Neutrinoless Double-Beta Decay [On a path of discovery with the neutrino]

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UNIVERSITY OF

Outline

- Neutrino discoveries
- State of neutrino physics
- Open questions New discoveries
- Neutrinoless double-beta decay
- The MAJORANA Program







The Neutrino is Born



Pauli postulates the need for a neutrino

- Missing energy in continuous β spectrum

 $(N,Z) \to (N-1,Z+1) + e^{-} + ??$



"Dear radioactive ladies and gentlemen,

... I have hit upon a desperate remedy to save the `exchange theorem' of statistics and the energy theorem. Namely [there is] the possibility that there could exist in the nuclei electrically neutral particles that I wish to call neutrons, ..."

Pauli, 1930

The Neutrino is Born



- Fermi's theory of beta decay
 - Explained the existence of the neutrino
 - A weak interaction

Beta Decay: $n \to p + e^- + \bar{\nu}_e$ Electron Capture: $e^- + p \to n + \nu_e$ Inverse Beta Decay: $\bar{\nu}_e + p \to n + e^+$

- Correctly explained all aspects of beta decay
- Now, must detect a neutrino to confirm their existence

typical $\sigma = 1.2 \times 10^{-43} \,\mathrm{cm}^2$

Path length of 10 light-years!

The Neutrino is Detected



- Reines & Cowan (1956)
 - Exploit the unique signature of inverse beta decay

Inverse Beta Decay: $\bar{\nu}_e + p \rightarrow n + e^+$



- Liquid scintillator detectors at Hanford Site and Savannah River
- Detected reactor antineutrinos with a proton target



Flavors of Neutrinos



- More than just electron-type neutrinos
- Lederman, Schwartz, Steinberger (1962)
 - muon-type neutrino detected in a muon beam at BNL
 - 10 ton Al spark chamber
- Fermilab experiment (2000)
 - tau-type neutrino detected in a mixed neutrino beam at Fermilab





Neutrino Problem



Ray Davis

- Solar Neutrinos fusion produce neutrinos
- CCl₄ target 1500-m underground in Homestake, SD
- Inverse beta decay: $\nu_e + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}$







Los Alamos Science, no. 25 (1997)

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Neutrino Problem Confirmation

- Kamioka detector
 - Water Cerenkov Detector, 3,000 tons
 - Elastic scattering

 $\nu_e + e^- \rightarrow \nu_e + e^-$

- Gallium Experiments: SAGE and GALLEX
 - radiochemical experiment using Ga
 - inverse beta decay

$$\nu_e + {}^{71}\text{Ga} \rightarrow e^- + {}^{71}\text{Ge}$$

 All three sensitive to separate and overlapping regions of the ν spectrum



Los Alamos Science, no. 25 (1997)

Neutrinos Found





SuperKamiokande (1998)

- Water Cerenkov detector, 50,000 tons
- atmospheric neutrinos

Charged Current: $\nu_l + N \rightarrow l + X$

- SNO Detector
 - Water Cerenkov and neutron detection

- Sensitive to all flavors of neutrinos

Elastic Scattering: $\nu_i + e^- \rightarrow \nu_i + e^-$

Charged Current: $\nu_e + d \rightarrow e^- + p + p$ Neutral Current: $\nu_i + d \rightarrow \nu_i + n + p$

No longer missing neutrinos (if all flavors counted)

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2015 Nobel Prize in Physics



Photo: A. Mahmoud Takaaki Kajita Prize share: 1/2



Photo: A. Mahmoud Arthur B. McDonald Prize share: 1/2

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*

"The Nobel Prize in Physics 2015". Nobelprize.org. Nobel Media AB 2014. Web. 25 Jul 2017. < http://www.nobelprize.org/nobel_prizes/physics/laureates/2015/>

Flavor Oscillation



- a neutrino is born as one flavor and detected as another
- Requires that they have mass
- Verified and constrained by solar, atmospheric, reactor, and accelerator experiments
 - appearance and disappearance

Primary neutrino source

 $p + p \rightarrow D + e^+ + v_e$

Proton beam



Neutrino Mixing

Flavor states are linear combinations of the mass states Neutrino Flavors $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \leftarrow \text{Masses}$

- Mixing via the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix
- Under the 3 neutrino model,
 - the mixing matrix described by 3 angles θ_{ij}
- Oscillation probability determined by mass squared differences $\ \Delta m^2_{i\,i}$
- CP violating phase and Majorana phase By convention:

$$\Delta m_{21}^2 = m_2^2 - m_1^2 > 0$$
 Small and positive (solar scale)
 $\Delta m_{31}^2 = m_3^2 - m_1^2$ Larger and sign unknown (atmospheric scale)

Neutrino Mixing

- Rich program of measuring the oscillation parameters
- Example: the search for θ_{13}
 - Data Bay Reactor Experiment
 - $\bar{\nu}_e$ disappearance through inverse beta decay in scintillating target





PHYSICAL REVIEW D 95, 072006 (2017)

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Ling Ao NPP

iya Bay NPP

Neutrino Mixing

Flavor states are linear combinations of the mass states





- What we DON'T know about neutrinos
 - How massive are they?
 - What is the absolute scale?
 - Which one is the heaviest?
 - Which hierarchy is correct?
 - Are they their own antiparticle?

• Can
$$\nu_e = \bar{\nu}_e$$

- Is Lepton # violated
- Is there Leptonic CPinvariance violation

Beta decay endpoint



KATRIN



- What we DON'T know about neutrinos
 How massive are they?
 What is the absolute scale?
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Two Neutrino Double-Beta Decay

- An allowed nuclear physics process
 - Can occur when single β decay not allowed
 - Lepton number is conserved
 - Observed in a number of isotopes



Observed in ⁴⁸Ca, ⁷⁶Ge, ⁸²Se, ⁹⁶Zr, ¹⁰⁰Mo, ¹¹⁶Cd, ¹²⁸Te, ¹³⁰Te, ¹⁵⁰Nd, ²³⁸U

Neutrinoless Double-Beta Decay

- No neutrinos emitted
- Discovery provides:
 - Neutrino is own antiparticle (Majorana)
 - Lepton number violation
 - Neutrino mass







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 $^{76}\text{Ge} \Rightarrow ^{76}\text{Se} + 2e^{-1}$

 Could be some other nuclear process other than a light neutrino exchange

How to Measure $\beta\beta$

Observe double-beta decay by collecting the energy of the 2 e⁻ in a detector

- With 2 neutrino double-beta decay, the electrons share the decay energy with the neutrinos
- With neutrinoless double-beta decay, the electrons carry the full decay energy



Q=2.039 MeV

How $\beta\beta$ Relates to the Neutrino



$$\Gamma_{0\nu} = G_{0\nu} |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

- G are calculable phase space factors
- M are nuclear physics matrix elements
 - Uncertainties among models
- m_{ββ} is the effective Majorana
 mass



Q=2.039 MeV

Neutrino Mixing Matrix and Mass

Neutrino
Flavors
$$\begin{pmatrix}
\nu_e \\
\nu_\mu \\
\nu_\tau
\end{pmatrix} = \begin{pmatrix}
U_{e1} & U_{e2} & U_{e3} \\
U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\
U_{\tau 1} & U_{\tau 2} & U_{\tau 3}
\end{pmatrix}
\begin{pmatrix}
\nu_1 \\
\nu_2 \\
\nu_3
\end{pmatrix}$$
Neutrino
Masses

Effective Majorana Mass:

No Mixing:
$$\langle m_{\beta\beta} \rangle = m_{\nu_e} = m_1$$

Mixing: $\langle m_{\beta\beta} \rangle = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$

There is an ambiguity in the sign of one of the larger mass-squared differences
Leads to two alternative mass orderings (or hierarchies)

Neutrino Mixing Matrix and Mass

- There is an ambiguity in the sign of one of the mass-squared differences
 - Leads to two alternative mass orderings (or hierarchies)



Allowed Mass Ranges

The standard way the two mass hierarchies are plotted for effective Majorana mass vs lightest v mass

- Inverted and normal refer to unknown mass hierarchy
 - Current
 understanding of v
 oscillation
 parameters
- Experiments only sensitive to effective ββ mass

$$\left\langle m_{\beta\beta} \right\rangle = \left| \sum_{i=1}^{3} U_{ei}^2 m_i \right|$$



Experimental Approach

How to best detect neutrinoless double-beta decay?

- Source of decay = detector
 - minimizes extra mass and unneeded backgrounds
- Good energy resolution
- maximize signal to background. Always facing background from $2\nu\beta\beta$
- Extremely low backgrounds in the region of interest
 - requires ultra-pure materials
 - analysis techniques to discriminate backgrounds from signal
 - large Q value to exceed many natural backgrounds
 - backgrounds of <0.1 event per year for next generation sensitivities
- Tag decay daughter
 - smoking gun of decay, but also $2\nu\beta\beta$
- Slow 2νββ rate
 - to minimize its background contribution
- Some isotopes have better nuclear theory
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Current Experiments







CUORE: TeO₂ bolometers

EXO-200, KamLAND-Zen LXe









SNO+: ¹³⁰Te liquid scintillation ²⁸

V. E. Guiseppe Tracking

Highlights from Current Experiments

[Physical Review Letters 115, 102502 (2015)]

[M. Agostini *et al.*, Nature 554, 47–52 (2017)]

[J.B.Albertet al., Nature 510 (2014) 299

- ✦ CUORE:
- $T_{1/2} > 4.0 \times 10^{24} \text{ yr}$
- ◆ EXO-200
- $T_{1/2} > 1.1 \times 10^{25} \text{ yr}$
- GERDA Phase II
- $T_{1/2} > 5.3 \times 10^{25} \text{ yr}$
- KamLAND
- $T_{1/2} > 1.07 \text{ x } 10^{26} \text{ yr}$
- ◆ NEMO 3
 - $T_{1/2} > 1.1 \text{ x} 10^{24}$ [R. Arnold et al., Phys. Rev. D 89, 111101(R) (2014)]

[PRL 117, 082503 (2016)]

Age of the universe: $1.38 \times 10^{10} \text{ yr}$

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Experimental Progress

Current best limits on $0\nu\beta\beta$

lsotope	T _{1/2} [yr]	<m<sub>v> [eV]</m<sub>	Experiment	
⁴⁸ Ca	$> 5.8 \ge 10^{22}$	< 3.1 - 15.4	CANDLES	
⁷⁶ Ge	$> 5.3 \ge 10^{25}$	< 0.15 - 0.33	GERDA	
⁸² Se	$> 3.6 \ge 10^{23}$	< 1 - 2.4	NEMO-3	
⁹⁶ Zr	$> 9.2 \ge 10^{21}$	< 3.6 - 10.4	NEMO-3	
¹⁰⁰ Mo	$> 1.1 \ge 10^{24}$	< 0.33 - 0.62	NEMO-3	
¹¹⁶ Cd	$> 1.9 \ge 10^{23}$	< 1 - 1.8	AURORA	
¹²⁸ Te	$> 1.5 \ge 10^{24}$	< 2.3 - 4.6	geochemical	
¹³⁰ Te	$>4 \ge 10^{24}$	< 0.26 - 0.97	CUORE	
¹³⁶ Xe	$> 1.07 \ge 10^{28}$	< 0.06 - 0.16	KanLAND-Zen	
¹⁴⁰ Nd	$> 2 \times 10^{22}$	< 1.6 - 5.3	NEMO-3	

adapted from Barabash, arXiv:1702.06340 (2017)

Current and Planned Experiments

/ /					
Experiment	Isotope	M, kg	Sensitivity	Sensitivity	Status
			$T_{1/2}, yr$	$\langle m_{\nu} \rangle$, meV	
CUORE 34	$^{130}\mathrm{Te}$	200	$9.5 imes 10^{25}$	53 - 200	in progress
GERDA [35]	76 Ge	35	$1 imes 10^{26}$	110 - 280	current
		1000	$6 imes 10^{27}$	14 - 37	R&D
MAJORANA	76 Ge	30	$1 imes 10^{26}$	110 - 280	current
36		1000	$6 imes 10^{27}$	14-37	R&D
EXO [37]	136 Xe	200	4×10^{25}	100 - 270	current
		5000	$10^{27} - 10^{28}$	6 - 53	R&D
SuperNEMO	82 Se	7	$6.5 imes 10^{24}$	240 - 570	in progress
38		100 - 200	$(1-2) \times 10^{26}$	40 - 140	R&D
KamLAND-Zen	136 Xe	750	2×10^{26}	45 - 120	in progress
[39]		1000	$6 imes 10^{26}$	26-69	R&D
SNO+ [40]	^{130}Te	800	$9 imes 10^{25}$	55 - 205	in progress
		8000	$7 imes 10^{26}$	20-73	R&D

Current and near-term sensitivities

Barabash, arXiv:1702.06340 (2017)

Plus several others:

AMORE, CAMEO, CANDLES, CARVEL, COBRA, DCBA, GEM, GSO, HPXETPC, KamLAND, MOON, NEXT, LUCIFER, LUCINEU, XMASS, ...

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Current and Planned Experiments

Future Experiments:

- These are large and expensive projects
 - Will require international cooperation
 - Should only move forward with the best designs worldwide

Experiment	Start of data taking, yr
KamLAND2-Zen (1000 kg of ¹³⁶ Xe)	$\sim 2020-2022$
SNO+ (8000 kg of ^{nat} Te)	$\sim 2020-2022$
CUPID (¹⁰⁰ Mo, ⁸² Se, ¹¹⁶ Cd,)	~ 2022
LEGEND-I (200 kg of 76 Ge)	$\sim 2022-2025$
LEGEND (1000 kg of 76 Ge)	$\sim 2025-2030$
nEXO (5000 kg of 136 Xe)	$\sim 2025-2030$

Barabash, arXiv:1702.06340 (2017)

Nuclear Science Long Range Plan

The 2015 Nuclear Science Advisory Committee recommended the development of neutrinoless double-beta decay searches



RECOMMENDATION II

The excess of matter over antimatter in the universe is one of the most compelling mysteries in all of science. The observation of neutrinoless double beta decay in nuclei would immediately demonstrate that neutrinos are their own antiparticles and would have profound implications for our understanding of the matterantimatter mystery.

We recommend the timely development and deployment of a U.S.-led ton-scale neutrinoless double beta decay experiment.

A ton-scale instrument designed to search for this as-yet unseen nuclear decay will provide the most powerful test of the particle-antiparticle nature of neutrinos ever performed. With recent experimental breakthroughs pioneered by U.S. physicists and the availability of deep underground laboratories, we are poised to make a major discovery.

Do we need this many experiments?



The range of decay rates due to competing nuclear models

This spread introduces a large uncertainty in the effective Majorana neutrino mass

Observing 0vββ in several isotopes can help pin down the likely underlying physics

[Engel and Menendez, Rep. Prog. Phys. 80 (2017) 046301]

Reach of Next Generation Experiments

- Next generation experiments aim to push through through the inverted mass ordering.
- But then what? Can the normal mass region ever be fully explored?
 - Better to ask, what are the Bayesian posterior distributions?

Normal

10⁻³



m_{ββ} [eV]

10

 10^{-2}

 10^{-3}

10-4

10-5

a) NO. QRPA

10-4

Reach of Next Generation Experiments

- 3σ discovery potential for current (red dots) and future (black dots) experiments
 - bands are due to uncertainties in nuclear matrix elements



[Agostini, Benato, Detweiler (2017) arXiv:1705.02996v3]

Heidelberg-Moscow & IGEX





Subset of the H-M group



- Claimed signal is weak and a repeat test takes a long time
- Some uncertainty in background model
- Some lines not identified

H.V. Klapdor-Kleingrothaus et al., Phys. Lett. B 586, 198 (2004).

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GERDA

prior cuts

Phase I

10-1

after LAr veto (Phase II)

Q_{ββ}



Phase 1 & II Combined Results



after all cuts

limit (90% C.L.)

23.6 kg·yr

EXO & KamLAND-Zen



$$\label{eq:tamLAND-ZEN} \begin{split} & \text{KamLAND-ZEN} \\ & T_{1/2} > 1.07 \ x \ 10^{26} \ \text{yr} \end{split}$$





J.B.Albertet al., Nature 510 (2014) 299



A. Gando et al. Phys. Rev. Lett. 117, 082503

COURE



[Physical Review Letters 115, 102502 (2015)]

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Те

The MAJORANA DEMONSTRATOR

Funded by DOE Office of Nuclear Physics, NSF Particle Astrophysics, NSF Nuclear Physics with additional contributions from international collaborators.

- **Goals:** Demonstrate backgrounds low enough to justify building a tonne scale experiment.
 - Establish feasibility to construct & field modular arrays of Ge detectors.
 - Searches for additional physics beyond the standard model.

Operating underground at 4850' Sanford Underground Research Facility

Background Goal in the $0\nu\beta\beta$ peak region of interest (4 keV at 2039 keV) 3 counts/ROI/t/y (after analysis cuts) Assay U.L. currently ≤ 3.5 44.1-kg of Ge detectors

- 29.7 kg of 88% enriched ⁷⁶Ge crystals
- 14.4 kg of ^{nat}Ge
- Detector Technology: P-type, point-contact.
- 2 independent cryostats
- ultra-clean, electroformed Cu
- 22 kg of detectors per cryostat
- naturally scalable
- **Compact Shield**
- low-background passive Cu and Pb shield with active muon veto



N. Abgrall et al. Adv. High Energy Phys 2014, 365432 (2014)

3σ Discovery vs. Exposure for ^{76}Ge



Assumes 75% efficiency based on GERDA Phase I. Enrichment level is accounted for in the exposure





MAJORANA DEMONSTRATOR Implementation

✦Module 1:

16.9 kg (20) ^{enr}Ge 5.6 kg (9) ^{nat}Ge



In shield Operation

May – Oct. 2015, Final Installation, Dec. 2015 — ongoing

Module 2:

12.9 kg (15) ^{enr}Ge 8.8 kg (14) ^{nat}Ge



July 2016 — ongoing







DEMONSTRATOR Background Model

Background Rate (c/ROI-t-y)



Background based on assay of materials.

Where an upper limit exists, use upper limit as contribution NIMA 828 (2016) 22–36 arXiv:1601.03779 [physics.ins-det]

Background Sources

Our background sources are primarily naturally occurring radioactivity or cosmogenic-induced reactions

Perspective: 200,000 β decays/min Expect < 3.5 cts/(ROI ton yr) in you body from ⁴⁰K

Natural Th and U decay chains



Primary Cosmic Ray

MAJORANA Approach to Backgrounds

- The detector: P-type point contact
 - ^{enr}Ge metal zone refined and pulled into a crystal that provides purification
 - Limit above-ground exposure to prevent cosmic activation
 - Slow drift velocity and localized weighting potential: separation of multi-site events
- Rejection of backgrounds
 - Granularity: multiple detectors hit
 - Pulse shape discrimination: multiple hits in a detector
 - Alpha events near surface: based on response









Pulse Shape Analysis

- Use a pulse shape analysis (PSA)
 rejection of multi-site gamma events
- Benefit of P-type Point-Contact (PPC) detectors for background rejection:
 - Slow drift time of the ionization charge cloud
 - Localized weighting potential gives excellent multi-site rejection



Barbeau, Collar, and Tench, J. Cosm. Astro. Phys. 0709 (2007).



Majorana Approach to Backgrounds

- Ultra-pure materials
 - Low mass design
 - Custom cable connectors and front-end boards
 - Carefully selected plastics & fine Cu coax cables
 - Underground Electro-formed Cu
 - 10 baths at SURF, 6 baths at PNNL
 - 2474 kg of electroformed copper produced.
 - Th decay chain (ave) $\leq 0.1 \ \mu Bq/kg$
 - U decay chain (ave) $\leq 0.1 \ \mu Bq/kg$
- Machining and Cleaning
 - Cu machining in an underground clean room
 - Cleaning of Cu parts by acid etching and passivation
 - Nitric leaching of plastic parts





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Detector Units and Strings

Detector parts stored and assembled inside radon-reduced, dry N₂ environment storage and glove boxes.





All parts are uniquely tracked through machining, cleaning, and assembly by a custombuilt database.





Assembled Detector Unit and String

- AMETEK (ORTEC) fabricated enriched-Ge PPC detectors
- 35 enriched detectors: 29.7 kg, 88% ⁷⁶Ge. Canberra fabricated natural-Ge BEGe detectors





String Assembly

Detector Readout Components



Fine Cu coaxial cable and clean connectors





Custom low mass front-end boards Clean Au+Ti traces on fused silica Amorphous Ge resistor FET mounted with silver epoxy EFCu + low-BG Sn contact pin



Connectors reside on top of cold plate. In-house machined from Vespel. Axon' pico co-ax cable.

Low background solder and flux.

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Detector Module

- A self contained vacuum and cryogenic vessel
- Contains a portion of the shielding
- Can be transported for assembly and deployment





Module mated to the glovebox for string installation

Module moving to/from transporter

Module and Shield



Pb and outer Cu shield

Loading of enrGe in Cryostat 2



Module deployment





Background Spectrum (DS3 & DS4)

Lowest background configuration with both modules in shield.

Enriched detectors in Modules 1 & 2 , before and after PSD cuts



Background Spectrum (DS3 & DS4)

After cuts, 1 count in 400 keV window centered at 2039 keV ($0\nu\beta\beta$ peak) - Projected background rate is $5.1^{+8.9}_{-3.2}$ c /(ROI t y)

- using a 2.9 (M1-DS3) & 2.6 keV (M2 DS4) keV ROI (68% CL).
- Background index of 1.8 x 10⁻³ c/(keV kg y)

Analysis cuts are still being optimized.

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Low Energy Spectrum

- Controlled surface exposure of enriched material to minimize cosmogenics
- Significant reduction of cosmogenics in the low-energy region.
- Low-energy rate is improved in subsequent data sets
- Enriched Detectors: ~0.04 cts/(kg-keV-d) near 20 keV
- Efficiency below 5 keV is under study.



Phys. Rev. Lett. 118, 161801 (2017).

Permits Low-Energy physics Pseudoscalar dark matter Vector dark matter 14.4-keV solar axion $e^- \Rightarrow 3v$ Pauli Exclusion Principle

(LEGEND)

The collaboration aims to develop a phased, Ge-76 based double-beta decay experimental program with discovery potential at a half-life significantly longer than 10²⁷ years, using existing resources as appropriate to expedite physics results

- Combine the strengths of the GERDA and the MAJORANA DEMONSTRATOR

First phase:

• (up to) 200 kg

modification of existing GERDA infrastructure at LNGS
BG goal (x5 lower)
0.6 c / (FWMH t y)
start by 2021



Subsequent stages:

- 1000 kg (staged)
- timeline connected to U.S. DOE down select process
- BG: goal (x30 lower) 0.1 c /(FWHM t y)
- Location: TBD
- Required depth under investigation



Outlook

- The neutrino history is filled with important discoveries
- The future is even more exciting
 - What is the absolute mass scale?
 - Which hierarchy is correct?
 - Are they their own anti-particle?
 - Is Lepton # violated
 - Is there Leptonic CP-invariance violation
 - Leptogenesis
- Neutrinoless double-beta decay experiments are poised to help unlock the remaining secrets of the neutrino