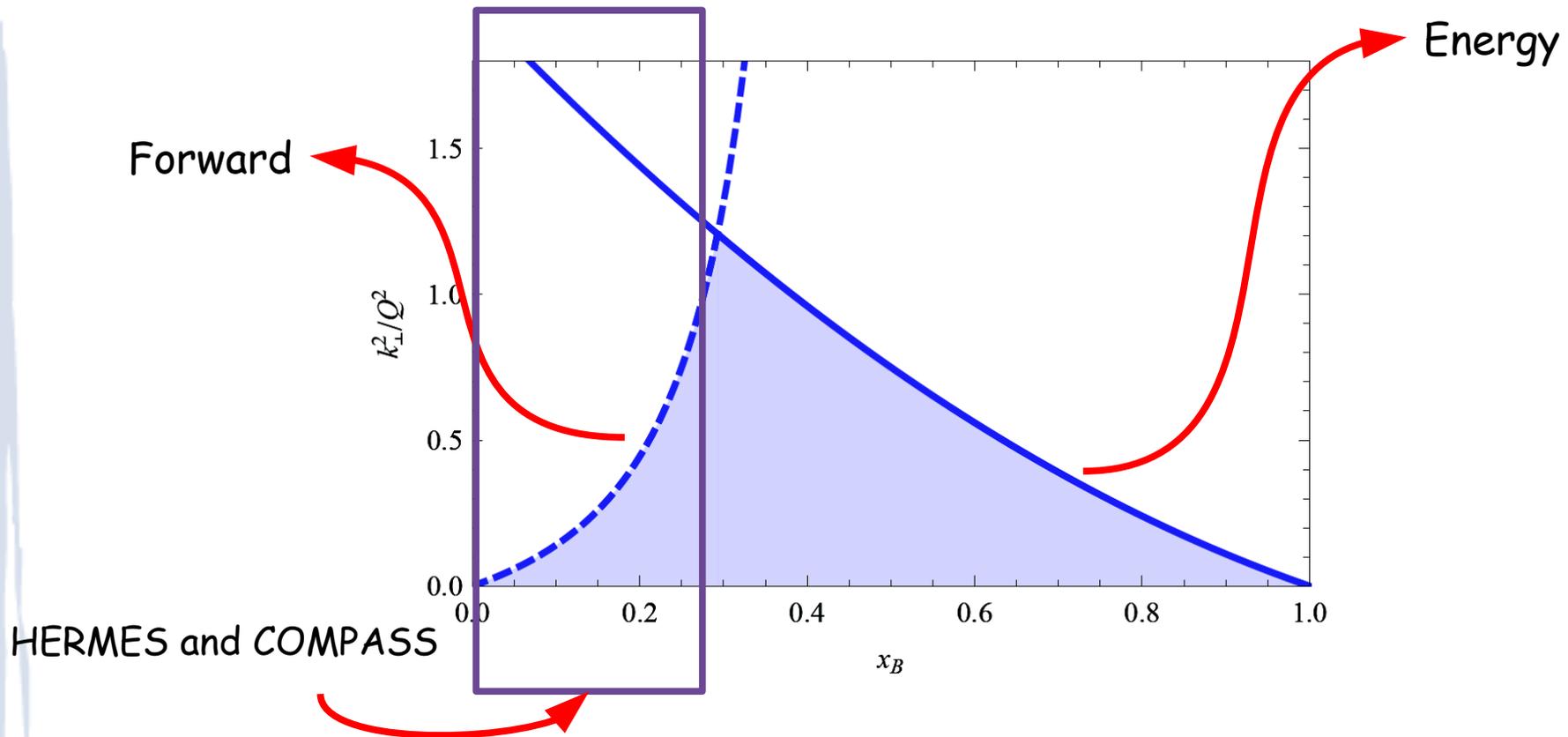
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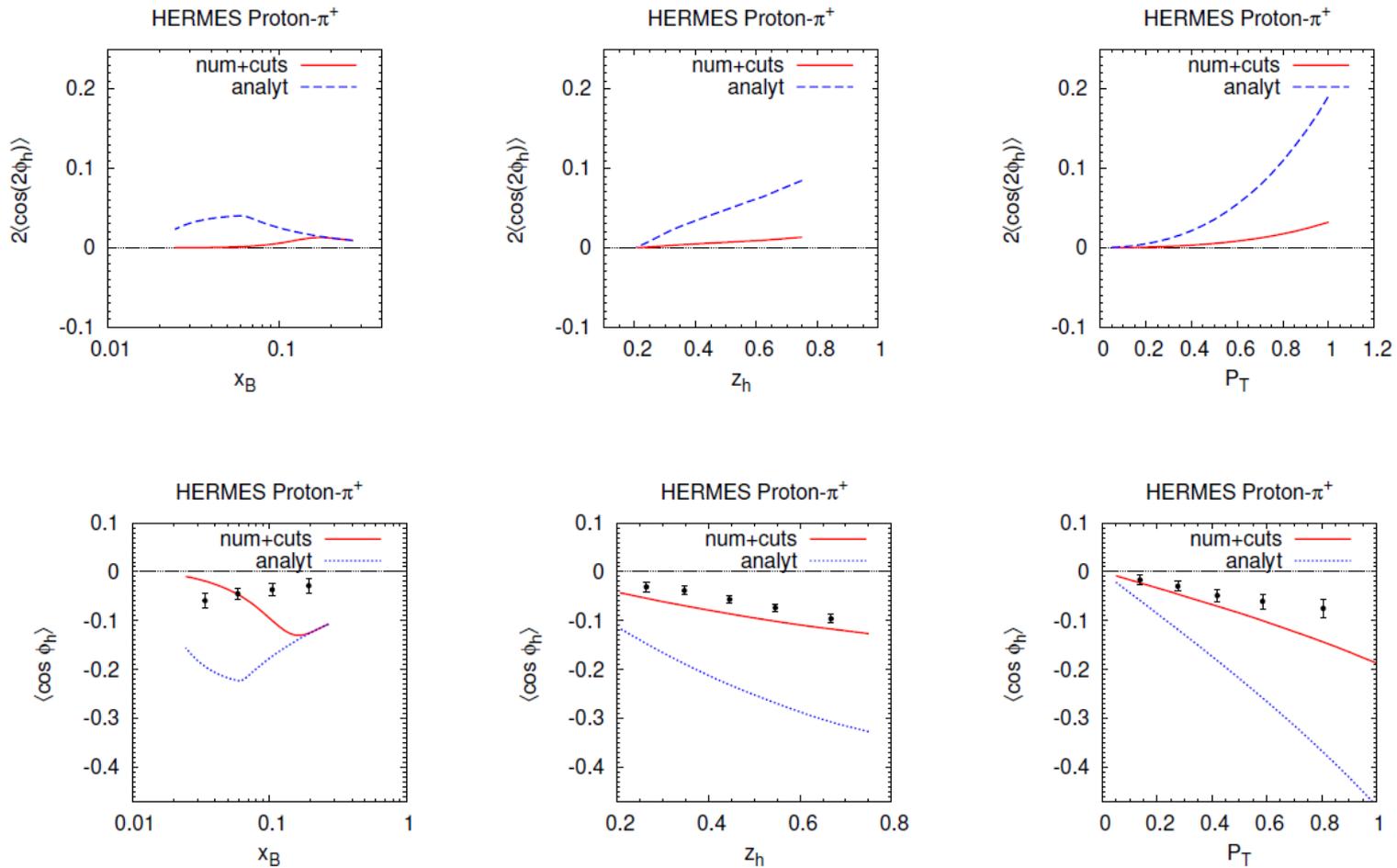


# Bounds on the intrinsic transverse momenta

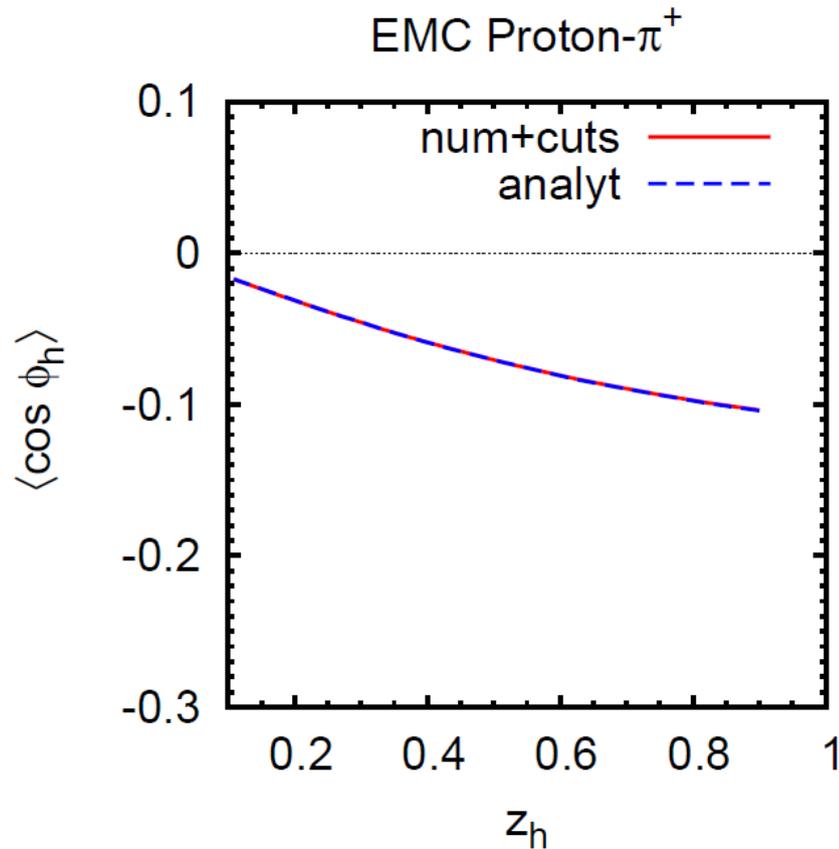
- ✓ The integration from 0 to infinity can be a crude assumption
- ✓ The parton model provides kinematical limits on the transverse momentum size



# Smaller Cahn effect...



# No effects in "true" DIS regime...



EMC like kinematics:

$$Q^2 \geq 5 \text{ GeV}^2$$

# Conclusions??

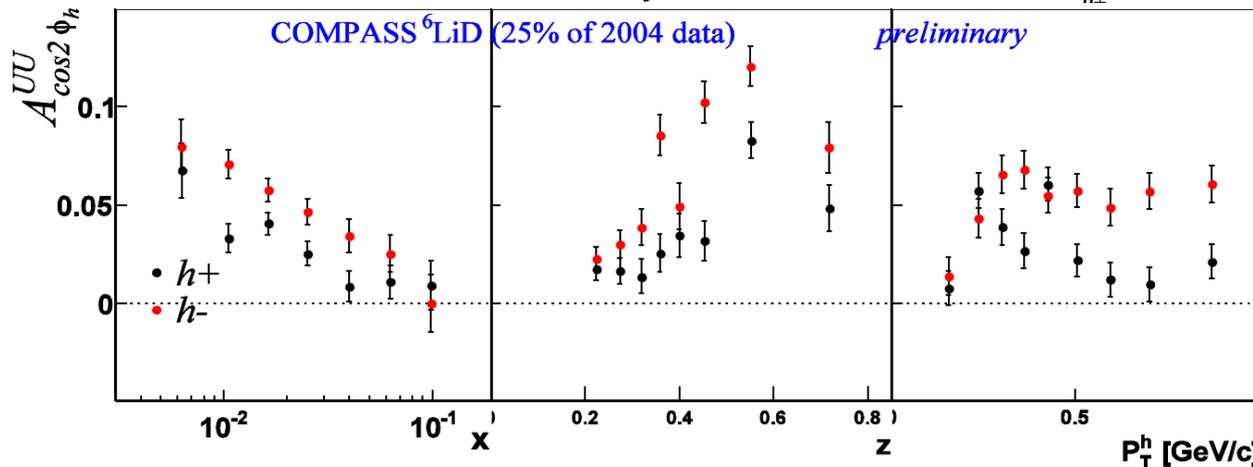
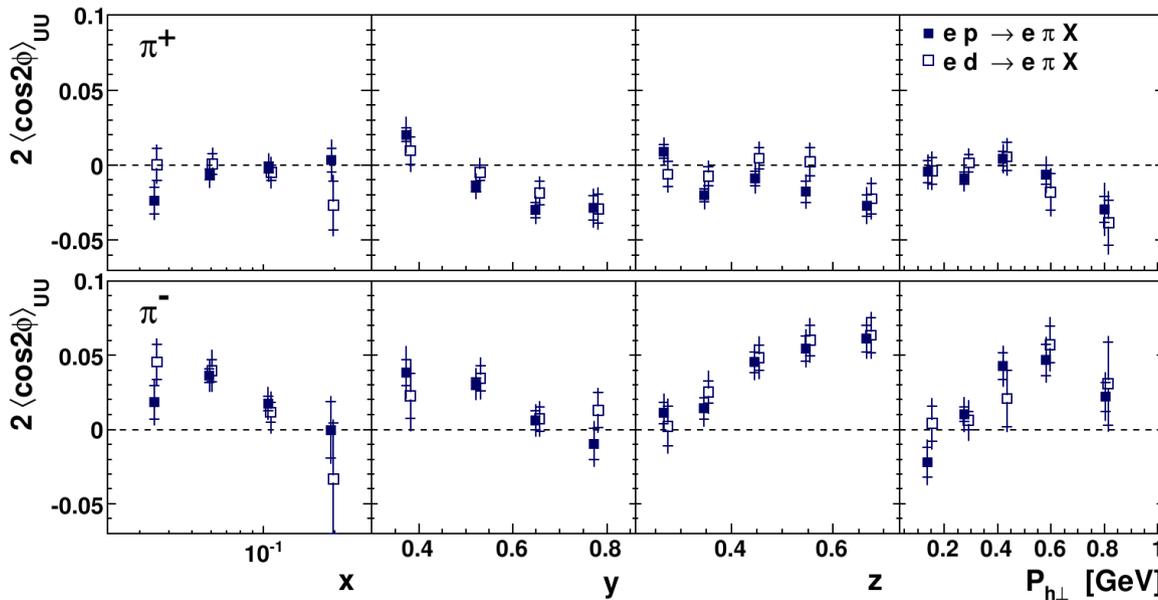
- New data on  $\cos 2\Phi$  (and  $\cos\Phi$ ) from SIDIS
- Bounds on transverse momenta? &/or
- Different average transverse momenta &/or
- Evolution Equation?

# Conclusions??

➤ New data from HERMES & COMPASS! Re-analysis needed!



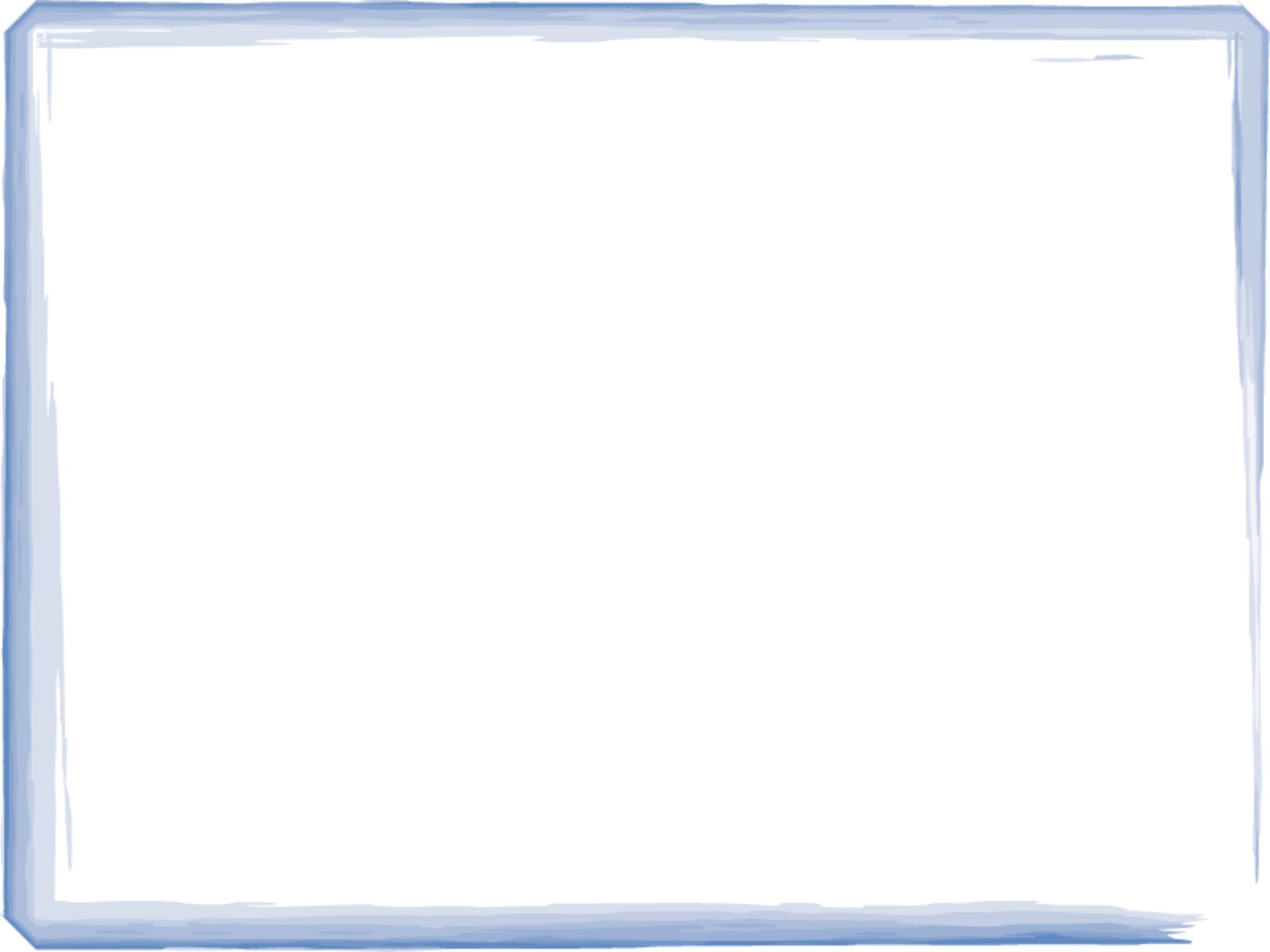
Arxiv:1204.4161



Sbrizzai, Transversity 2011

# Conclusions??

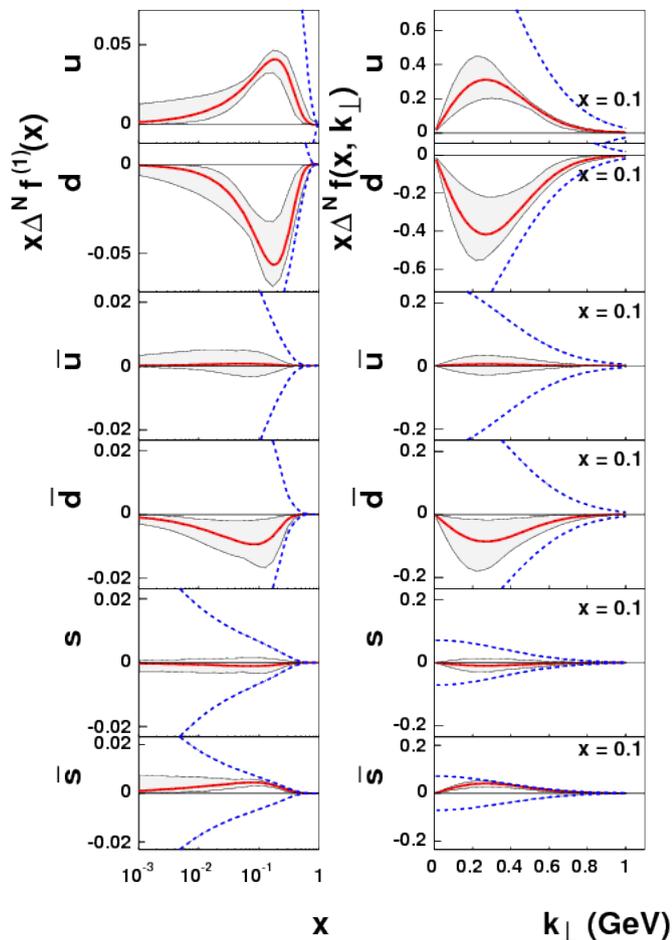
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Sivers function in SIDIS from fits

# Sivers function in SIDIS from fits

- In 2009 we performed a fit of **HERMES** (2002-5) and **COMPASS** (Deuteron 2003-4) data on  $\pi$  and K production



## ✓ Valence quark

$$\bullet \Delta^N f_{u/p^\uparrow} > 0 \quad \Rightarrow \quad f_{1T}^{\perp u} < 0$$

$$\bullet \Delta^N f_{d/p^\uparrow} < 0 \quad \Rightarrow \quad f_{1T}^{\perp d} > 0$$

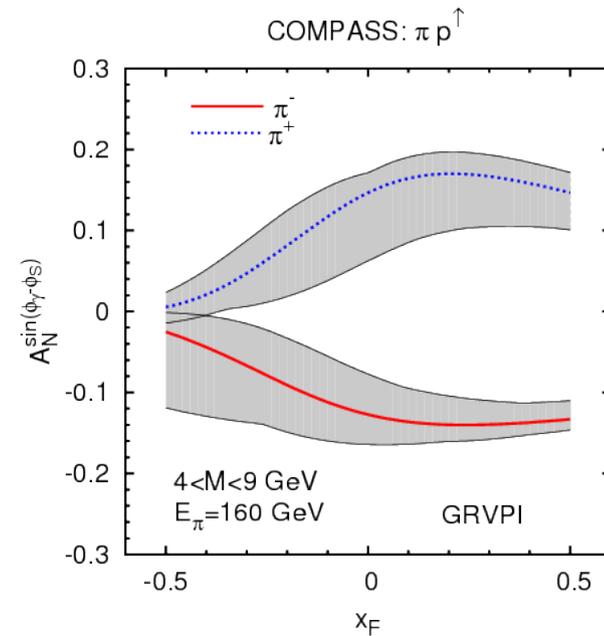
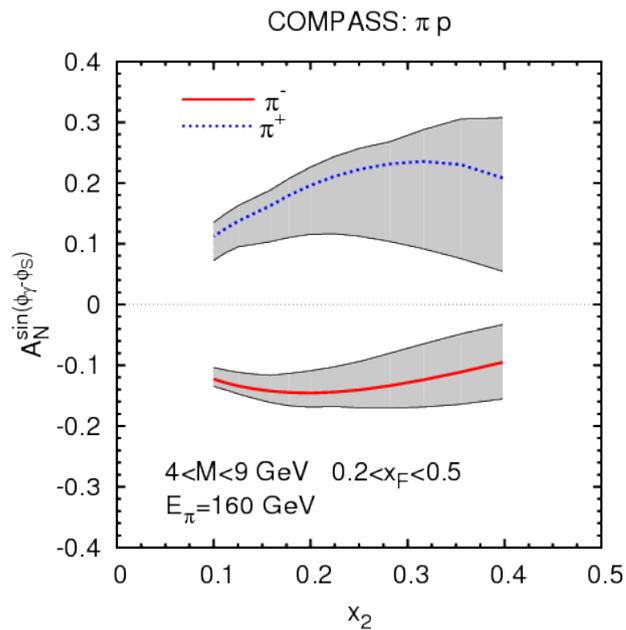
## ✓ Sea quarks

$$\bullet \Delta^N f_{\bar{s}/p^\uparrow} > 0 \quad \Rightarrow \quad f_{1T}^{\perp \bar{s}} < 0$$

$$\rightarrow \Delta^N f_q^{(1)}(x) \equiv \int d^2 \mathbf{k}_\perp \frac{k_\perp}{4m_p} \Delta^N f_{q/p^\uparrow}(x, k_\perp) = -f_{1T}^{\perp(1)q}(x)$$

# Predictions for COMPASS DY

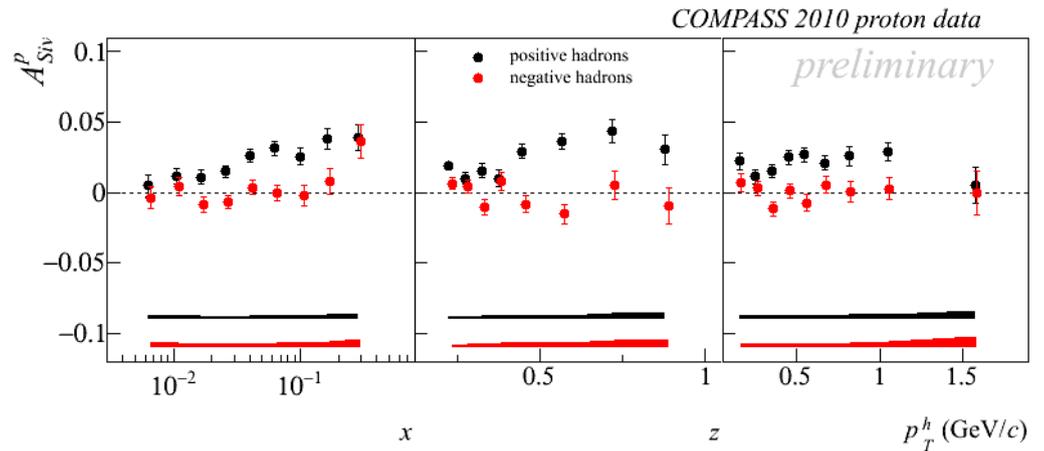
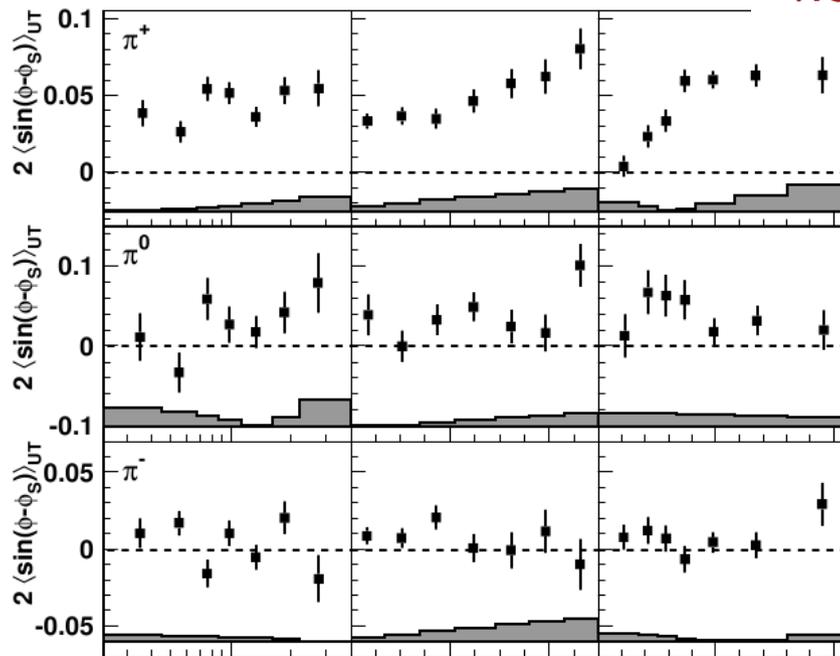
- Polarized  $\text{NH}_3$
- Pion beam
- Valence region for the Sivers function



Large measurable asymmetry

# Sivers function in SIDIS from fits

➤ New SIDIS data from HERMES and COMPASS



# Sivers function in SIDIS from fits

➤ New theoretical tools: TMD evolution!

- *J.C. Collins, Foundation of Perturbative QCD, Cambridge Monographs on Particle Physics, Nuclear Physics and Cosmology, No. 32, Cambridge University Press, 2011.*
- *S. M. Aybat and T. C. Rogers, Phys. Rev. D83, 114042 (2011), arXiv:1101.5057 [hep-ph]*
- *S. M. Aybat, J. C. Collins, J.-W. Qiu and T.C. Rogers, arXiv:1110.6428 [hep-ph]*

➤ What are the consequences from the phenomenological point of view??

Turin standard approach (DGLAP)

# Turin standard approach (DGLAP)

- Unpolarized TMDs are factorized in  $x$  and  $k_{\perp}$ . Only the collinear part evolves with DGLAP evolution equation. No evolution in the transverse momenta:

$$\hat{f}_{q/p}(x, k_{\perp}; Q) = f_{q/p}(x; Q) \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}}{\pi \langle k_{\perp}^2 \rangle}$$

Collinear PDF (DGLAP evolution)

Normalized Gaussian: no evolution

# Turin standard approach (DGLAP)

- The Siverts function is factorized in  $x$  and  $k_{\perp}$  and proportional to the unpolarized PDF.

$$\begin{aligned}\Delta^N \widehat{f}_{q/p\uparrow}(x, k_{\perp}; Q) &= 2\mathcal{N}_q(x)h(k_{\perp})\widehat{f}_{q/p}(x, k_{\perp}; Q) \\ &= 2\mathcal{N}_q(x)f_{q/p}(x; Q)\sqrt{2e}\frac{k_{\perp}}{M_1}\frac{e^{-k_{\perp}^2/\langle k_{\perp}^2 \rangle_S}}{\pi\langle k_{\perp}^2 \rangle}\end{aligned}$$

Collinear PDF (DGLAP)

$$\mathcal{N}_q(x) = N_q x^{\alpha_q}(1-x)^{\beta_q} \frac{(\alpha_q + \beta_q)^{(\alpha_q + \beta_q)}}{\alpha_q^{\alpha_q} \beta_q^{\beta_q}}$$

$$\langle k_{\perp}^2 \rangle_S = \frac{M_1^2 \langle k_{\perp}^2 \rangle}{M_1^2 + \langle k_{\perp}^2 \rangle}$$

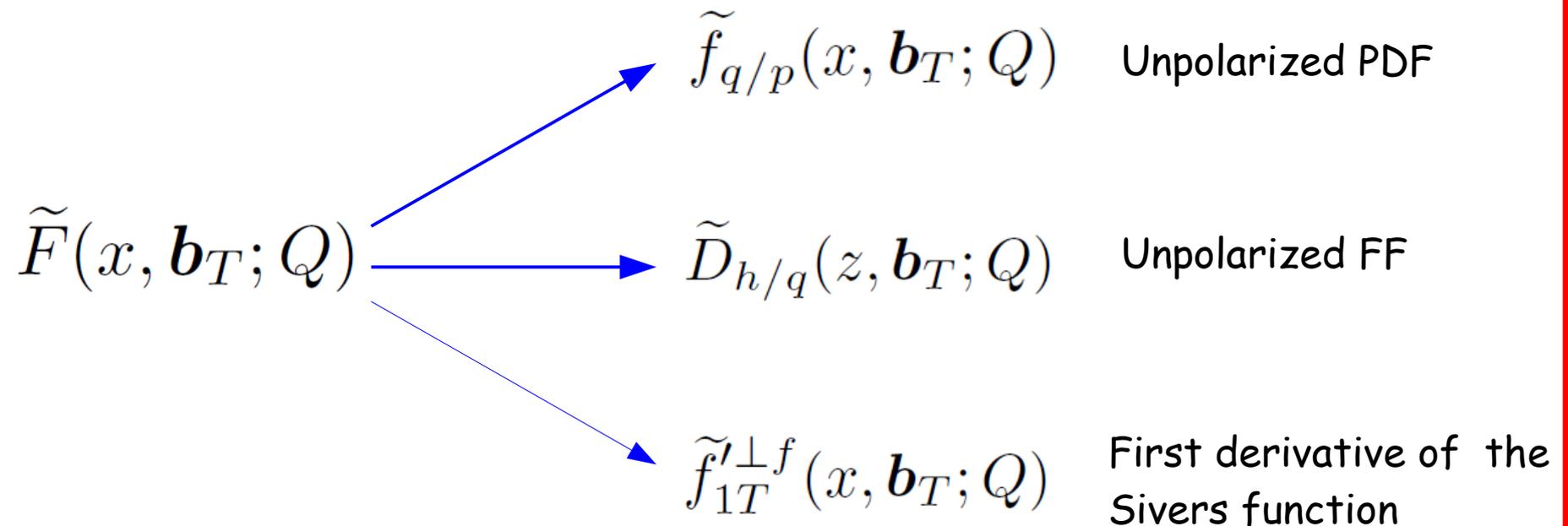
$$\Delta^N \widehat{f}_{q/p\uparrow}(x, k_{\perp}) = -\frac{2k_{\perp}}{m_p} f_{1T}^{\perp}(x, k_{\perp})$$

# TMD evolution formalism\*

- \* *J.C. Collins, Foundation of Perturbative QCD, Cambridge Monographs on Particle Physics, Nuclear Physics and Cosmology, No. 32, Cambridge University Press, 2011.*
- S. M. Aybat and T. C. Rogers, Phys. Rev. D83, 114042 (2011), arXiv:1101.5057 [hep-ph]*
- S. M. Aybat, J. C. Collins, J.-W. Qiu and T.C. Rogers, arXiv:1110.6428 [hep-ph]*

# TMD evolution formalism

- Let us denote with  $\tilde{F}$  either a PDF (or a FF)  
or the first derivative of the Sivers function in the impact parameter space:



# TMD evolution formalism

➤ At LO the evolution equation can be summarized by the following expression:

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

Corresponding to Eq. 44 of Ref [\*] with  $\tilde{K}=0$  and :  $\mu^2 = \zeta_F = \zeta_D = Q^2$

•[\*]S. M. Aybat, J. C. Collins, J.-W. Qiu and T.C. Rogers, arXiv:1110.6428 [hep-ph]

# TMD evolution formalism

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**Output** function at the scale  $Q$   
in the impact parameter space

**Input** function at the scale  $Q_0$   
in the impact parameter space

Evolution kernel

# TMD evolution formalism

➤ At LO the evolution equation can be summarized by the following expression:

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

➤ **Perturbative** part of the evolution kernel

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➤ **Perturbative** part of the evolution kernel

$$\tilde{R}(Q, Q_0, b_T) \equiv \exp \left\{ \ln \frac{Q}{Q_0} \int_{Q_0}^{\mu_b} \frac{d\mu'}{\mu'} \gamma_K(\mu') + \int_{Q_0}^Q \frac{d\mu}{\mu} \gamma_F \left( \mu, \frac{Q^2}{\mu^2} \right) \right\}$$

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$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

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$$\gamma_K(\mu) = \alpha_s(\mu) \frac{2C_F}{\pi}$$

$$\gamma_F\left(\mu; \frac{Q^2}{\mu^2}\right) = \alpha_s(\mu) \frac{C_F}{\pi} \left( \frac{3}{2} - \ln \frac{Q^2}{\mu^2} \right)$$

# TMD evolution formalism

➤ At LO the evolution equation can be summarized by the following expression:

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

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Scale that separates the perturbative region from the non perturbative one

# TMD evolution formalism

➤ At LO the evolution equation can be summarized by the following expression:

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

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$$\tilde{R}(Q, Q_0, b_T) \equiv \exp \left\{ \ln \frac{Q}{Q_0} \int_{Q_0}^{\mu_b} \frac{d\mu'}{\mu'} \gamma_K(\mu') + \int_{Q_0}^Q \frac{d\mu}{\mu} \gamma_F \left( \mu, \frac{Q^2}{\mu^2} \right) \right\}$$

$$\mu_b = \frac{C_1}{b_*(b_T)} \quad b_*(b_T) \equiv \frac{b_T}{\sqrt{1 + b_T^2/b_{\max}^2}} \quad C_1 = 2e^{-\gamma_E}$$

One of the possible prescription to separate the perturbative region from the non perturbative one

# TMD evolution formalism

- At LO the evolution equation can be summarized by the following expression:

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

- **Non Perturbative** (scale independent) part of the evolution kernel that needs to be empirically modeled

$$g_K(b_T) = \frac{1}{2} g_2 b_T^2$$

$$g_2 = 0.68 \text{ GeV}^2$$

Common choice used in the unpolarized DY data analyses in the CSS formalism

Landry et al. Phys Rev D67, 073016

# TMD evolution formalism

➤ One can get the TMD in the momentum space by Fourier transforming:

$$\widehat{f}_{q/p}(x, k_{\perp}; Q) = \frac{1}{2\pi} \int_0^{\infty} db_T b_T J_0(k_{\perp} b_T) \widetilde{f}_{q/p}(x, b_T; Q)$$

$$\widehat{D}_{h/q}(z, p_{\perp}; Q) = \frac{1}{2\pi} \int_0^{\infty} db_T b_T J_0(k_T b_T) \widetilde{D}_{h/q}(z, b_T; Q)$$

$$\widehat{f}_{1T}^{\perp f}(x, k_{\perp}; Q) = \frac{-1}{2\pi k_{\perp}} \int_0^{\infty} db_T b_T J_1(k_{\perp} b_T) \widetilde{f}_{1T}^{\perp q}(x, b_T; Q)$$

$$\begin{aligned} f_{q/p^{\uparrow}}(x, \mathbf{k}_{\perp}, \mathbf{S}; Q) &= f_{q/p}(x, k_{\perp}; Q) - f_{1T}^{\perp q}(x, k_{\perp}; Q) \frac{\epsilon_{ij} k_{\perp}^i S^j}{M_p} \\ &= f_{q/p}(x, k_{\perp}; Q) + \frac{1}{2} \Delta^N f_{q/p^{\uparrow}}(x, k_{\perp}; Q) \frac{\epsilon_{ij} k_{\perp}^i S^j}{k_{\perp}} \end{aligned}$$

# Parametrization of the input functions

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

- We want to compare the effect of TMD evolution vs our traditional approach (DGLAP)



- Same parametrization of the input function at the initial scale in the transverse momentum space.

# Parametrization of the input functions

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

Example: unpolarized pdf

$$\tilde{F}(x, b_T; Q_0) = \tilde{f}_{q/p}(x, b_T; Q_0) \xrightarrow{\text{Fourier transf.}} \hat{f}_{q/p}(x, k_{\perp}; Q_0)$$

$$\hat{f}_{q/p}(x, k_{\perp}; Q_0) = f_{q/p}(x, Q_0) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}$$

# Parametrization of the input functions

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

$$\tilde{f}_{q/p}(x, b_T; Q_0) = f_{q/p}(x, Q_0) \exp \{ -\alpha^2 b_T^2 \}$$

$$\hat{f}_{q/p}(x, k_\perp; Q_0) = f_{q/p}(x, Q_0) \frac{1}{\pi \langle k_\perp^2 \rangle} e^{-k_\perp^2 / \langle k_\perp^2 \rangle}$$

$$\alpha^2 = \langle k_\perp^2 \rangle / 4$$

# Parametrization of the input functions

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

$$\tilde{D}_{h/q}(z, b_T; Q_0) = \frac{1}{z^2} D_{h/q}(z, Q_0) \exp \{ -\beta^2 b_T^2 \}$$

$$\hat{D}_{h/q}(z, p_\perp; Q_0) = D_{h/q}(z, Q_0) \frac{1}{\pi \langle p_\perp^2 \rangle} e^{-p_\perp^2 / \langle p_\perp^2 \rangle}$$

$$\beta^2 = \langle p_\perp^2 \rangle / 4z^2$$

# Parametrization of the input functions

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

$$\tilde{f}'_{1T}{}^\perp(x, b_T; Q_0) = -2 \gamma^2 f_{1T}{}^\perp(x; Q_0) b_T e^{-\gamma^2 b_T^2}$$

$$\hat{f}_{1T}{}^\perp(x, k_\perp; Q_0) = f_{1T}{}^\perp(x; Q_0) \frac{1}{4 \pi \gamma^2} e^{-k_\perp^2 / 4 \gamma^2}$$

$$4 \gamma^2 \equiv \langle k_\perp^2 \rangle_S = \frac{M_1^2 \langle k_\perp^2 \rangle}{M_1^2 + \langle k_\perp^2 \rangle}$$

# Parametrization of the input functions

➤ Then the evolution equations for unpolarized TMDs become simply:

$$\tilde{f}_{q/p}(x, b_T; Q) = f_{q/p}(x, Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -b_T^2 \left( \alpha^2 + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}$$

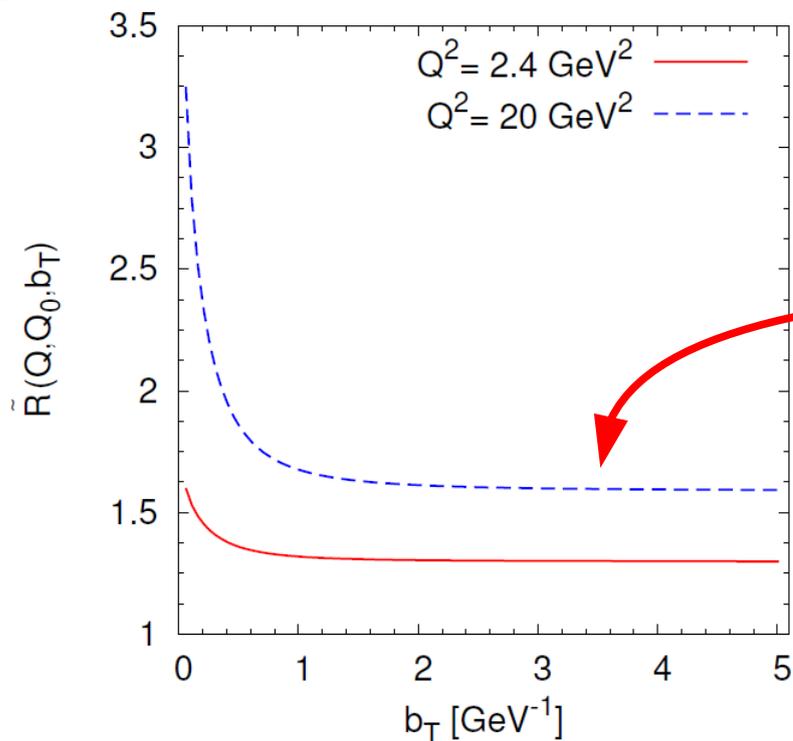
$$\tilde{D}_{h/q}(z, b_T; Q) = \frac{1}{z^2} D_{h/q}(z, Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -b_T^2 \left( \beta^2 + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}$$

➤ While for the Sivers function we have:

$$\tilde{f}'_{1T^\perp}(x, b_T; Q) = -2 \gamma^2 f_{1T^\perp}^\perp(x; Q_0) \tilde{R}(Q, Q_0, b_T) b_T \exp \left\{ -b_T^2 \left( \gamma^2 + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}$$

# Analytical (approximated) solution of the TMD evolution equation

- $\tilde{R}(Q, Q_0, b_T)$  exhibits a non trivial dependence on  $b_T$  that prevents any analytical integration



$\tilde{R}(Q, Q_0, b_T)$  becomes **constant** for  $b_T > 1$  GeV<sup>-1</sup>

We can therefore neglect the  $\tilde{R}$  dependence on  $b_T$  and define:

$$R(Q, Q_0) \equiv \tilde{R}(Q, Q_0, b_T \rightarrow \infty)$$

Good approximation for large  $b_T$  i.e. small  $k_\perp$

# Analytical (approximated) solution of the TMD evolution equation

➤ For instance, replacing  $\tilde{R}$  with  $R$  in the unpolarized, we get:

$$\tilde{f}_{q/p}(x, \mathbf{b}_T; Q) = f_{q/p}(x, Q_0) R(Q, Q_0) \exp \left\{ -b_T^2 \left( \alpha^2 + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}$$

Which is Gaussian in  $\mathbf{b}_T$ , and will then Fourier-transform into a Gaussian in  $k_\perp$

$$\hat{f}_{q/p}(x, k_\perp; Q) = f_{q/p}(x, Q_0) R(Q, Q_0) \frac{e^{-k_\perp^2 / w^2}}{\pi w^2}$$

$$w^2(Q, Q_0) = \langle k_\perp^2 \rangle + 2 g_2 \ln \frac{Q}{Q_0}$$

# Analytical (approximated) solution of the TMD evolution equation

➤ Similarly, for the unpolarized TMD fragmentation function, we have

$$\hat{D}_{h/q}(z, p_{\perp}; Q) = D_{h/q}(z, Q_0) R(Q, Q_0) \frac{e^{-p_{\perp}^2/w_F^2}}{\pi w_F^2}$$

$$w_F^2 \equiv w_F^2(Q, Q_0) = \langle p_{\perp}^2 \rangle + 2z^2 g_2 \ln \frac{Q}{Q_0}$$

# Analytical (approximated) solution of the TMD evolution equation

➤ For the Sivvers distribution function, we find:

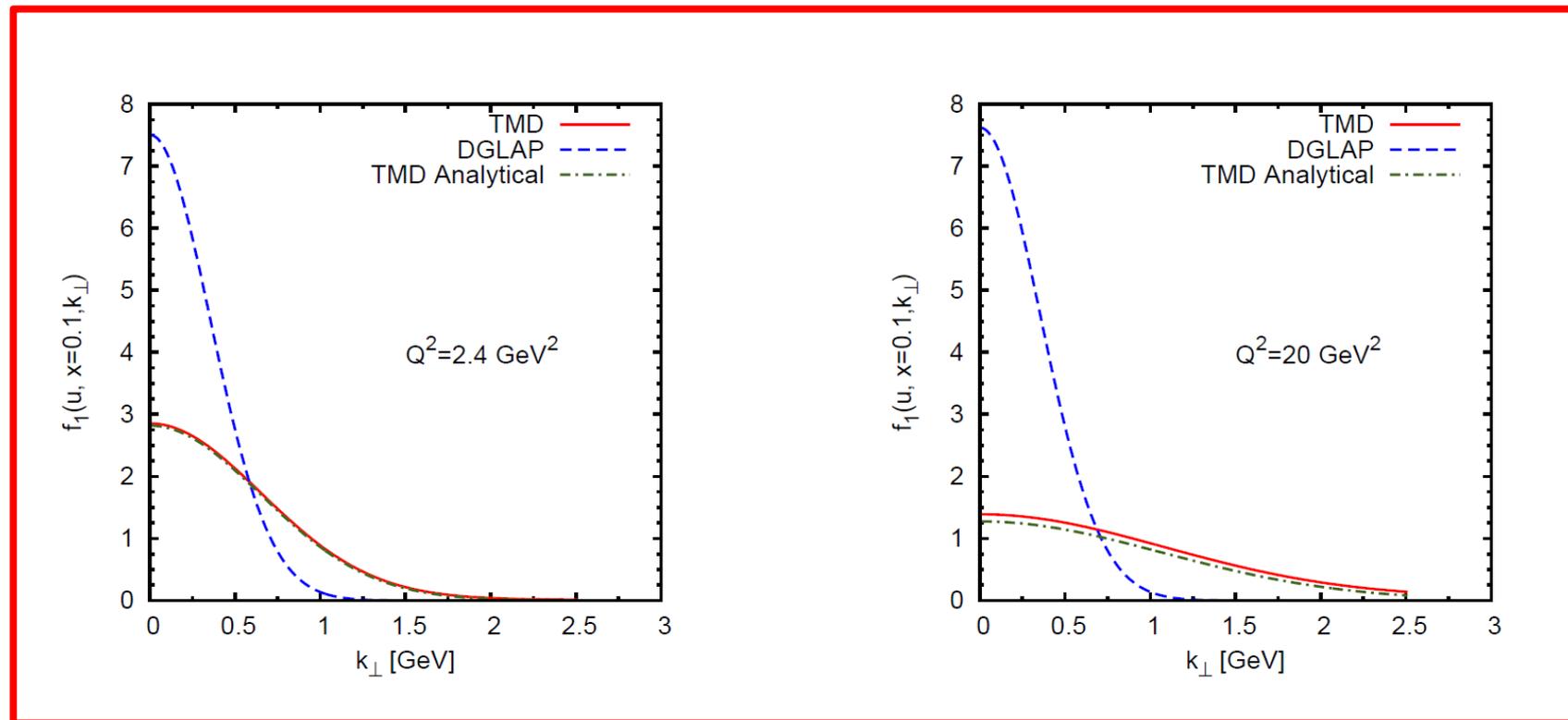
$$\Delta^N \widehat{f}_{q/p^\uparrow}(x, k_\perp; Q) = \frac{k_\perp}{M_1} \sqrt{2e} \frac{\langle k_\perp^2 \rangle_S^2}{\langle k_\perp^2 \rangle} \Delta^N f_{q/p^\uparrow}(x, Q_0) R(Q, Q_0) \frac{e^{-k_\perp^2/w_S^2}}{\pi w_S^4}$$

$$w_S^2(Q, Q_0) = \langle k_\perp^2 \rangle_S + 2g_2 \ln \frac{Q}{Q_0}$$

$$\Delta^N \widehat{f}_{q/p^\uparrow}(x, k_\perp) = -\frac{2k_\perp}{m_p} f_{1T}^\perp(x, k_\perp)$$

$$\langle k_\perp^2 \rangle_S = \frac{M_1^2 \langle k_\perp^2 \rangle}{M_1^2 + \langle k_\perp^2 \rangle}$$

# Comparative analysis of TMD evolution equations

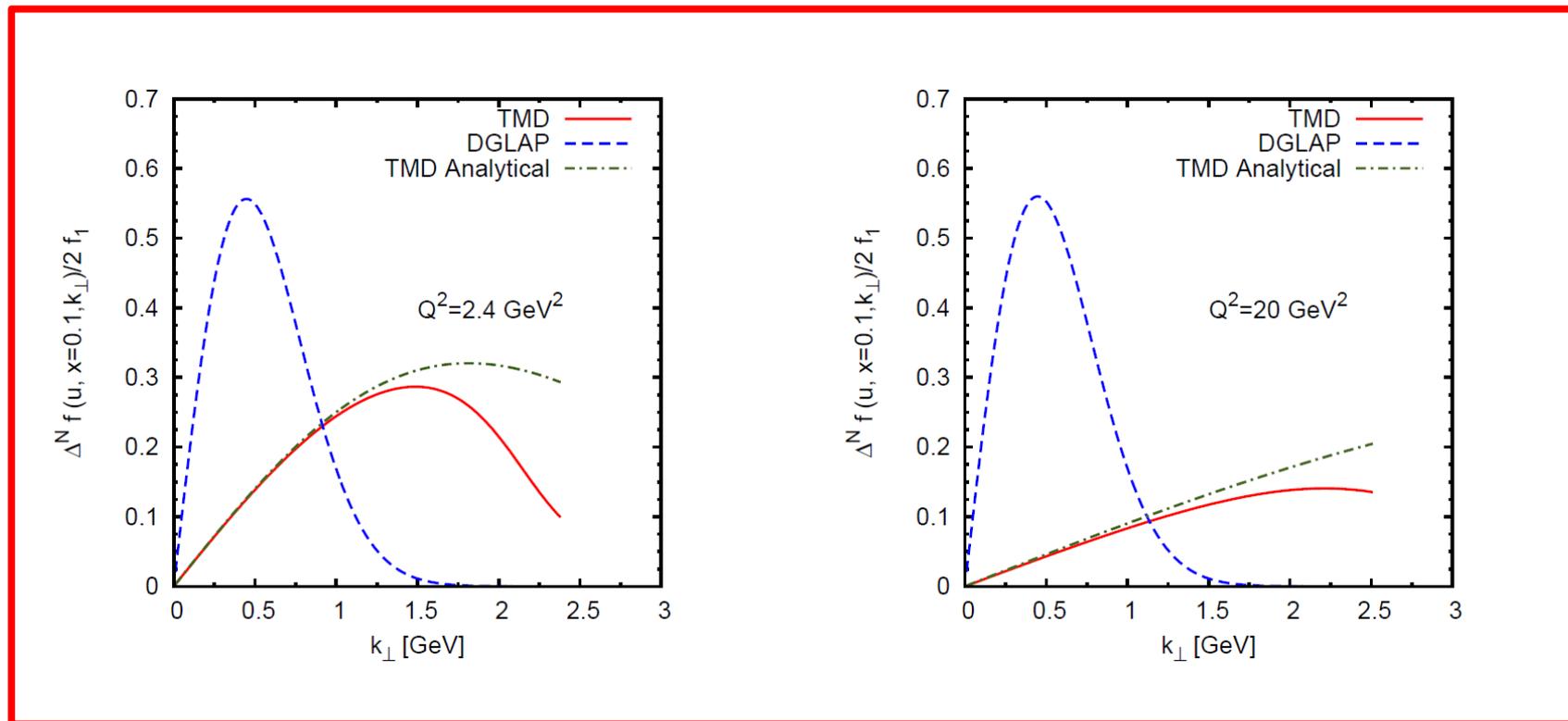


Starting scale  $Q_0 = 1 \text{ GeV}$   
Same function at  $Q_0$

DGLAP evolution is slow at moderate  $x$  and in this range of  $Q^2$

For the unpolarized PDF, the analytical approximation holds up to large  $k_\perp$

# Comparative analysis of TMD evolution equations



Starting scale  $Q_0 = 1 \text{ GeV}$   
Same function at  $Q_0$

For the Sivers function,  
the analytical approximation  
breaks down at large  $k_\perp$  values

# Fit of HERMES and COMPASS SIDIS data

$$A_{UT}^{\sin(\phi_h - \phi_S)} = \frac{\sum_q \int d\phi_S d\phi_h d^2k_\perp \Delta^N f_{q/p^\uparrow}(x, k_\perp, Q) \sin(\varphi - \phi_S) \frac{d\hat{\sigma}^{\ell q \rightarrow \ell q}}{dQ^2} D_q^h(z, p_\perp, Q) \sin(\phi_h - \phi_S)}{\sum_q \int d\phi_S d\phi_h d^2k_\perp f_{q/p}(x, k_\perp, Q) \frac{d\hat{\sigma}^{\ell q \rightarrow \ell q}}{dQ^2} D_q^h(z, p_\perp, Q)}$$

11 free parameters

$N_{u_v}$	$N_{d_v}$	$N_s$
$N_{\bar{u}}$	$N_{\bar{d}}$	$N_{\bar{s}}$
$\alpha_{u_v}$	$\alpha_{d_v}$	$\alpha_{sea}$
$\beta$	$M_1$ (GeV/c).	

Fixed parameters

$$\begin{aligned} \langle k_\perp^2 \rangle &= 0.25 \text{ GeV}^2 \\ \langle p_\perp^2 \rangle &= 0.20 \text{ GeV}^2 \\ g_2 &= 0.68 \text{ GeV}^2 \end{aligned}$$

$$\Delta^N \hat{f}_{q/p^\uparrow}(x, k_\perp; Q_0) = 2\mathcal{N}_q(x) h(k_\perp) \hat{f}_{q/p}(x, k_\perp; Q_0)$$

$$\mathcal{N}_q(x) = N_q x^{\alpha_q} (1-x)^{\beta_q} \frac{(\alpha_q + \beta_q)^{(\alpha_q + \beta_q)}}{\alpha_q^{\alpha_q} \beta_q^{\beta_q}}$$

$$h(k_\perp) = \sqrt{2e} \frac{k_\perp}{M_1} e^{-k_\perp^2/M_1^2}$$

$$\hat{f}_{q/p}(x, k_\perp; Q_0) = f_{q/p}(x, Q_0) \frac{1}{\pi \langle k_\perp^2 \rangle} e^{-k_\perp^2 / \langle k_\perp^2 \rangle}$$

$$\hat{D}_{h/q}(z, p_\perp; Q_0) = D_{h/q}(z, Q_0) \frac{1}{\pi \langle p_\perp^2 \rangle} e^{-p_\perp^2 / \langle p_\perp^2 \rangle}$$

# Fit of HERMES and COMPASS SIDIS data

➤ We perform 3 different fits:

- TMD-fit (computing TMD evolution equations numerically)
- TMD-analytical fit (solving TMD evolution equations in the analytical approx.)
- DGLAP fit (using DGLAP evolution equation for the collinear part of the TMD)

➤ Data sets:

- HERMES (2009)  $\pi^+$   $\pi^-$   $\pi^0$   $K^+$   $K^-$
- COMPASS Deuteron (2004)  $\pi^+$   $\pi^-$   $K^+$   $K^-$
- COMPASS Proton (2011)  $h^+$   $h^-$

# Fit of HERMES and COMPASS SIDIS data

**$\chi^2$  tables**

11 free parameters, 261 points

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TMD Evolution (Exact)

$$\chi_{tot}^2 = 255.8$$

$$\chi_{d.o.f}^2 = 1.02$$

TMD Evolution (Analytical)

$$\chi_{tot}^2 = 275.7$$

$$\chi_{d.o.f}^2 = 1.10$$

DGLAP Evolution

$$\chi_{tot}^2 = 315.6$$

$$\chi_{d.o.f}^2 = 1.26$$

# Fit of HERMES and COMPASS SIDIS data

**$\chi^2$  tables**

11 free parameters, 261 points

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TMD Evolution (Exact)

TMD Evolution (Analytical)

DGLAP Evolution

$$\chi_{tot}^2 = 255.8$$

$$\chi_{d.o.f}^2 = 1.02$$

$$\chi_{tot}^2 = 275.7$$

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$$\chi_{tot}^2 = 315.6$$

$$\chi_{d.o.f}^2 = 1.26$$

# Fit of HERMES and COMPASS SIDIS data

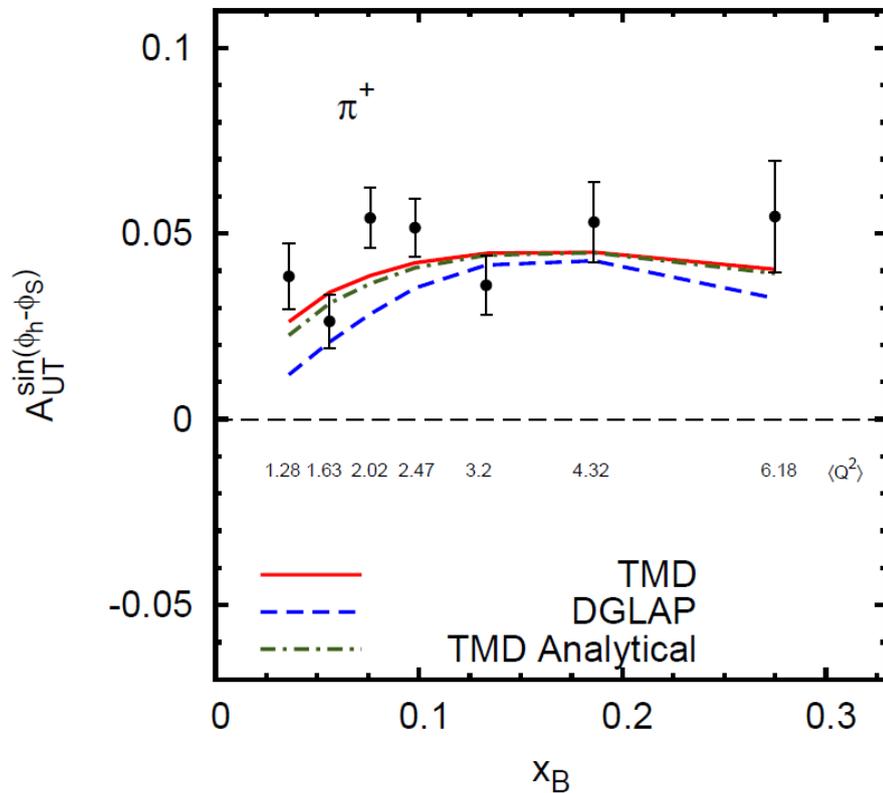
$\chi^2$  tables

11 free parameters, 261 points

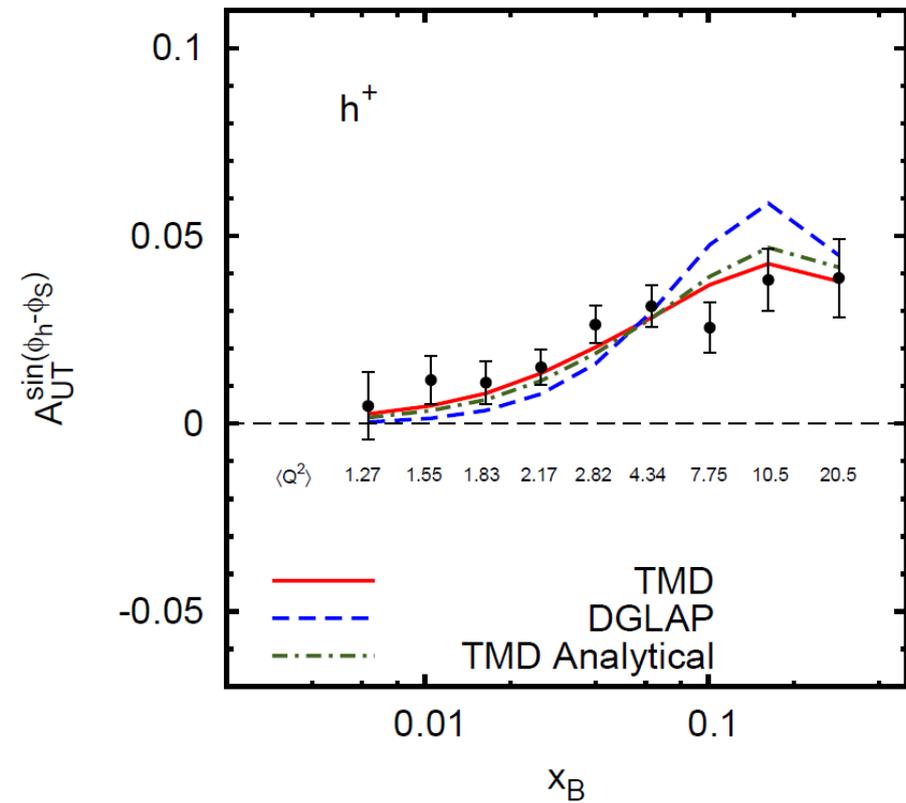
	TMD Evolution (Exact)		TMD Evolution (Analytical)		DGLAP Evolution
	$\chi_{tot}^2 = 255.8$		$\chi_{tot}^2 = 275.7$		$\chi_{tot}^2 = 315.6$
	$\chi_{d.o.f}^2 = 1.02$		$\chi_{d.o.f}^2 = 1.10$		$\chi_{d.o.f}^2 = 1.26$
<b>HERMES</b> $\pi^+$	$\chi_x^2 = 10.7$	7 points	$\chi_x^2 = 12.9$		$\chi_x^2 = 27.5$
	$\chi_z^2 = 4.3$		$\chi_z^2 = 4.3$		$\chi_z^2 = 8.6$
	$\chi_{P_T}^2 = 9.1$		$\chi_{P_T}^2 = 10.5$		$\chi_{P_T}^2 = 22.5$
<b>COMPASS</b> $h^+$	$\chi_x^2 = 6.7$	9 points	$\chi_x^2 = 11.2$		$\chi_x^2 = 29.2$
	$\chi_z^2 = 17.8$		$\chi_z^2 = 18.5$		$\chi_z^2 = 16.6$
	$\chi_{P_T}^2 = 12.4$		$\chi_{P_T}^2 = 24.2$		$\chi_{P_T}^2 = 11.8$

# Fit of HERMES and COMPASS SIDIS data

HERMES PROTON



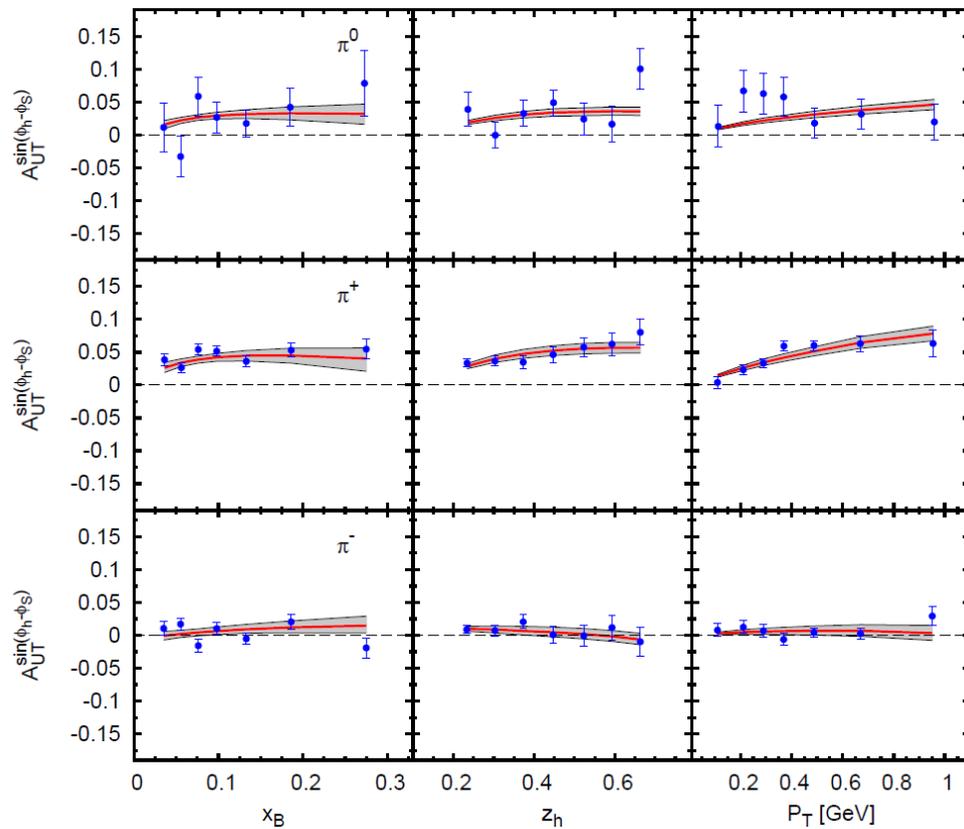
COMPASS PROTON



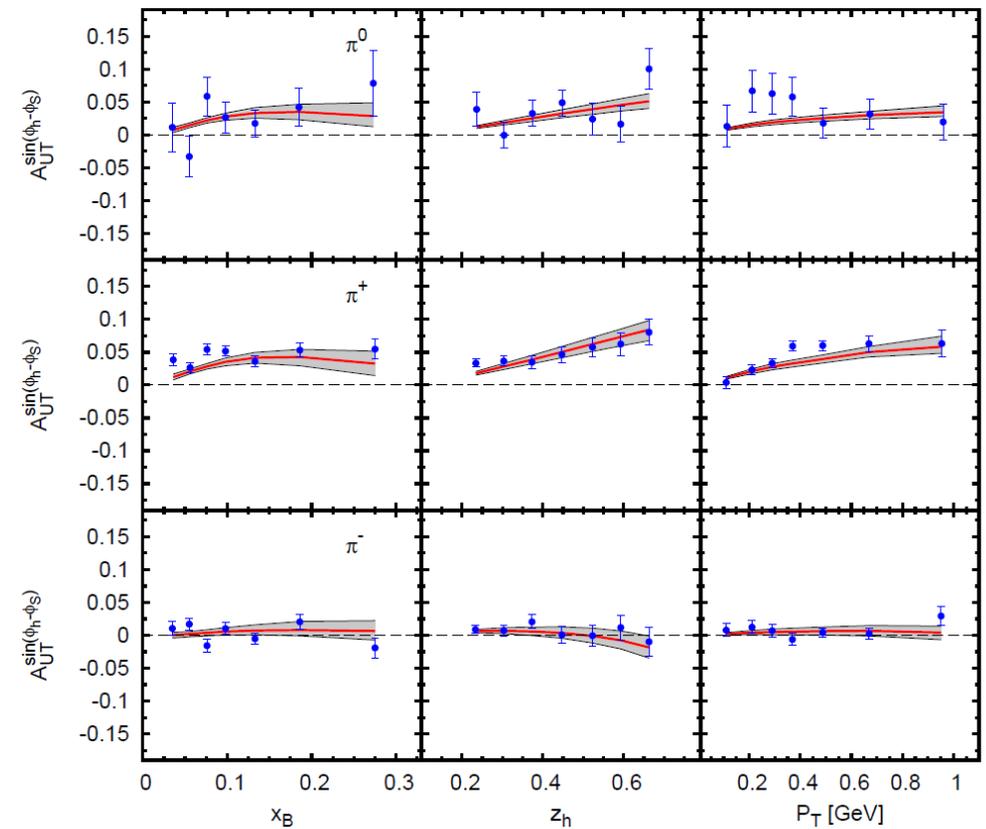
# Fit of HERMES and COMPASS SIDIS data

A. Airapetian et al., *Phys. Rev. Lett.* 103, 152002 (2009), arXiv:0906.3918 [hep-ex]

HERMES PROTON - TMD



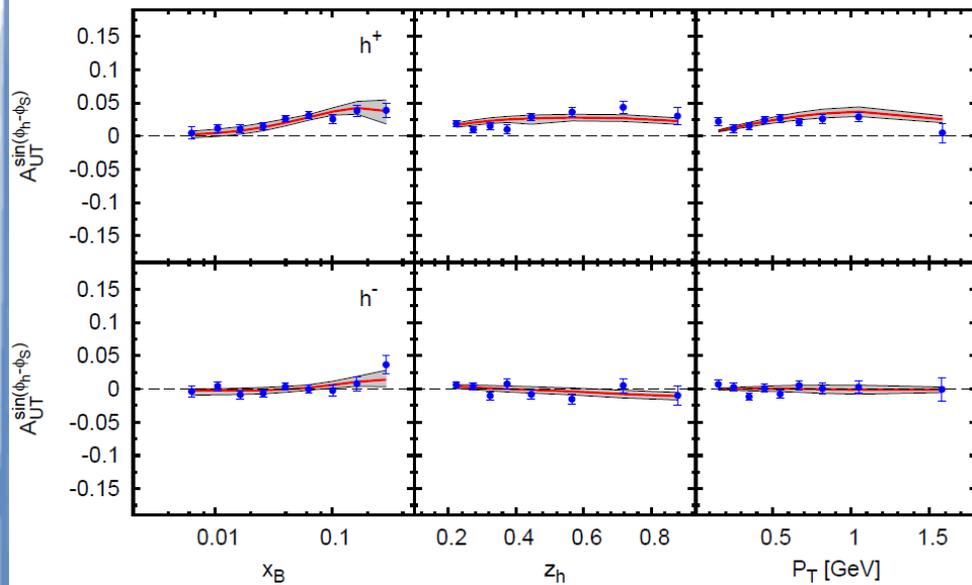
HERMES PROTON - DGLAP



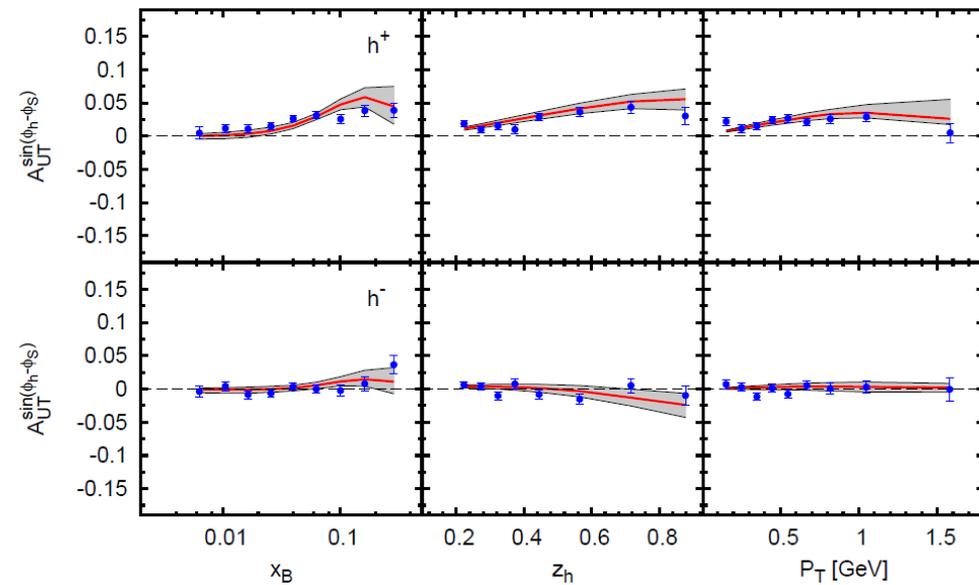
# Fit of HERMES and COMPASS SIDIS data

F. Bradamante, arXiv:1111.0869 [hep-ex]

COMPASS PROTON - TMD

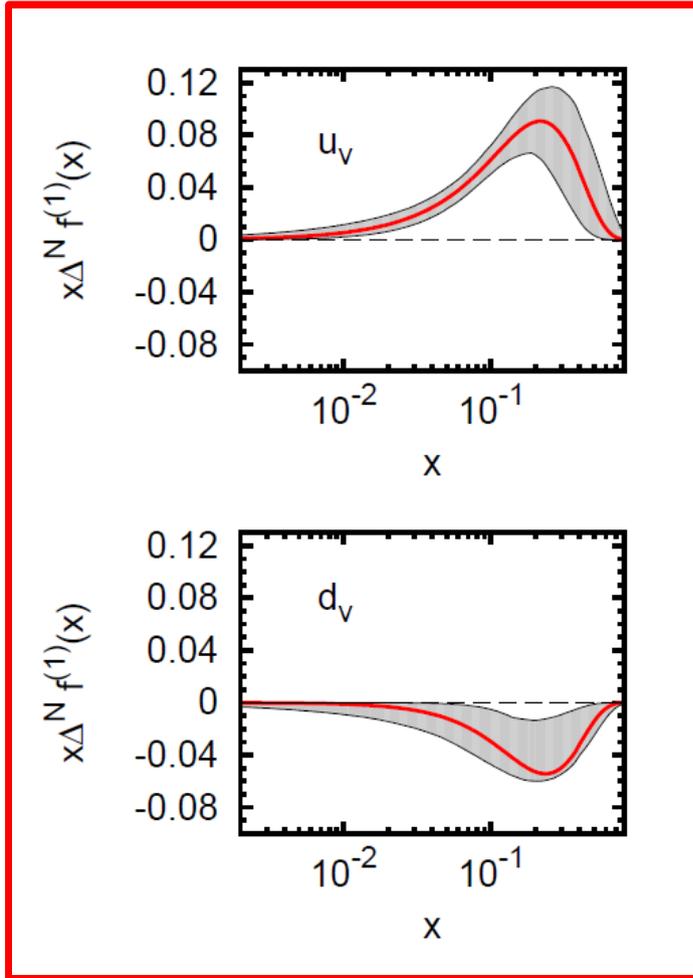


COMPASS PROTON - DGLAP



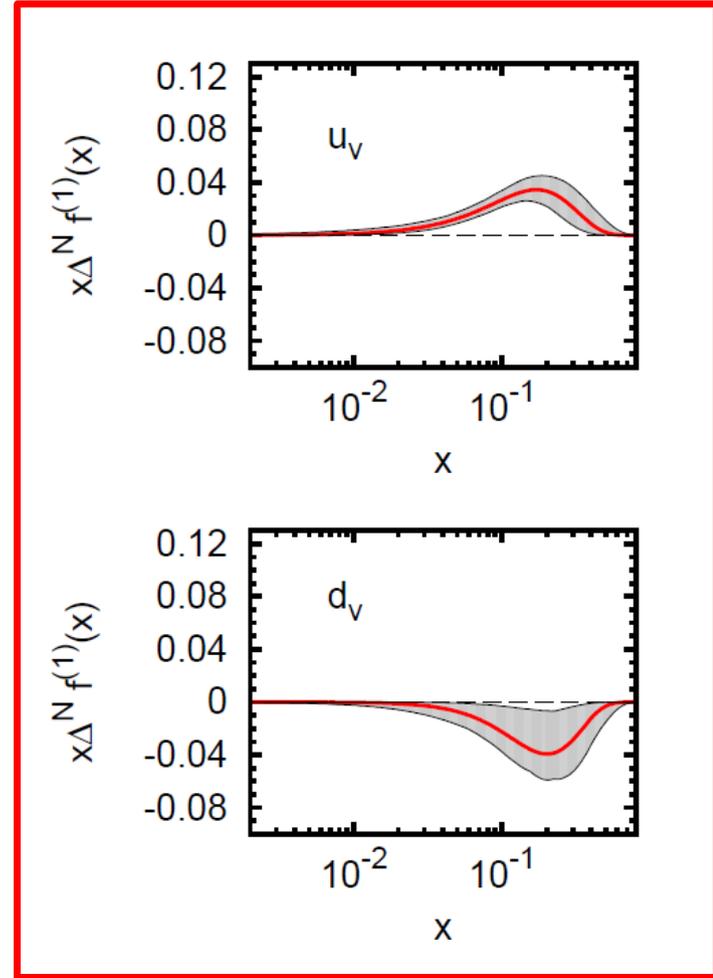
# Fit of HERMES and COMPASS SIDIS data

## TMD Evolution



$Q_0 = 1$  GeV

## DGLAP Evolution



# Consequences on DY data and warnings

- A rigorous fit need a 'fresh restart' i.e. the analysis of the SIDIS and DY unpolarized data

Fixed parameters in the fit

$$\begin{aligned}\langle k_{\perp}^2 \rangle &= 0.25 \text{ GeV}^2 \\ \langle p_{\perp}^2 \rangle &= 0.20 \text{ GeV}^2 \\ g_2 &= 0.68 \text{ GeV}^2\end{aligned}$$

- In SIDIS, the Sivers asymmetry is not so strongly sensitive to these values.

- ... however in DY they are crucial, in particular  $g_2$

# Consequences on DY data and warnings

- Numerator of the asymmetry in analytical approximation for a SIDIS process

$$N_{SIDIS} \propto \Delta^N f(x, Q_0) D(z, Q_0) \sqrt{2} e \frac{P_T}{M_1} \frac{z \langle k_{\perp}^2 \rangle_{Siv}^2}{\langle k_{\perp}^2 \rangle \langle P_T^2 \rangle_{Siv}^2} e^{-P_T^2 / \langle P_T^2 \rangle_{Siv}}$$

$$\langle P_T^2 \rangle_{Siv}^{SIDIS} = z^2 \omega_{Siv}^2 + \omega_{FF}^2$$

$$\omega_S^2(Q, Q_0) = \langle k_{\perp}^2 \rangle_S + 2g_2 \ln \frac{Q}{Q_0}$$

$$\omega_F^2 \equiv \omega_F^2(Q, Q_0) = \langle p_{\perp}^2 \rangle + 2z^2 g_2 \ln \frac{Q}{Q_0}$$

➤ Here it is squared, strongly suppresses the asymmetry as it becomes larger and larger

➤  $0.2 < z < 0.8$

# Consequences on DY data and warnings

- Numerator of the asymmetry in analytical approximation for a DY process

$$N_{DY} \propto \Delta^N f(x_1, Q_0) f(x_2, Q_0) \sqrt{2e} \frac{P_T}{M_1} \frac{\langle k_{\perp}^2 \rangle_{Siv}^2}{\langle k_{\perp 1}^2 \rangle \langle P_T^2 \rangle_{Siv}^2} e^{-P_T^2 / \langle P_T^2 \rangle_{Siv}}$$

$$\langle P_T^2 \rangle_{Siv}^{DY} = \omega_{Siv}^2 + \omega_2^2$$

$$\omega_S^2(Q, Q_0) = \langle k_{\perp}^2 \rangle_S + 2g_2 \ln \frac{Q}{Q_0}$$

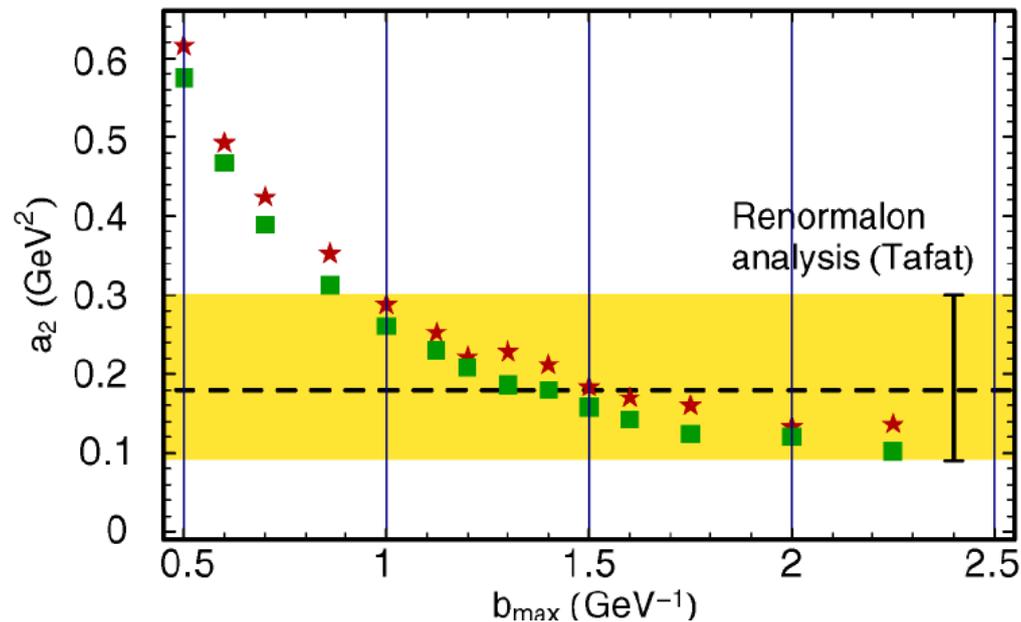
$$\omega^2(Q, Q_0) = \langle k_{\perp}^2 \rangle + 2g_2 \ln \frac{Q}{Q_0}$$

- Here it is squared, strongly suppresses the asymmetry as it becomes larger and larger

- $g_2$  is more crucial for DY processes than for the present SIDIS data (because of a wider kinematical range in  $Q^2$ )

# Consequences on DY data and warnings

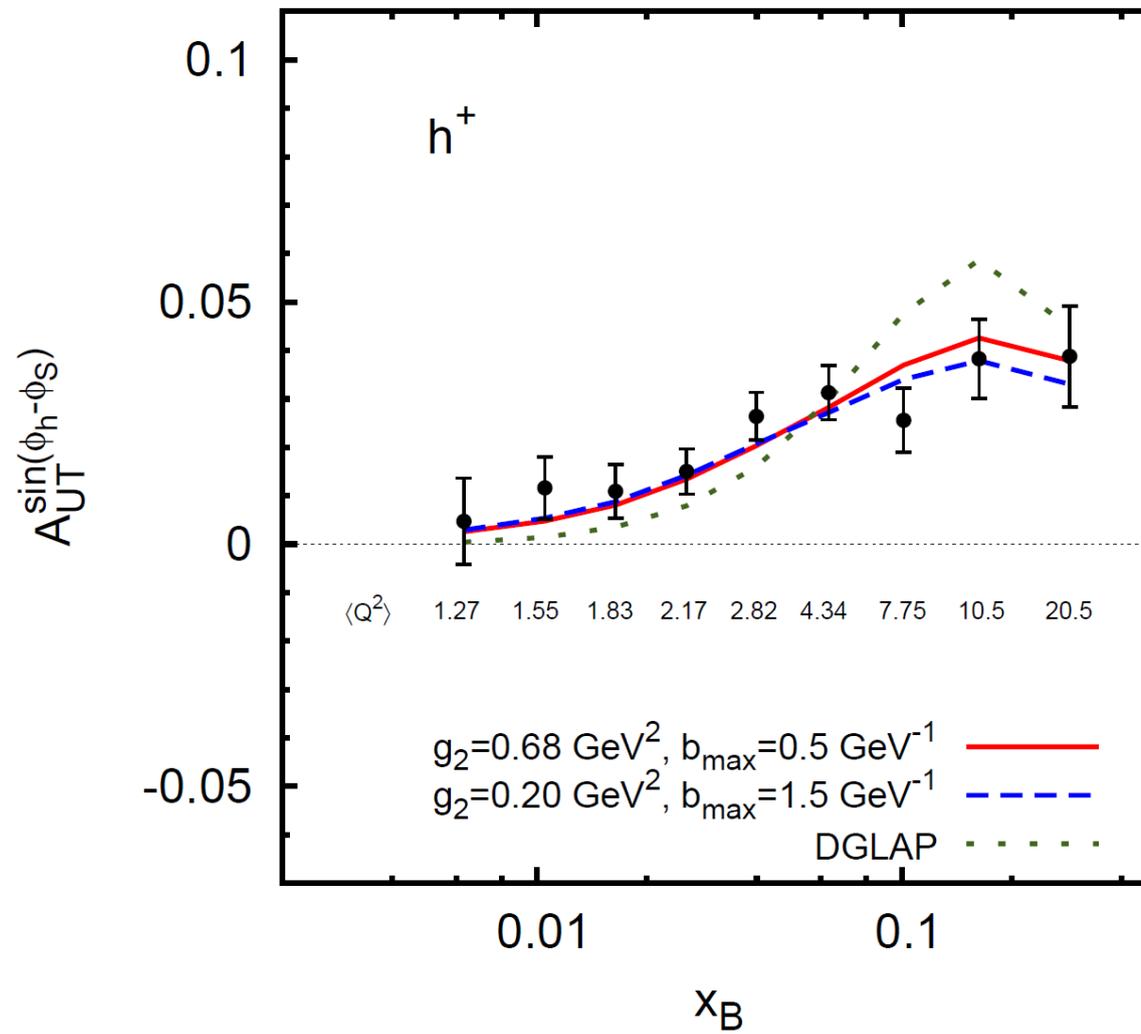
- $g_2$  depends on the prescription for the separation of the perturbative region from the non-perturbative one. Depends also on the "order" at which you stop in the perturbative expansion.

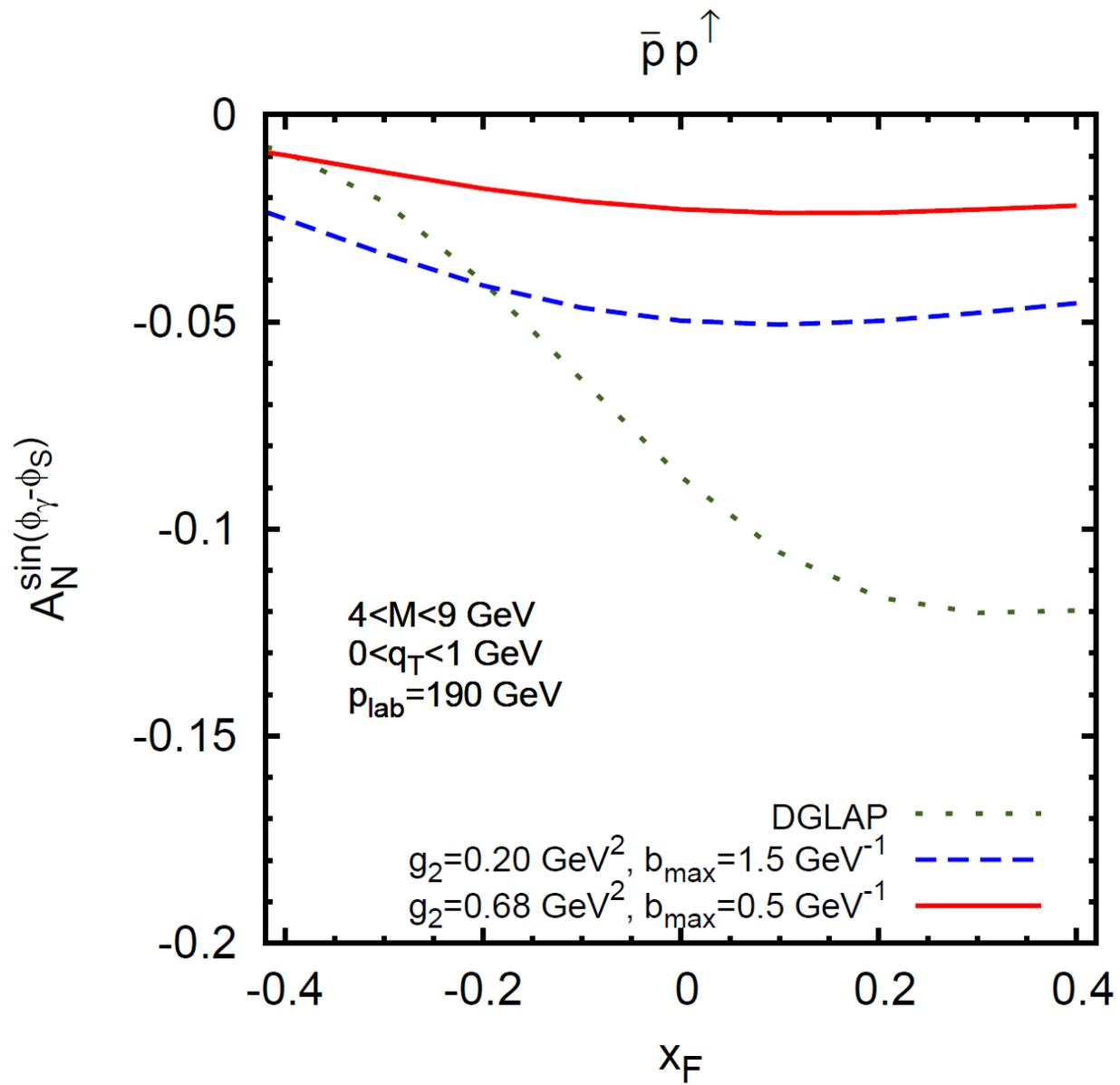


$a_2 = g_2$ , stars correspond to the choice  $C_1 = 2 \exp(-\gamma_e)$ , squares to  $C_1 = 4 \exp(-\gamma_e)$

Konychev and Nadolsky, Phys. Lett. B633 (2006)

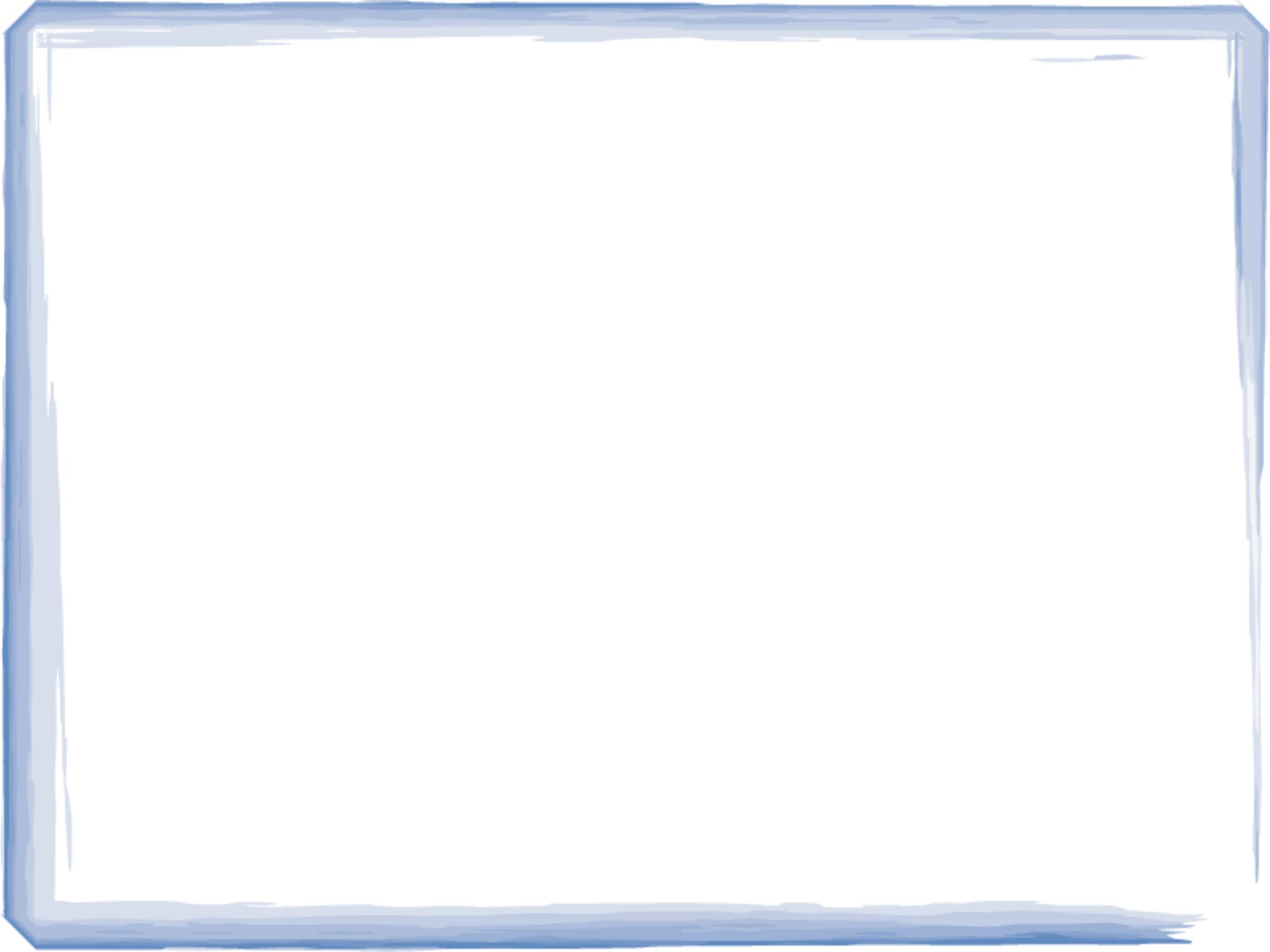
# COMPASS PROTON

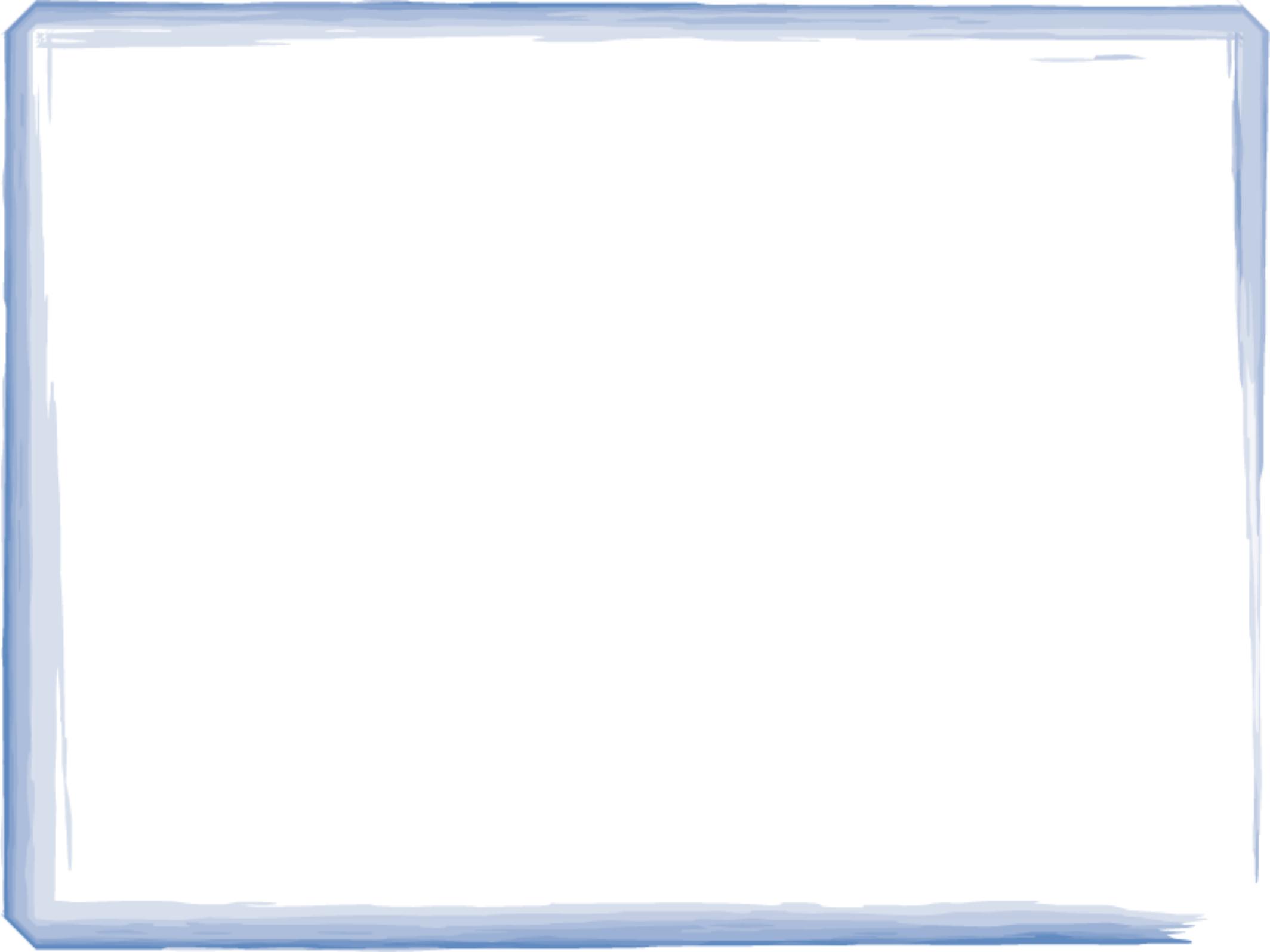




# Conclusions

- A first (very preliminary) analysis of evolution shows that it suppresses the Sivers effect.  
Evolution is fast but not so fast to make the asymmetry negligible (at least in SIDIS) and helps to understand data
- Sivers asymmetry in SIDIS are not sufficient to extract crucial parameters for the evolution
- DY data are more sensitive to the evolution
- A combined analysis of DY&SIDIS (un)polarized data is needed
- Open phenomenological (different  $g$ 's?) & theoretical problems (other TMD definitions, other prescriptions)





➤ Parametrization of the Collins function:

$$\pencil \Delta^N D_{\pi/q^\uparrow}(z, p_\perp) = 2\mathcal{N}_q^C(z) h(p_\perp) D_{\pi/q}(z, k_\perp)$$

$$\bullet \mathcal{N}_q^C(z) = N_q^C z^\gamma (1-z)^\delta \frac{(\gamma + \delta)^{(\gamma + \delta)}}{\gamma^\gamma \delta^\delta}$$

$$\bullet h(p_\perp) = \sqrt{2} e \frac{p_\perp}{M_h} e^{-p_\perp^2 / M_h^2}$$

Unpolarized FF

$N_q^C, \gamma, \delta, M_h$  free parameters

✓ Bound:

$$\Delta^N D_{\pi/q^\uparrow}(z, p_\perp) \leq 2 D_{\pi/q}(z, k_\perp)$$

✓ Torino vs Amsterdam notation

$$\Delta^N D_{\pi/q^\uparrow}(z, p_\perp) = \frac{2p_\perp}{zM} H_1^\perp(z, p_\perp)$$

➤ Gaussian smearing for both unpolarized PDF and FF

$$\pencil f_{q/p}(x, k_{\perp}) = f_q(x) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}$$

GRV98 set

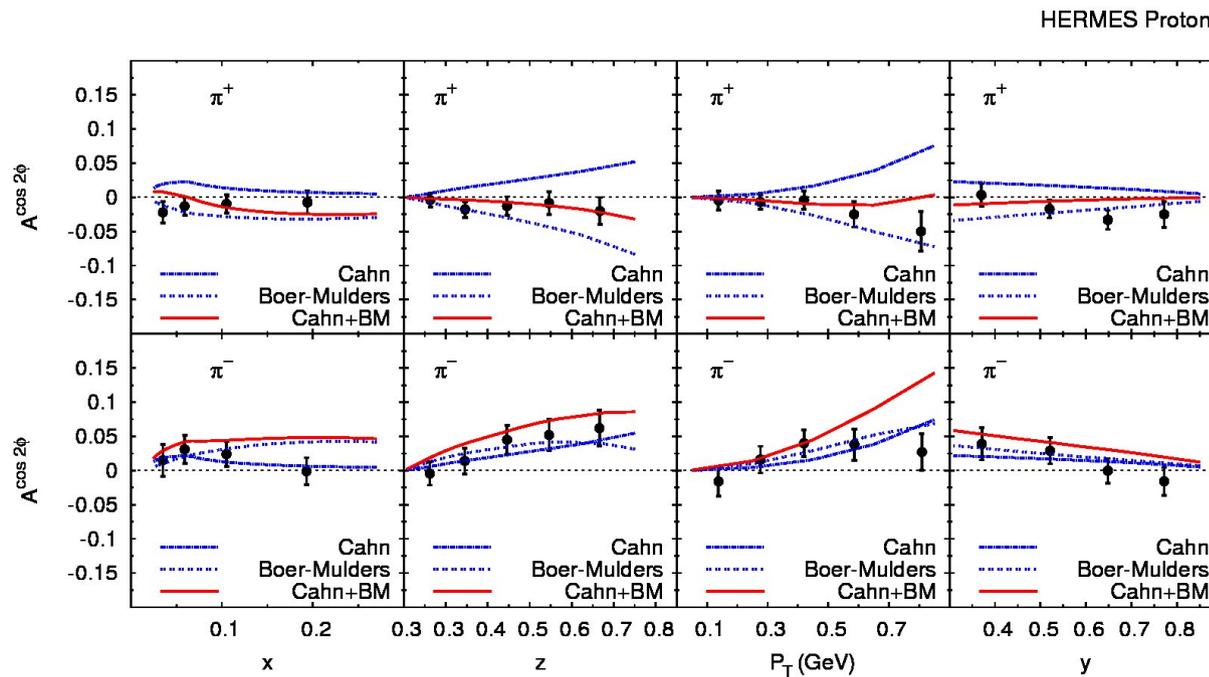
$$[*] \langle k_{\perp}^2 \rangle = 0.25 \text{ (GeV/c)}^2$$

$$\pencil D_q^h(z, p_{\perp}) = D_q^h(z) \frac{1}{\pi \langle p_{\perp}^2 \rangle} e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle}$$

DSS set

$$[*] \langle p_{\perp}^2 \rangle = 0.20 \text{ (GeV/c)}^2$$

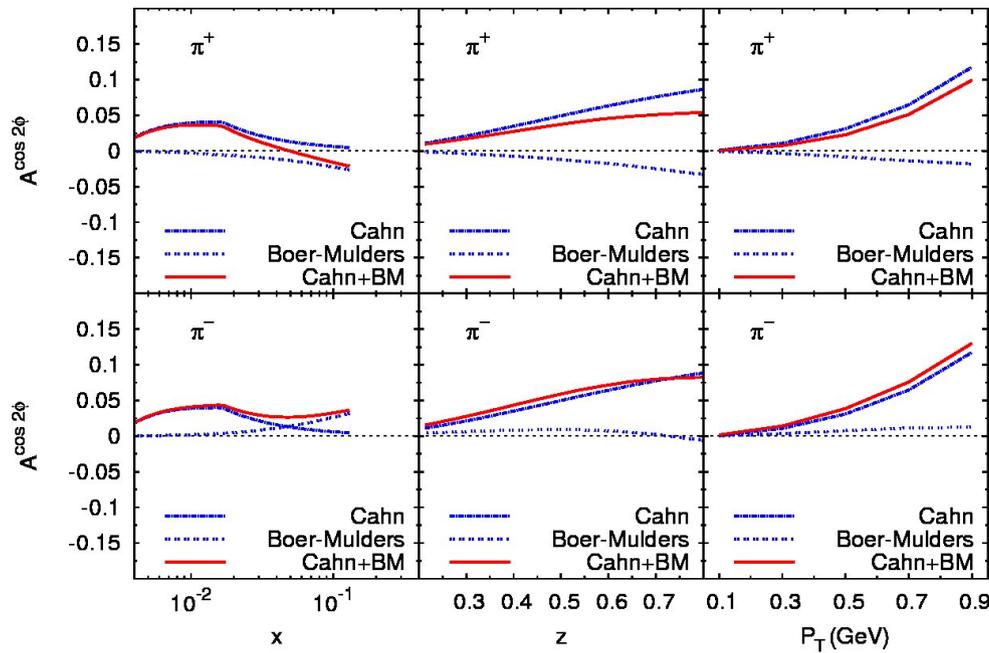
# Extraction of the Boer-Mulders Function



SPIN2010 (Francesca Giordano)

# Extraction of the Boer-Mulders Function

COMPASS Deuteron



New COMPASS data.  
SPIN2010 Sbrizzai

